

DAVID W. LEWIS, JR.

This brochure provides supplemental information about David W. Lewis, Jr. (“Investment Advisor Representative”, “IAR” or “Employee”) that supplements the Lewis Asset Management brochure, which should have also been provided to you. Please contact David W. Lewis, Jr. at Lewis Asset Management if you need the Lewis Asset Management brochure or if you have any questions.

Additional information about Lewis Asset Management is also available at the SEC’s website www.adviserinfo.sec.gov (under “investment adviser firm” and type in our firm name).

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LEWIS ASSET MANAGEMENT
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ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

David W. Lewis, Jr.

Year Born: 1974

Educational Background:

B.S., Electrical Engineering – Penn State University (1996)

Business Background:

04/2007 – Present: *Chief Compliance officer & Registered investment Advisor*, Lewis Asset Management, LLC dba Lewis Asset Management

05/2007 – Present: *Registered Representative*, Cambridge Investment Research, Inc.

09/2001 – 04/2007: *Registered Representative*, Solomon Smith Barney

07/1997 – 09/2001: *Financial Advisor*, Dean Witter Reynolds, Inc.

Professional Qualifications:

Series 7, General Securities Representative Examination (1997)

Series 24, General Securities Principal Examination (2008)

Series 31, Futures Managed Funds Examination (2004)

Series 63, Uniform Securities Agent Laws (1997)

Series 65, Uniform Investment Adviser Law Examination (2001)

ITEM 3 - DISCIPLINARY INFORMATION

David W. Lewis, Jr. has no legal or disciplinary events that are material to a Client's or prospective Client's evaluation of this advisory business.

ITEM 4 - OTHER BUSINESS ACTIVITIES

The principal business of Advisor is that of a registered investment advisor and provider of financial planning services. David W. Lewis, Jr. is also a registered representative of a broker-dealer and a licensed insurance agent. When acting in the capacity of either a registered representative or an insurance agent, David W. Lewis, Jr. may receive the usual and customary commissions or fees on the products the Client purchases. Receiving commissions on products may cause a conflict of interest. Therefore, the advisory Client is free to select any insurance company or broker dealer the client desires for implementation of Advisor's recommendations.

ITEM 5 - ADDITIONAL COMPENSATION

Other than work with Lewis Asset Management and any disclosures made in Items 2 and 4 above, David W. Lewis, Jr. receives no additional compensation related to outside business activities.

ITEM 6 – SUPERVISION

David W. Lewis, Jr. is the sole managing member of Lewis Asset Management and is the supervising authority.

ITEM 7 - REQUIREMENTS FOR STATE-REGISTERED ADVISERS

State securities authorities require this disclosure and it is provided to you for evaluating this investment advisor representative's suitability.

David W. Lewis, Jr. has never been found liable in arbitration or liable in a civil, self-regulatory organization, or administrative proceeding involving an investment or an investment-related business or activity; fraud, false statement(s), or omissions; theft, embezzlement, or other wrongful taking of property; bribery, forgery, counterfeiting, or extortion; or dishonest, unfair, or unethical practices. David W. Lewis, Jr. has never been the subject of a bankruptcy petition.