

**Kenneth S. Grossman**  
**415 North LaSalle St. Suite 401**  
**Chicago, IL 60654**  
**312-923-0150**

**SG Capital Management**  
**415 North LaSalle St. Suite 401**  
**Chicago, IL 60654**  
**312-923-0150**

This brochure supplement provides information about Kenneth S. Grossman, Principal of SG Capital Management who was identified in that firm's brochure. You should have received a copy of that brochure. Please contact Nicolette Rudman at 312-923-0150 if you did not receive SG Capital Management's brochure or if you have any questions about the contents of this supplement.

March 13, 2012

# Educational Background & Business Experience

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## **Name, Year of Birth**

Kenneth S. Grossman, 1946

## **Formal Education**

B.S. in Finance cum laude from the University of Miami

Master's degree in Finance and Economics from the University of Chicago

## **Business Background**

Partner and co-founder of SG Capital Management in 2002, responsible for managing the firm's portfolios since that date

# Disciplinary Information

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Mr. Grossman has not been the subject of any legal or disciplinary event.

# Other Business Activities

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Ken has held positions as instructor and lecturer in finance at Roosevelt University (Chicago) and was an advisor to the Pension Fund Committee for the State of Illinois Governor's Commission. He has spoken before numerous investor conferences and professional societies.

# Additional Compensation

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Mr. Grossman does not receive any economic benefit, such as sales awards, from anyone who is not a client.

# Supervision

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Mr. Grossman and Mr. Schneider are equal partners in SG Capital and work closely together in managing client portfolios. Policies and procedures are in place to ensure no client receives preferential treatment and no client is disadvantaged.

## **Name, Title and Telephone Number of Supervisor**

Kenneth S. Grossman and Glenn Schneider, Partners, 312-923-0150

**Glen Schneider**  
**415 North LaSalle St. Suite 401**  
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**SG Capital Management**  
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This brochure supplement provides information about Glen Schneider, Principal of SG Capital Management who was identified in that firm's brochure. You should have received a copy of that brochure. Please contact Nicolette Rudman at 312-923-0150 if you did not receive SG Capital Management's brochure or if you have any questions about the contents of this supplement.

March 13, 2012

# Educational Background & Business Experience

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## **Name, Year of Birth**

Glen Schneider, CFA, 1971

## **Formal Education**

Bachelor's degree in Economics from the University of Wisconsin

## **Business Background**

Partner and co-founder of SG Capital Management in 2002, responsible for managing the firm's portfolios since that time

## **Description of Professional Designations**

The Chartered Financial Analyst (CFA) charter is a professional designation established in 1962 and awarded by CFA Institute. To earn the CFA charter, candidates must pass three sequential, six-hour examinations over two to four years. The three levels of the CFA Program test a wide range of investment topics, including ethical and professional standards, fixed-income analysis, alternative and derivative investments, and portfolio management and wealth planning. In addition, CFA charter holders must have at least four years of acceptable professional experience in the investment decision-making process and must commit to abide by, and annually reaffirm, their adherence to the CFA Institute Code of Ethics and Standards of Professional Conduct.

# Disciplinary Information

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Mr. Schneider has not been the subject of any legal or disciplinary event.

# Other Business Activities

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Mr. Schneider is a member of the CFA Institute and the Investment Analysts Society of Chicago

# Additional Compensation

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Mr. Schneider does not receive any economic benefit, such as sales awards, from anyone who is not a client.

# Supervision

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Mr. Grossman and Mr. Schneider are equal partners in SG Capital and work closely together in managing client portfolios. Policies and procedures are in place to ensure no client receives preferential treatment and no client is disadvantaged.

**Name, Title and Telephone Number of Supervisor**

Kenneth S. Grossman and Glenn Schneider, Partners, 312-923-0150