

Global AutoTrading

www.global-autotrading.com

Last Updated: 2016-03-04

This brochure provides information about the qualifications and business practices of Global AutoTrading. If you have any questions about the contents of this brochure, please contact us at service@global-autotrading.com. The information in this brochure has not been approved or verified by the United States Securities and Exchange Commission or by any state securities authority.

Additional information about Global AutoTrading is also available on the SEC's website at www.adviserinfo.sec.gov.

Summary of Material Changes

This brochure is being amended for our annual update. It now reflects the fact that Global AutoTrading may use solicitors to introduce new clients to Global AutoTrading.

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4. Advisory Business¹

Global AutoTrading Inc. (Global AutoTrading) provides a monthly-fee-based automated trading (autotrading) service to its clients. Global AutoTrading does not recommend individual investments; which orders to place is dictated by the clients' existing subscription to various 3rd-party investment newsletters (Newsletters). Global AutoTrading does not recommend any Newsletter to its clients. The clients decide for themselves which Newsletter they want to subscribe to, and if the Newsletter is compatible with Global AutoTrading's service, then the clients can sign up to Global AutoTrading's autotrading service. Global AutoTrading trades equities, options and bonds.

Global AutoTrading has been in business since 2007. The principal owner of Global AutoTrading Inc. is Jimmy Talbot. As of March 4, 2016, Global AutoTrading autotrades USD9.63M in assets.

5. Fees & Compensation

Global AutoTrading charges a monthly fee per account based on the number of newsletters being autotraded in the account, as well as the net liquidity of the account for accounts larger than \$100k. One newsletter costs \$70 per month; a second newsletter costs an additional \$30 per month; and each subsequent newsletter costs an additional \$10 per month. For accounts larger than \$100k, the net is divided by \$100k to come up with a scaling factor that is multiplied by the fee computed above. Thus, an account with a net liquidation value of \$150k and autotrading a single newsletter would pay $\$70 \times 1.5 = \105 per month. These fees are non-negotiable and are payable monthly at the beginning of the billing period. These fees will be taken from a credit card, PayPal account or transferred from the client's brokerage account to Global AutoTrading's brokerage account. These fees are non-refundable. If a subscription to Global AutoTrading's autotrading service is terminated with at least 15 days prior to the next billing date, there will be no further subscription charges to the client, but there will be no refund for the remainder of the period of the subscription.

Clients will incur brokerage and other transaction costs that are charged directly by the broker (please see section 12- Brokerage Practices).

6. Performance-Based Fees and Side-By-Side Management

Global AutoTrading does not accept performance-based fees.

7. Types of Clients

Global AutoTrading clients include individuals, trusts, and corporations. The minimum account size varies from broker to broker. Interactive Brokers' minimum account size is USD5000; tradier's minimum account size is USD2000.

8. Methods of Analysis, Investment Strategies and Risk of Loss

Global AutoTrading does not analyze securities. Global AutoTrading receives recommendations from Newsletters via emails or other means, and places buy/sell orders in its clients' account according to those recommendations.

¹ The numbering follows the SEC guidelines; item 1 is the cover page, item 2 the list of material changes, and item 3 the table of contents.

Please note that investing in securities involves risk of loss that clients should be prepared to bear.

9. Disciplinary Information

Not Applicable.

10. Other Financial Industry Activities and Affiliations

Not Applicable.

11. Code of Ethics, Participation or Interest in Client Transactions and Personal Trading

Although Global AutoTrading does not recommend investments to its clients, it is possible that the Newsletters recommend investments in which Global AutoTrading or affiliates have a financial interest. In cases where orders for the same security for more than one client (or for Global AutoTrading and its affiliates or agents) needs to be submitted to the same broker at the same time and the orders are not combined, Global AutoTrading will submit the orders sorted by increasing number of contracts (smallest order first).

Global AutoTrading' complete code of ethics is available upon request.

12. Brokerage Practices

Global AutoTrading chooses brokers based on them meeting technical requirements for Global AutoTrading business model (such as support for Registered Investment Advisor accounts), as well as commission prices. Global AutoTrading does not receive commissions or other soft-dollar benefits from Interactive Brokers. Global AutoTrading receives compensation for each new client introduced to tradier.

