

JOHN P. CLEARY

This brochure provides supplemental information about John P. Cleary (“Investment Advisor Representative”, “IAR” or “Employee”) that supplements the Lewis Asset Management brochure, which should have also been provided to you. Please contact John P. Cleary at Lewis Asset Management if you need the Lewis Asset Management brochure or if you have any questions.

Additional information about Lewis Asset Management is also available at the SEC’s website www.adviserinfo.sec.gov (under “investment adviser firm” and type in our firm name).

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ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

John P. Cleary

Year Born: 1964

Educational Background:

B.S., Management – University of Colorado at Boulder (1991)

B.S., Accounting & Business Management – Salem State College (1988)

Business Background:

02/2011 – Present: *Investment Advisor Representative*, Lewis Asset Management, LLC dba Lewis Asset Management

02/2011 – Present: *Registered Representative*, Cambridge Investment Research, Inc.

05/2009 – 08/2010: *Investment Consultant*, TD Ameritrade, Inc.

08/2008 – 04/2009: *Private Client Wealth Advisor*, Pillar Wealth Management

03/2007 – 07/2008: *Investment Consultant*, TD Ameritrade, Inc.

07/2006 – 02/2007: *Relationship Manager*, Fidelity Investments

02/2005 – 05/2006: *Bank Products Sales*, Charles Schwab Bank, N.A.

10/2002 – 05/2006: *Investment Specialist*, Charles Schwab & Co., Inc.

07/1996 – 09/2002: *Broker*, Brown & Company Securities Corporation

Professional Qualifications:

Series 6, Investment Company Products/Variable Contracts Limited Representative Examination (1992)

Series 7, General Securities Representative Examination (1992)

Series 63, Uniform Securities Agent Laws (1991)

Series 66, Uniform Combined State Law Examination (2003)

ITEM 3 - DISCIPLINARY INFORMATION

John P. Cleary has no legal or disciplinary events that are material to a Client's or prospective Client's evaluation of this advisory business.

ITEM 4 - OTHER BUSINESS ACTIVITIES

The principal business of Advisor is that of a registered investment advisor and provider of financial planning services. John P. Cleary is also a registered representative of a broker-dealer. When acting in the capacity of a

registered representative, John P. Cleary may receive the usual and customary commissions or fees on the products the Client purchases. Receiving commissions on products may cause a conflict of interest. Therefore, the advisory Client is free to select any broker dealer the client desires for implementation of Advisor's recommendations.

ITEM 5 - ADDITIONAL COMPENSATION

Other than work with Lewis Asset Management and any disclosures made in Items 2 and 4 above, John P. Cleary receives no additional compensation related to outside business activities.

ITEM 6 – SUPERVISION

John P. Cleary is not a supervising authority at Lewis Asset Management and works closely with and is supervised by the managing member of the firm. The managing member is David W. Lewis, Jr. and may be contacted at the address or phone number on the cover page of this document.

ITEM 7 - REQUIREMENTS FOR STATE-REGISTERED ADVISERS

State securities authorities require this disclosure and it is provided to you for evaluating this investment advisor representative's suitability.

John P. Cleary has never been found liable in arbitration or liable in a civil, self-regulatory organization, or administrative proceeding involving an investment or an investment-related business or activity; fraud, false statement(s), or omissions; theft, embezzlement, or other wrongful taking of property; bribery, forgery, counterfeiting, or extortion; or dishonest, unfair, or unethical practices. John P. Cleary has never been the subject of a bankruptcy petition.