

GREGORY E. RATTE, CFA[®]

This brochure provides supplemental information about Gregory E. Ratte, CFA[®]. This supplements the East West Capital Management, LLC dba East West Wealth Management, LLC (“EWWM”) brochure, which should have also been provided to you. Please contact Gregory E. Ratte, CFA[®] at EWWM if you need the EWWM brochure or if you have any questions.

Additional information about EWWM is also available at the SEC’s website www.adviserinfo.sec.gov (under “investment adviser firm” and type in our firm name).

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ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Gregory E. Ratte, CFA®

Year Born: 1961

Educational Background:

B.A., – Amherst College (1983)

Graduate Study, – Japan-American Institute of Management Science in Honolulu and Tokyo (1985)

Business Background:

07/2005 – Present: *Chief Investment Officer*, East West Capital Management, LLC dba East West Wealth Management, LLC

04/2001 – 06/2005: *President, Chief Investment Officer*, Bishop Street Capital Management

04/1989 – 12/2001: *Partner, Investment Officer*, Brundage, Story & Rose

Professional Designation:


Chartered Financial Analyst (CFA®)

Explanation of Designation:

CFA®: (Chartered Financial Analyst)

To earn this designation, you must have four years of qualified investment work experience, become a member of CFA Institute, pledge to adhere to the CFA Institute's Code of Ethics and Standards of Professional Conduct on an annual basis, apply for membership to a local CFA member society, and complete the CFA Program.

The CFA Program is organized into three levels, each culminating in a six-hour exam. The major areas of study are Ethics, Quantitative Analysis, Economics, Accounting, Security Analysis, and Portfolio Management.



In addition to producing an array of industry-supported events, educational opportunities and publications, the CFA Institute has devised a volunteer-based education program that recommends that members complete a minimum of 20 hours of continued education (CE) activities, including a minimum of two hours of standards, ethics and regulatory education each calendar year. Members have autonomy over the activities they engage in as long as they follow two broad requirements: The activity should be educational in nature and geared toward increasing the knowledge, skills and abilities of an investment professional and the educational content should relate to one or more of the Topics for Investment Professionals (TIPs) or a topic that a member deems individually relevant for his or her unique professional responsibilities.

Members receive credit for their continuing education by keeping a CE journal and then receive recognition for meeting annual milestones in their programs. The CFA Institute recognizes these milestones by providing certificates signifying program completion and by publishing the names of CE members in CFA publications.

ITEM 3 - DISCIPLINARY INFORMATION

Gregory E. Ratte, CFA[®] has no legal or disciplinary events that are material to you or a prospective client's evaluation of this advisory business.

ITEM 4 - OTHER BUSINESS ACTIVITIES

The principal business of EWWM is that of a registered investment advisor and provider of financial planning services.

ITEM 5 - ADDITIONAL COMPENSATION

Other than work with EWWM and any disclosures made in Items 2 and 4 above, Gregory E. Ratte, CFA[®] receives no additional compensation related to outside business activities.

ITEM 6 – SUPERVISION

Gregory E. Ratte, CFA[®] is a supervising member of EWWM along with Bonnie F. Rice, CTFA[®], ChFC[®]. As such Gregory E. Ratte, CFA[®] is not supervised, but works closely with the other partner(s) in the firm. All supervising members will remain aware of and keep us in compliance with the current rules and regulations put forth by each ruling regulatory authority where we conduct our business. The supervising members may be contacted at the address or phone number on the cover page of this document.

ITEM 7 - REQUIREMENTS FOR STATE-REGISTERED ADVISERS

State securities authorities require this disclosure and it is provided to you for evaluating this investment advisor representative's suitability.

Gregory E. Ratte, CFA® has never been found liable in arbitration or liable in a civil, self-regulatory organization, or administrative proceeding involving an investment or an investment-related business or activity; fraud, false statement(s), or omissions; theft, embezzlement, or other wrongful taking of property; bribery, forgery, counterfeiting, or extortion; or dishonest, unfair, or unethical practices. Gregory E. Ratte, CFA® has never been the subject of a bankruptcy petition.