

## **Brochure Supplement**

(Part 2B of Form ADV)

**Anthony Ayers, CFA**

**Investment Adviser Representative**

**SMI Advisory Services, LLC**

**4400 Ray Boll Blvd.**

**Columbus, Indiana 47203**

**Telephone: 877-677-7764**

**Email: [AAyers@SMIAdvisory.com](mailto:AAyers@SMIAdvisory.com)**

### **NOTICE:**

This brochure supplement provides information about the Investment Adviser Representatives (IARs) of SMI Advisory Services, LLC. This information supplements the SMI Advisory Services brochure. You should have received a copy of that brochure. Please contact Fred Beerwart at 877-677-7764, if you did not receive the brochure of SMI Advisory Services. You can also contact Mr. Beerwart if you have any questions about the content of this supplement. Additional information about the IARs delineated within this supplement is available on the Investment Adviser Public Disclosure website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov). You can search this website by using the IAR's CRD number as listed herein.

May 15, 2018

## GENERAL EDUCATIONAL AND BUSINESS REQUIREMENTS

Generally, SMI Advisory Services, LLC ("SMI") requires employees to have relevant work experience in the securities industry. Any employee of SMI acting in an Investment Adviser Representative (IAR) capacity will be appropriately licensed as such.

This Brochure Supplement provides information about **Anthony Ayers**.

## ITEM 2 EDUCATIONAL AND BUSINESS EXPERIENCE

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### **Anthony Ayers, CFA, Portfolio Manager, Investment Adviser Representative**

CRD No. 2503806

Year of Birth: 1971

#### Education Background:

Bachelor of Science - Finance, Indiana University, Bloomington, IN (1996)

Series 63 (1994)

CFA (2002)

#### Business Experience:

##### ***Portfolio Manager and Investment Adviser Representative***

SMI Advisory Services, LLC, Columbus, IN (2005 – Present)

##### ***Investment Adviser Representative***

Omnium, LLC, Columbus, IN (2006 – 2007)

##### ***Investment Analyst***

Oxford Financial Group, Carmel, IN (2000 – 2001)

##### ***Registered Securities Representative***

Charles Schwab & Co., INC, Fishers, IN (1995 – 2000)

### **ITEM 3      DISCIPLINARY INFORMATION**

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Anthony Ayers, CFA, Investment Adviser Representative, does not have any legal or disciplinary events that are material to a client's or prospective client's evaluation.

### **ITEM 4      OTHER BUSINESS ACTIVITIES**

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Anthony Ayers is also an owner of Omnium Management Company, Inc. ("OMC"), which is the manager of Omnium Capital, LLC., which is a co-owner of SMI Advisory Services, LLC. Mr. Ayers receives a salary from OMC.

### **ITEM 5      ADDITIONAL COMPENSATION**

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Other than as noted in Item 4 above, Anthony Ayers does not receive any additional compensation for providing investment advice beyond the fee-based compensation he receives through our Firm.

### **ITEM 6      SUPERVISION**

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Anthony Ayers is an Investment Adviser Representative of SMI Advisory Services. Mr. Ayers is responsible for providing advice to the clients. He is supervised by Fred Beerwart, the Firm's Chief Compliance Officer, who is supervised by Mark Biller, the Firm's Senior Portfolio Manager.

## **Brochure Supplement**

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**Eric S. Collier, CFA**

**Investment Adviser Representative**

**SMI Advisory Services, LLC**

**4400 Ray Boll Blvd.**

**Columbus, Indiana 47203**

**Telephone: 877-677-7764**

**Email: [ECollier@SMIAdvisory.com](mailto:ECollier@SMIAdvisory.com)**

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This Brochure Supplement provides information about **Eric S. Collier**.