Global AutoTrading may or may not aggregate (pool) orders together when submitting them to the broker. This does not usually affect commission prices with Interactive Brokers, as any order for more than one option contract has the same cost per contract whether it is large or small. Similarly for stocks, for any order involving more than 200 shares, the commission will be \$0.005 per share. It could affect small orders with tradier; however, tradier does not support pooled orders.

13. Review of Accounts

Global AutoTrading does not periodically review client accounts. If a client notifies Global AutoTrading that their financial state or their goals have changed, Global AutoTrading will examine the client's current newsletter subscriptions for suitability. Clients are urged to compare newsletter alerts with the state of their account to confirm that all trades have been executed as recommended.

Global AutoTrading sends weekly and monthly trade report supplements to clients. The supplements show individual transactions and positions specifying which newsletter the item is associated with. These reports do not include commission and other fees, and thus clients are urged to look at the broker's activity statements for a more accurate and complete state of their account (albeit without grouping by newsletter).

14. Client Referrals and Other Compensation

Global AutoTrading may, at times, enter into soliciting agreements to provide cash compensation to third parties for client referrals or introductions to Global AutoTrading. Our compensation agreements are in accordance with Rule 206(4)-3 under the Investment Adviser Act of 1940 and the applicable state regulations. To the extent required by Rule 206(4)-3 or applicable state regulations; the compensation is disclosed to clients by the third party referral source in a separate disclosure document. Generally, these arrangements provide compensation equal to a specific percentage of certain fees received by Global AutoTrading. This arrangement creates a conflict of interest in that cash compensation may impair the solicitor's evaluation of the client's suitability for Global AutoTrading's service. To mitigate or remedy this conflict of interest the Chief Compliance Officer will review referrals to ensure suitability with program before compensating the solicitor. Prior to compensating any individual for referrals, Global AutoTrading will ensure that these individual solicitors are appropriately registered as investment adviser representatives if registration is required by the jurisdictions in which solicitation activities are conducted.

Certain clients that engage Global AutoTrading's services as result of referrals from third parties will not pay more or less than other clients.

15. Custody

Global AutoTrading does not have custody of client assets.

16. Investment Discretion

Discretionary authority to determine the securities and total amount of securities to be bought or sold may be exercised only after a client executes a limited power of attorney granting such authority. Global AutoTrading may place orders to buy/sell equities or options in clients account, but may not transfer money in or out of the account (other than the billing of Global AutoTrading's monthly fee).

17. Voting Client Securities

Global AutoTrading does not have authority to vote client securities. Clients will receive their proxies or other solicitations directly from the broker.

18. Financial Information

Not applicable.

Jimmy Talbot

Global AutoTrading Brochure Supplement

www.global-autotrading.com

Last Updated: 2013-02-27

This brochure supplement provides information about Jimmy Talbot that supplements the Global AutoTrading brochure. You should have received a copy of that brochure. Please contact service@global-autotrading.com if you did not receive Global AutoTrading's brochure or if you have any questions about the contents of this supplement.

2. Educational Background and Business Experience ¹

Name Jimmy Talbot

Year of birth 1973

Education

- H.BSc. Space & Communication Sciences, York University
- M.Sc. Computer Science, University of Toronto
- Canadian Securities Course, Canadian Securities Institute
- Conduct & Practices Course, Canadian Securities Institute
- Derivatives Fundamentals Course, Canadian Securities Institute
- Futures Licensing Course, Canadian Securities Institute
- Options Licensing Course, Canadian Securities Institute
- Investment Management Techniques, Canadian Securities Institute
- Portfolio Management Techniques, Canadian Securities Institute
- Partners, Directors and Senior Officers Course, Canadian Securities Institute
- Chartered Investment Manager, Canadian Securities Institute

Business Background

- Radio Telescope Operator, Institute for Space & Terrestrial Science, Algonquin Space Complex
- Research Associate, Goddard Space Flight Center, NASA
- Software Developer, R&D, SPAR Aerospace / MD Robotics / MDA
- Director of Engineering, Vizible Corporation
- Director of Engineering, Open Text Corporation
- President, CEO & CCO, Global AutoTrading Inc.

