

**Brochure Supplement**

March 31, 2013

**Acacia Wealth Advisors, LLC**

SEC File No. 801-64174

**Amy S. Born**

**Senior Vice President, Wealth Strategies**

**Chief Compliance Officer**

CRD No. 2640905

245 South Beverly Drive

Beverly Hills, CA 90212

phone: 310-246-0560

email: [aborn@acaciawealth.com](mailto:aborn@acaciawealth.com)

website: [www.acaciawealth.com](http://www.acaciawealth.com)

This brochure supplement provides information about Amy Born that supplements the Acacia Wealth Advisors, LLC, brochure. You should have received a copy of that brochure. If you did not receive an Acacia Wealth Advisors, LLC, brochure or if you have any questions about the contents of this supplement, please contact Amy Born at [aborn@acaciawealth.com](mailto:aborn@acaciawealth.com) or by phone at 310-246-0560.

Additional information about Amy Born is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## Item 2: Educational Background and Business Experience

Amy Born (b. 1971) is Senior Vice President of Wealth Strategies and Chief Compliance Officer for Acacia Wealth Advisors, LLC.

### A. Educational Background

BS, Business Administration (Financial Services) San Diego State University	1994
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### B. Professional Designations and Licenses

Certified Financial Planner™ (CFP®)	1995
Certified Investment Management Analyst (CIMA)	2001

### C. Business Background

Senior Vice President, Wealth Strategies Acacia Wealth Advisors, LLC	11/2007–Present
Senior Manager, Stone Carlie Wealth Advisors, LLC	02/2003–11/2007
Manager, Ernst & Young, LLP	10/1999–02/2003

### D. Professional Designations - Qualifications and Related Criteria

#### D.1. Qualifications for Certified Financial Planner™ (CFP®)

The Certified Financial Planner™ (CFP®) certification process, administered by CFP Board, identifies that those individuals who have been authorized to use the CFP certification marks in the U.S. have met rigorous professional standards and have agreed to adhere to the principles of integrity, objectivity, competence, fairness, confidentiality, professionalism, and diligence when dealing with clients.

CFP certificants must pass the comprehensive CFP Certification Examination; pass CFP Board's Candidate Fitness Standards; agree to abide by CFP Board's Code of Ethics and Professional Responsibility, which puts clients' interests first; and comply with the Financial Planning Practice Standards, which spell out what clients should be able to reasonably expect from the financial planning engagement. These are just some of the reasons why the CFP certification is becoming increasingly recognized.

To become certified, candidates are required to meet the following initial certification requirements:

**Education** Complete an advanced college-level course of study addressing the financial planning subject areas that CFP Board's studies have determined as necessary for the competent and professional delivery of financial planning services, and attain a Bachelor's Degree from a regionally accredited United States college or university (or its equivalent from a foreign

university). CFP Board's financial planning subject areas include insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning.

**Examination** Pass the comprehensive CFP Certification Examination. The examination, administered in 10 hours over a two-day period, includes case studies and client scenarios designed to test one's ability to correctly diagnose financial planning issues and apply one's knowledge of financial planning to real world circumstances.

**Experience** Complete at least three years of full-time financial planning-related experience (or the equivalent, measured as 2,000 hours per year).

**Ethics** Agree to be bound by CFP Board's Standards of Professional Conduct, a set of documents outlining the ethical and practice standards for CFP professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements in order to maintain the right to continue to use the CFP marks:

**Continuing Education** Complete 30 hours of continuing education hours every two years, including two hours on the Code of Ethics and other parts of the Standards of Professional Conduct, to maintain competence and keep up with developments in the financial planning field.

**Ethics** Renew an agreement to be bound by the Standards of Professional Conduct. The Standards prominently require that CFP professionals provide financial planning services at a fiduciary standard of care. This means CFP professionals must provide financial planning services in the best interests of their clients.

CFP professionals who fail to comply with the above standards and requirements may be subject to CFP Board's enforcement process, which could result in suspension or permanent revocation of their CFP certification.

## **D.2. Qualifications for Certified Investment Management Analyst (CIMA)**

The Certified Investment Management Analyst (CIMA) certification program is the only credential designed specifically for financial professionals who want to attain a level of competency as an advanced investment consultant. The CIMA professional integrates a complex body of investment knowledge to provide objective investment advice and guidance to individuals and institutions. That knowledge is applied systematically and ethically to assist clients in making prudent investment decisions.

This CIMA designation focuses on asset allocation, ethics, due diligence, risk measurement, investment policy and performance measurement. Only individuals who are investment consultants with at least three years of professional experience are eligible to try to obtain this certification, which signifies a high level of consulting expertise. The Investment Management Consultants Association offers the CIMA courses.

The CIMA certification program requires that candidates meet all eligibility requirements, including experience, education, examination and ethics. There are five steps that must be completed to earn the certification:

Submit the CIMA certification program application and fee and undergo a background check.

1. Pass the online qualification examination.
2. Schedule into and complete the education program with a registered education provider over a five-day period.
3. Submit the certification examination application and fee and pass the classroom certification examination.
4. Sign the licensing agreement, submit the initial certification fee, and agree to adhere to IMCA's Code of Professional Responsibility, Standards of Practice, and Rules and Guidelines for Use of the Marks.

Individuals who hold CIMA designations are required to prove their expertise through continual recertification, which requires CIMA designees to complete at least 40 hours of continuing education every two years.

### **Item 3: Disciplinary Information**

Amy Born does not have any disciplinary action to report. Public information concerning Ms. Born's registration as an investment advisor representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 4: Other Business Activities**

Ms. Born does not engage in outside business activities and devotes her full time and energy to the business of Acacia Wealth Advisors, LLC.

### **Item 5: Additional Compensation**

Other than income derived from servicing Acacia's advisory clients, Ms. Born does not receive additional compensation.

### **Item 6: Supervision**

Supervision of Ms. Born is performed by Meloni Hallock in her capacity as Chief Executive Officer, through reviews of internal transaction and security holdings reports, electronic and physical correspondence, and other internal reports as mandated by the firm and its regulatory authorities. Ms. Hallock can be reached at 310-246-0560.