## ITEM 2 EDUCATIONAL AND BUSINESS EXPERIENCE

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**Eric S. Collier, CFA, Portfolio Manager, Investment Adviser Representative**

CRD No. 5052172

Year of Birth: 1975

### Education Background:

Bachelor of Science – Finance, Indiana University, Bloomington, IN, (1998)

Series 65 (1999)

CFA (2001)

### Business Experience:

#### ***Portfolio Manager and Investment Adviser Representative***

SMI Advisory Services, LLC, Columbus, IN (2005 – Present)

#### ***Investment Adviser Representative***

Omnium, LLC, Columbus, IN (2006 – 2007)

#### ***Investment Analyst***

Oxford Financial Group, Carmel, IN (2000 – 2001)

#### ***Analyst***

Webb Investment Advisor, Indianapolis, IN (1997 – 2000)

### **ITEM 3      DISCIPLINARY INFORMATION**

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Eric S. Collier, Investment Adviser Representative, does not have any legal or disciplinary events that are material to a client's or prospective client's evaluation.

### **ITEM 4      OTHER BUSINESS ACTIVITIES**

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Eric S. Collier is also an owner of Omnium Management Company, Inc. ("OMC"), which is the manager of Omnium Capital, LLC., which is a co-owner of SMI Advisory Services, LLC. Mr. Collier receives a salary from OMC.

### **ITEM 5      ADDITIONAL COMPENSATION**

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Other than as noted in Item 4 above, Eric S. Collier does not receive any additional compensation for providing investment advice beyond the fee-based compensation he receives through our Firm.

### **ITEM 6      SUPERVISION**

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Eric S. Collier is an Investment Adviser Representative of SMI Advisory Services. Mr. Collier is responsible for providing advice to the clients. He is supervised by Fred Beerwart, the Firm's Chief Compliance Officer, who is supervised by Mark Biller, the Firm's Senior Portfolio Manager.

## **Brochure Supplement**

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**Bryce Fathauer, CPA**

**Investment Adviser Representative**

**SMI Advisory Services, LLC**

**4400 Ray Boll Blvd.**

**Columbus, Indiana 47203**

**Telephone: 877-677-7764**

**Email: [BFathauer@SMIAdvisory.com](mailto:BFathauer@SMIAdvisory.com)**

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This Brochure Supplement provides information about **Bryce Fathauer**.

## ITEM 2 EDUCATIONAL AND BUSINESS EXPERIENCE

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### **Bryce Fathauer, CPA, Investment Adviser Representative**

CRD No. 6322824

Year of Birth: 1990

#### Education Background:

Bachelor of Science (Distinction) – Accounting and Finance, Kelley School of Business,  
Indiana University, Bloomington, Indiana (2012)

Certified Public Accountant (2014)

Series 65 (2014)

Accredited Asset Management Specialist ®, College for Financial Planning (2015)

#### Business Experience:

##### ***Investment Adviser Representative***

SMI Advisory Services, LLC, Columbus, IN (2014 – Present)

##### ***Finance Development Program Analyst***

Cummins Engine Company, Columbus, IN (2012 – 2014)

### **ITEM 3      DISCIPLINARY INFORMATION**

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Bryce Fathauer, Investment Adviser Representative, does not have any legal or disciplinary events that are material to a client's or prospective client's evaluation.

### **ITEM 4      OTHER BUSINESS ACTIVITIES**

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Bryce Fathauer is also the president and founder of Sanctified Services, a 501(c)(3) organization, and does not receive compensation.

He is also the Finance and Operations Director at Athens Church, a 501(c)(3) organization, and does not receive compensation.

### **ITEM 5      ADDITIONAL COMPENSATION**

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Other than as noted in Item 4 above, Bryce Fathauer does not receive any additional compensation for providing investment advice beyond the fee-based compensation he receives through our Firm.

### **ITEM 6      SUPERVISION**

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Bryce Fathauer is an Investment Adviser Representative of SMI Advisory Services. Mr. Fathauer is responsible for providing advice to the clients. He is supervised by Fred Beerwart, the Firm's Chief Compliance Officer, who is supervised by Mark Biller, the Firm's Senior Portfolio Manager.

## **Brochure Supplement**

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**Sandra Morrison**

**Investment Adviser Representative**

**SMI Advisory Services, LLC**

**4400 Ray Boll Blvd.**

**Columbus, Indiana 47203**

**Telephone: 877-677-7764**

**Email: SMorrison@SMIAdvisory.com**

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**May 15, 2018**

## GENERAL EDUCATIONAL AND BUSINESS REQUIREMENTS

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This brochure supplements provides information about **Sandra Morrison**.

