

Form ADV Part 2B
Disclosure Brochure Supplement

for

Dana D. Anderson

The Financial Planning Group, Inc.

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This brochure supplement provides information about Dana Anderson that supplements The Financial Planning Group, Inc.'s (FPG's) disclosure brochure. You should have received a copy of that brochure. Please contact Dana Anderson if you did not receive FPG's disclosure brochure or if you have any questions about the contents of this supplement.

Item 2. Educational Background and Business Experience

DANA D. ANDERSON

Year of Birth: 1968

Education:

B.S., Business, St. Cloud State University, 1991;

Master of Science, College of Financial Planning, 2006.

Doctorate of Business Administration, Investment Theory, Argosy University, Eagan, MN, 2009

Business Experience:

President, Chief Compliance Officer (as of 6/2006), The Financial Planning Group, Inc., 03/1996 to Present;

Registered Representative, United Planners' Financial Services of America, 06/2005 to Present;

Professional Designations:

Certified Financial Planner (CFP).

CFP® Minimum Qualifications

The Certified Financial Planner (CFP®) designation is a professional certification mark for financial planners conferred by the Certified Financial Planner Board of Standards, Inc. (CFP Board). To receive authorization to use the designation, the candidate must meet education, examination, experience and ethics requirements, and meet ongoing certification requirements, as follows:

- Bachelor's degree or its equivalent, in any discipline, from an accredited university
- Minimum 15-hour curriculum necessary to prepare for the CFP exam (candidates may challenge the educational requirements if they are a licensed attorney or are hold any of the following certifications or degrees: Certified Public Accountant, Chartered Financial Analyst, Chartered Financial Consultant, Chartered Life Underwriter, Doctor of Business Administration or PhD in business or economics)
- Apply for and achieve a passing score on the Certified Financial Planner exam
- Possess at least 3 years of work experience in the financial planning industry - teaching, assisting, supervising or delivering financial planning services to a client base for a minimum of 3 years prior to certification
- Pass a background check and candidate fitness standards test. Candidates must reveal any criminal history, pending litigation or ethical violations. The CFP board verifies all employment history, qualifications and disciplinary issues via FINRA's Central Registration Depository.

Item 3. Disciplinary Information

Mr. Anderson was charged with possession of pornographic works. The charges were dismissed with no adjudication of guilt. The court ruled the power to stay adjudication is to be "relied upon sparingly and only for the purpose of avoiding an injustice resulting from the prosecutor's clear abuse of discretion "in the exercise of the charging function." Foss, 556 N.W.2d at 541

Item 4. Other Business Activities

In his individual separate capacity, Dana Anderson is associated with United Planners Financial Services of America (United Planners) as a registered representative (RR). United Planners is a registered broker-dealer and a member of the Financial Industry Regulatory Authority (FINRA) and is not affiliated with FPG. As a United Planners RR, Mr. Anderson may offer clients the option to purchase securities and investment products distributed by that firm including, but not limited to, mutual funds, variable annuities, variable life insurance, stocks and bonds, and limited partnerships. If a client purchases or sells securities products from him in this capacity, then he will receive commissions and related compensation, such as mutual fund service fees (12b-1 fees). This is conflict of interest, as his receipt of compensation from such recommendations can give him an incentive to recommend investment products based on the compensation received, rather than on the needs of the client.

United Planners is a Limited Partnership in which Dana Anderson is also a limited partner. Limited partners receive a percentage of United Planners' net profit on an annual basis. This annual participation

in United Planners net profit is a potential conflict between the interests of Mr. Anderson and clients because limited partners may recommend products or services that produce more revenue for the firm and thus increase their annual profit distribution.

In his individual separate capacity, Dana Anderson is also a licensed insurance agent appointed with various insurance companies. As a licensed insurance agent, he may offer investment advisory clients the option to purchase insurance products through him. If a client purchases insurance products through him, he will receive commissions and related compensation, such as insurance trail fees as a result of the sale. This is a conflict of interest, as his receipt of compensation from such recommendations can give him an incentive to recommend investment products based on the compensation received, rather than on the needs of the client.

Clients should be assured that, as required by FPG's Code of Ethics, Mr. Anderson makes every effort to recommend securities and insurance products that are most appropriate for the client, without consideration of compensation arrangements. Further, should clients elect to implement financial planning recommendations through Mr. Anderson, FPG may waive or reduce the amount of the client's financial planning fee as a result of the additional fees and/or commissions being earned. Any adjustment to the financial planning fee is at the discretion of FPG and will be disclosed to the client prior to implementing transactions. Finally, clients are under no obligation to purchase recommended securities or insurance products through FPG or Mr. Anderson and may purchase such products through the broker-dealer or insurance agency of their choice.

Item 5. Additional Compensation

Dana Anderson does not receive any economic benefit from any non-client for providing advisory services to clients.

Item 6. Supervision

As owner and designated principal of FPG, Dana Anderson has ultimate and direct supervisory responsibility over all personnel and functional areas of FPG. Dana regularly reviews required reports and activities of FPG and its personnel for compliance with applicable requirements. Mr. Anderson may be reached through the contact information on the front of this brochure.

Item 7. Requirements for State-Registered Advisers

The following information is required of state registered advisers. In addition to any events listed in Item 3 of this brochure, we must disclose if Dana D. Anderson has been involved in certain arbitration claims, been found liable in a certain civil, self-regulatory organization, or administrative proceedings, or has been the subject of a bankruptcy petition. Dana D. Anderson has nothing to disclose in these areas.