3. Disciplinary Information

None.

4. Other Business Activities

None.

5. Additional Compensation

None.

6. Supervision

Global AutoTrading helps investors execute trades in their account. Clients receive the same 'trade alerts' that Global AutoTrading receives. Global AutoTrading emails trade confirmations to its clients, and clients can see their account holdings in the broker's activity statements as well. Therefore, clients themselves can make sure that Global AutoTrading executed the trades recommended in the 'trade alerts'. Thus, clients supervise Global AutoTrading directly - and hence the services provided by Mr. Talbot.

¹ The numbering follows the SEC guidelines; item 1 is the cover page.

Ram Engler

Global AutoTrading Brochure Supplement

www.global-autotrading.com

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This brochure supplement provides information about Ram Engler that supplements the Global AutoTrading brochure. You should have received a copy of that brochure. Please contact service@global-autotrading.com if you did not receive Global AutoTrading's brochure or if you have any questions about the contents of this supplement.

2. Educational Background and Business Experience ¹

Name Ram Engler

Year of birth 1988

Education

- Bachelor of Administrative Studies in Business – Finance, York University
- Advanced options trader course, Trading Academy (Questrade)
- Equity and Forex Technical Analysis, Metropolis School of Trading
- Currently enrolled in the Chartered Financial Analyst program, CFA Institute

Business Background

- Accountant Assitant, Deacur Worthington & Associates Ltd
- Independent trader (Equities, Forex, Options)
- AutoTrading Service Operator, Global AutoTrading Inc.

3. Disciplinary Information

None.

4. Other Business Activities

None.

5. Additional Compensation

None.

6. Supervision

Global AutoTrading helps investors execute trades in their account. Clients receive the same 'trade alerts' that Global AutoTrading receives. Global AutoTrading emails trade confirmations to its clients, and clients can see their account holdings in the broker's activity statements as well. Therefore, clients themselves can make sure that Global AutoTrading executed the trades recommended in the 'trade alerts'. Thus, clients supervise Global AutoTrading directly - and hence the services provided by Mr. Engler. Mr. Talbot, CCO of Global AutoTrading, also supervises Mr. Engler directly.

¹ The numbering follows the SEC guidelines; item 1 is the cover page.

Touhidul Alam

Global AutoTrading

Brochure Supplement

www.global-autotrading.com

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2. Educational Background and Business Experience ¹

Name Touhidul Alam

Year of birth 1987

Education

- Bachelors in Commerce (finance), University of Windsor
- Canadian Securities Course, Canadian Securities Institute
- Conduct and Practices Handbook Course, Canadian Securities Institute
- Derivative Fundamental Course, Canadian Securities Institute
- Commodities As Investment, Canadian Securities Institute
- Option Supervisor Course, Canadian Securities Institute
- Technical Analysis Course, Canadian Securities Institute
- Option Licensing Course, Canadian Securities Institute
- Future Licensing Course, Canadian Securities Institute
- Branch Manager Course, Canadian Securities Institute

Business Background

- Team lead, Customer Service, Questrade Inc.
- Client Service Specialist, Questrade Inc
- Prop Trader (equity), Swifttrade
- AutoTrading Service Operator, Global AutoTrading Inc.

3. Disciplinary Information

None.

4. Other Business Activities

None.

5. Additional Compensation

None.

6. Supervision

Global AutoTrading helps investors execute trades in their account. Clients receive the same 'trade alerts' that Global AutoTrading receives. Global AutoTrading emails trade confirmations to its clients, and clients can see their account holdings in the broker's activity statements as well. Therefore, clients themselves can make sure that Global AutoTrading executed the trades recommended in the 'trade alerts'. Thus, clients supervise Global AutoTrading directly - and hence the services provided by Mr. Alam. Mr. Talbot, CCO of Global AutoTrading, also supervises Mr. Alam directly.

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