## ITEM 2 EDUCATIONAL AND BUSINESS EXPERIENCE

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### **Sandra Morrison, Investment Adviser Representative**

CRD No. 6113277

Year of Birth: 1966

#### Education Background:

Bachelors of Science Degree – Chemical Engineering, Purdue University, W.  
Lafayette, IN (1988)

Series 65 (2013)

Series 6 (2014 - 2016)

#### Business Experience:

##### ***Investment Adviser Representative***

SMI Advisory Services, LLC, Columbus, IN (2011 – Present)

##### ***High School Science Teacher:***

Columbus Christian School, Columbus, IN (2008 – 2011)

##### ***Field Engineering Program, Process Engineer, Technical Sales:***

DuPont (1988 – 1994)

### **ITEM 3      DISCIPLINARY INFORMATION**

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Sandra Morrison, Investment Adviser Representative, does not have any legal or disciplinary events that are material to a client's or prospective client's evaluation.

### **ITEM 4      OTHER BUSINESS ACTIVITIES**

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None.

### **ITEM 5      ADDITIONAL COMPENSATION**

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Other than as noted in Item 4 above, Sandra Morrison does not receive any additional compensation for providing investment advice beyond the fee-based compensation she receives through our Firm.

### **ITEM 6      SUPERVISION**

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Sandra Morrison is an Investment Adviser Representative of SMI Advisory Services. Mrs. Morrison is responsible for providing advice to the clients. She is supervised by Fred Beerwart, the Firm's Chief Compliance Officer, who is supervised by Mark Biller, the Firm's Senior Portfolio Manager.

## **Brochure Supplement**

(Part 2B of Form ADV)

**Paul Wilkin, CFA, CFP, CAIA, ChFC**  
**Investment Adviser Representative**

**SMI Advisory Services, LLC**  
**4400 Ray Boll Blvd.**  
**Columbus, Indiana 47203**

**Telephone: 877-677-7764**  
**Email: PWilkin@SMIAdvisory.com**

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This Brochure Supplement provides information about **Paul Wilkin**.

## ITEM 2 EDUCATIONAL AND BUSINESS EXPERIENCE

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### **Paul Wilkin, CFP, CFA, ChFC, CAIA, Investment Adviser Representative**

CRD No. 2233449

Year of Birth: 1962

#### Education Background:

BSBA – Economics, Bowling Green State University, Bowling Green, OH	(1984)
MBA – Finance, Ohio State University, Columbus, OH	(1986)
Chartered Financial Planner (CFP)	(1998)
Chartered Financial Analyst (CFA)	(2003)
Chartered Financial Consultant (ChFC)	(2006)
Chartered Alternative Investment Analyst	(2007)

#### Business Experience:

##### ***Investment Adviser Representative***

SMI Advisory Services, LLC, Columbus, IN (2018 – Present)

##### ***Senior Investment Consultant***

Hammond Associates/Mercer, St. Louis, MO (2005 – 2017)

##### ***Investment Analyst***

Oxford Financial Group, Carmel, IN (2000 – 2005)

##### ***Financial Planner***

American Express Financial Advisors, Dayton, OH (1994 – 2000)

##### ***Various Accounting Positions***

NCR Corporation, Dayton, OH (1986 – 1993)

### **ITEM 3      DISCIPLINARY INFORMATION**

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Paul Wilkin, CFA, Investment Adviser Representative, does not have any legal or disciplinary events that are material to a client's or prospective client's evaluation.

### **ITEM 4      OTHER BUSINESS ACTIVITIES**

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None.

### **ITEM 5      ADDITIONAL COMPENSATION**

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Other than as noted in Item 4 above, Paul Wilkin does not receive any additional compensation for providing investment advice beyond the fee-based compensation he receives through our Firm.

### **ITEM 6      SUPERVISION**

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Paul Wilkin is an Investment Adviser Representative of SMI Advisory Services. Mr. Wilkin is responsible for providing advice to the clients. He is supervised by Fred Beerwart, the Firm's Chief Compliance Officer, who is supervised by Mark Biller, the Firm's Senior Portfolio Manager.