



Capital City Asset Management Group, LLC  
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Part 2B of Form ADV  
Brochure Supplement  
For:  
Todd Crawford

Updated October 2016

This brochure supplement provides information about Todd Crawford that supplements the Capital City Asset Management Group, LLC brochure. You should have received a copy of that brochure. Please contact Capital City Asset Management Group, LLC if you did not receive Capital City Asset Management Group, LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Todd Crawford is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).



### ***Education Background and Business Experience***

Todd E. Crawford is the Chief Executive Officer, Chief Investment Officer and Chief Compliance Officer, born November 22, 1958. He attended The Ohio State University from 1978 to 1982 and majored in Economics. He holds FINRA Series 7 Full Registration/General Securities Representative, Series 24 General Securities Principal Registration, Series 63 Uniform Securities Agent State Registration, Series 65 Uniform Investment Advisor Registration, Series 99 Operations Professional Registration, Series 79 Investment Banking Representative Registration and the State of Ohio Department of Insurance Life, Health, Accident and Annuity licenses. Todd's Investment Advisory career spans over 25 years helping clients achieve their investment goals. His in depth experience managing assets makes him uniquely qualified to manage aggregated customized investment portfolios. In 2002, Todd co-founded Capital City Asset Management Group, LLC, formerly American Wealth Preservation Group, in order to offer truly independent investment and consulting services to businesses and high net worth individuals. Previously, Todd was an Investment Consultant for McDonald Investments Inc., formerly a KeyCorp Company, acquired by U.B.S. There he was the investment specialist for a group of financial professionals that consulted high net worth individuals and businesses on appropriate solutions for their investment, banking and insurance needs. Todd began his financial career in 1988 with Jefferson Pilot Financial Services, Inc. as a financial planner. The following year he joined Banc Stock Financial Services, Inc., a wholly owned subsidiary of The Banc Stock Group (now Diamond Hill Investment Group, Inc.) as a registered representative. There he managed primarily fee based equity investment portfolio accounts for companies and high net worth individuals. He also worked in the investment banking division placing first and secondary offerings for Banks and Thrifts in the United States.

### ***Disciplinary Information***

None.

### ***Other Business Activities***

Capital City Partners, Inc. is a holding company for financial service companies. It is located at 1335 Dublin Road, Suite 122-D, Columbus, OH 43215. Todd is the CEO. Capital City Consulting Group, LLC. offers investment banking services and is located at 1335 Dublin Road, Suite 122-D, Columbus, OH 43215. Todd is the managing partner. Capital City Energy Group, Inc. is a public company engaged in oil and natural gas exploration and production. Todd is chairman of the board of directors. Capital City Insurance Group, LLC. is an insurance agency offering life, health and annuities located at 1335 Dublin Rd., Suite 122-D, Columbus, OH 43215. Capital City Asset Management Group, LLC. 1335 Dublin Road, Suite 122-D, Columbus, Ohio 43215 is an investment advisory company offering fee based asset management services. Todd is the CEO. Capital City Securities,



LLC. is located at 1335 Dublin Road, Suite 122-D, Columbus, Ohio 43215 and is a FINRA member broker dealer offering securities and investments. Todd is the President.

### ***Conflicts of Interest***

The following practices present conflicts of interest and gives Capital City Asset Management Group, LLC (CCAMG) and or it's supervised persons an incentive to recommend investment products based on compensation received, rather than on a client's needs. Capital City Asset Management Group, LLC is a registered investment advisory firm, Capital City Consulting Group, LLC engages in investment banking activity, Capital City Insurance Group, LLC, is an Insurance Agency and Capital City Securities, LLC is a FINRA securities broker-dealer all are wholly owned subsidiaries of Capital City Partners, Inc. Todd Crawford CEO of CCAMG and Timothy Shear Senior Vice President of CCAMG are beneficial shareholders, board of director members and Timothy Shear CEO and Todd Crawford Chairman of the Board of Directors of Capital City Energy Group, Inc. a public company.

Your Investment Advisor Representative may have a financial interest in one or more of the above mentioned companies or its' investment products and/or services. In addition, your Advisor may be licensed with and or provide services for and receive commissions and or fees for products used in the management of your account.

Your Advisor may recommend an investment in or a product from one or more of the above mentioned affiliated companies. This may create a conflict of interest between you and your Advisor, as your Advisor may have an ownership interest in some or all of the above mentioned affiliated companies and or receive commissions and or fees from these companies. The client may have the option to purchase the products CCAMG recommends through other brokers or agents that are not affiliated with CCAMG. CCAMG does not reduce advisory fees to offset commissions and or mark ups paid by the client.

### ***Additional Compensation***

CCAMG has relationships with various unaffiliated RIAs and Hedge Funds to offer their services to CCAMGs' clients. As a result of these relationships, CCAMG receives compensation in exchange for introducing its clients to such unaffiliated RIAs and Hedge Funds. CCAMG may share a portion of the compensation with its representatives. CCAMG typically receives a percentage of the fee charged to the client by the unaffiliated RIA and or Hedge Fund for its services, which fees are typically based upon assets under management. The relationship of CCAMG IARs, and the RIA and or Hedge Fund is clearly communicated to all relevant clients in an agreement signed by the client and in a disclosure statement provided by the RIA and or Hedge Fund.



### ***Supervision***

CCAMG supervises its representatives' advisory services from the home office located at 1335 Dublin Road, Suite 122-D, Columbus, Ohio 43215. CCAMG monitors the advice provided to clients from its representatives by reviewing client account opening documents, client servicing account documents, client accounts and client account transactions on a periodic basis. Principals of the firm review client accounts to whom it and its IARs provide investment advice on a periodic basis. Such reviews include monitoring whether the IAR is complying with applicable laws and regulations, and whether the IAR is reviewing client account forms for appropriate disclosure of the client's financial means and goals when executing transactions for the client. CCAMG also reviews the trading in clients' accounts to ensure that the investment recommendations are suitable. Trades placed on a full discretionary basis are reviewed by a principal of the firm's home office.

A client account may be reviewed more than on a periodic basis. The review of an account may be triggered by trading activity, objectives set forth by the client, security transactions placed over certain dollar amount thresholds, or irregular trading activity.

The following persons supervise the firms' representatives' advisory activities from the home office located at 1335 Dublin Road, Suite 122-D, Columbus, Ohio 43215

Todd Crawford	CEO & Chief Compliance Officer	614-485-0803
James Roland	Compliance Officer	614-485-0803

### ***Requirements for State Registered Advisors***

Registration does not imply a certain level of skill or training.