



# CAPITAL CITY PARTNERS ASSET MANAGEMENT GROUP, LLC

A CAPITAL CITY PARTNERS COMPANY

Capital City Asset Management Group, LLC  
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Part 2B of Form ADV  
Brochure Supplement  
For:  
Curtis Clark  
DBA  
The Pension & Retirement Group, LLC  
5900 Roche Drive, Suite 435  
Columbus, Ohio 43229  
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Updated June 20, 2017

This brochure supplement provides information about Curtis Clark that supplements the Capital City Asset Management Group, LLC brochure. You should have received a copy of that brochure. Please contact Capital City Asset Management Group, LLC if you did not receive Capital City Asset Management Group, LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Curtis Clark is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).



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## ***Education Background and Experience***

Curtis Clark was born December 3, 1962. Curtis graduated from the University of Central Arkansas with a degree in Business Management. Curtis began his financial services industry career 15 years ago. Curtis focuses on financial planning as well as pension and retirement plans.

Mr. Clark joined the Capital City group of companies in 2016. Mr. Clark was registered with Pruco Securities in 2015, Southeast Investments in 2014, NY life Securities from 2011 to 2013 and National City Investments 2008 and 2009.

Curtis has attained the following financial industry licenses: FINRA registered Series 6 & 63, Ohio Investment Advisor registered and Ohio Life, Health and Annuity Licensed Insurance Agent. These licenses are not professional designations.

## ***Disciplinary Information***

None

## ***Other Business Activities***

Investment products through Capital City Securities, LLC member FINRA, SIPC & MSRB broker dealer an affiliated company of Capital City Asset Management Group, LLC

Life, Health, Disability, Critical Illness, Long Term Care Insurance and Fixed Annuity Products through the Hildebrand Financial Group, LLC

Life, Health, Disability, Critical Illness, Long Term Care Insurance and Fixed Annuity Products through Capital City Insurance Group, LLC an affiliated company of Capital City Asset Management Group, LLC.

Life, Health, Disability, Critical Illness, Long Term Care Insurance and Fixed Annuity Products with Auto, Home and Umbrella insurance through Crotty & Associates, LLC

Life, Health, Disability, Critical Illness, Long Term Care Insurance and Fixed Annuity Products through The Pension & Retirement Group, LLC

## ***Conflicts of Interest***

The following practices present a conflict of interest and gives CCAMG and or it's supervised persons an incentive to recommend investment products based on compensation received, rather than on a client's needs. Capital City Asset Management Group, LLC (CCAMG) is a registered investment advisory firm, Capital City Consulting Group, LLC (CCCG) engages in investment



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banking activities, Capital City Insurance Group, LLC (CCIG) is an Insurance Agency and Capital City Securities, LLC (CCS) is a FINRA securities broker-dealer all are wholly owned subsidiaries of Capital City Partners, Inc. (CCP). Todd Crawford, CEO of CCAMG and Timothy Shear Senior Vice President of CCAMG are beneficial shareholders, board of director members and Timothy Shear CEO and Todd Crawford Chairman of the Board of Directors of Capital City Energy Group, Inc. a public company.

Your Investment Advisor Representative may have a financial interest in one or more of the above mentioned companies or its' investment products and/or services. In addition, your Advisor may be licensed with and or provide services for and receive commissions and or fees for products used in the management of your account.

Your Advisor may recommend an investment in or a product from one or more of the above mentioned companies. This may create a conflict of interest between you and your Advisor, as your Advisor may have an ownership interest in some or all of the above mentioned companies and or receive commissions and or fees from these companies. The client may have the option to purchase the products CCAMG recommends through other brokers or agents that are not affiliated with CCAMG. CCAMG does not reduce advisory fees to offset commissions and or mark ups paid by the client. All advisory fees are separate from the fees and expenses charged by each hedge fund, mutual fund, insurance company and other investments for their services. These fees and expenses are described in the prospectus or offering documents of each investment. These fees will generally include a management fee, other fund expenses and a possible distribution fee. If the investment also imposes a sales charge, then the client may pay an initial or a deferred sales charge. A client could invest in hedge funds, mutual funds, annuities and/or life insurance policies and other investments directly, without the services of CCAMG. Accordingly, the client should review both the fees charged by the hedge funds, mutual funds, insurance companies and other investments, as well as the fees charged by CCAMG to fully understand the total amount of fees to be paid by the client and to thereby evaluate the advisory services being provided.

Capital City Asset Management Group and their Investment Advisor Representatives are subject to and intend to comply with standards of fiduciary duty requiring CCAMG and the representative to act solely in the best interest of the client when making investment recommendations.

## ***Additional Compensation***

CCAMG has relationships with various unaffiliated RIAs and Hedge Funds to offer their services to CCAMGs' clients. As a result of these relationships, CCAMG receives compensation in exchange for introducing its clients to such unaffiliated RIAs and Hedge Funds. CCAMG may share a portion of the compensation with its representatives. CCAMG typically receives a



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percentage of the fee charged to the client by the unaffiliated RIA and or Hedge Fund for its services, which fees are typically based upon assets under management. The relationship of CCAMG IARs, and the RIA and or Hedge Fund is clearly communicated to all relevant clients in an agreement signed by the client and in a disclosure statement provided by the RIA and or Hedge Fund.

## ***Supervision***

CCAMG supervises its representatives' advisory services from the home office located at 1335 Dublin Road, Suite 122-D, Columbus, Ohio 43215. CCAMG monitors the advice provided to clients from its representatives by reviewing client account opening documents, client servicing account documents, client accounts and client account transactions on a periodic basis. Principals of the firm review client accounts to whom it and its IARs provide investment advice on a periodic basis. Such reviews include monitoring whether the IAR is complying with applicable laws and regulations, and whether the IAR is reviewing client account forms for appropriate disclosure of the client's financial means and goals when executing transactions for the client. CCAMG also reviews the trading in clients' accounts to ensure that the investment recommendations are suitable. Trades placed on a full discretionary basis are reviewed by a principal of the firm's home office.

A client account may be reviewed more than on a periodic basis. The review of an account may be triggered by trading activity, objectives set forth by the client, security transactions placed over certain dollar amount thresholds, or irregular trading activity.

The following persons supervise the firms' representatives' advisory activities from the home office located at 1335 Dublin Road, Suite 122-D, Columbus, Ohio 43215

Todd Crawford	CEO & Chief Compliance Officer	614-485-0803
James Roland	Compliance Officer	614-485-0803

## ***Requirements for State Registered Advisors***

Mr. Clark has no state required disclosable events.

Registration does not imply a certain level of skill or training.