

**Item 1: Cover Page**

**Part 2B of Form ADV:  
Brochure Supplement**

**May 2013**

**Tami Mehlbrandt**



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**Firm Contact:  
Tami Mehlbrandt, Chief Compliance Officer**

**[www.chattertoninc.com](http://www.chattertoninc.com)  
[www.planningyoucantrust.com](http://www.planningyoucantrust.com)**

**This brochure supplement provides information about Tami Mehlbrandt that supplements our brochure. You should have received a copy of that brochure. Please contact Ms. Mehlbrandt if you did not receive Chatterton & Associate's brochure or if you have any questions about the contents of this supplement.**

**Additional information about Ms. Mehlbrandt is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

## **Item 2 Educational Background and Business Experience**

**Tami Mehlbrandt**

Year of Birth: 1959

### **Formal Education after high school:**

2003 – Pepperdine University, Malibu California –Bachelors Business Management

1991 – Cerritos College, Norwalk, California – Associates in Accounting & Finance

### **Professional Licenses and Exams:**

1999 – Series 7 General Securities License

2002 – Series 66 License

2008 – Series 24 Supervisory License

2009 – Series 53 Municipal Securities Principal License

### **Business Background:**

2006 – Present; Chatterton & Associates, The Wealth Management Team, Inc, Investment Advisor Representative/Chief Compliance Officer

1997 – Present; Royal Alliance Associates, Registered Representative

1997 – 2005; Regal Advisory Services, Inc., Investment Advisor Representative

## **Item 3 Disciplinary Information**

If there are legal or disciplinary events material to your evaluation of Ms. Mehlbrandt we are required to disclose all material facts regarding those events.<sup>1</sup>

We have nothing to disclose in this regard.

## **Item 4 Other Business Activities**

A. If Ms. Mehlbrandt is actively engaged in any investment-related business or occupation, including if she is registered, or has an application pending to register, as a broker-dealer, registered representative of a broker-dealer, futures commission merchant (“FCM”), commodity pool operator (“CPO”), commodity trading advisor (“CTA”), or an associated person of an FCM, CPO, or CTA, we are required to disclose this fact and describe the business relationship, if any, between the advisory business and the other business.

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<sup>1</sup> Note: Our firm may, under certain circumstances, rebut the presumption that a disciplinary event is material. If an event is immaterial, we are not required to disclose it. When we review a legal or disciplinary event involving Ms. Mehlbrandt to determine whether it is appropriate to rebut the presumption of materiality, we consider all of the following factors: (1) the proximity of Ms. Mehlbrandt to the advisory function; (2) the nature of the infraction that led to the disciplinary event; (3) the severity of the disciplinary sanction; and (4) the time elapsed since the date of the disciplinary event. If we conclude that the materiality presumption has been overcome, we prepare and maintain a file memorandum of our determination in our records. We follow SEC rule 204-2(a)(14)(iii) and similar state rules.

1. If a relationship between the advisory business and Ms. Mehlbrandt's other financial industry activities creates a material conflict of interest with you, the SEC requires us to describe the nature of the conflict and generally how we address it.

We have nothing to disclose in this regard.

2. If Ms. Mehlbrandt receives commissions, bonuses or other compensation based on the sale of securities or other investment products, including as a broker-dealer or registered representative, and including distribution or service ("trail") fees from the sale of mutual funds, we have to disclose this fact. If this compensation is not cash, we are required to explain what type of compensation she receives. We must explain that this practice gives Ms. Mehlbrandt an incentive to recommend investment products based on the compensation received, rather than on your needs.

Ms. Mehlbrandt is a registered representative of Royal Alliance Associates, Inc., member FINRA/SIPC. She may offer securities and receive normal and customary commissions as a result of securities transactions. This presents a conflict of interest to the extent that Ms. Mehlbrandt recommends that a client invest in a security which results in a commission being paid to her.

A conflict of interest may arise as these commissionable securities sales may create an incentive to recommend products incentive to recommend products based on the compensation adviser and/or our supervised persons may earn and may not necessarily be in the best interests of the client. In order to minimize this conflict of interest, our firm will place client interests ahead of its own interests and adhere to our firm's Code of Ethics as well as clearly explaining this conflict when recommending any such products to clients. Clients are not obligated to purchase these products.

- B. If Ms. Mehlbrandt is actively engaged in any business or occupation for compensation not discussed in response to Item 4.A, above, and the other business activity or activities provide a substantial source of her income or involve a substantial amount of her time, we are required to disclose this fact and must describe the nature of that business. If the other business activities represent less than 10 percent of Ms. Mehlbrandt's time and income, we may presume that they are not substantial.

We have nothing to disclose in this regard.

### **Item 5 Additional Compensation**

If someone who is not a client provides an economic benefit to Ms. Mehlbrandt for providing advisory services, we are required to generally describe the arrangement. For purposes of this Item, economic benefits include sales awards and other prizes, but do not include her regular salary. Any bonus that is based, at least in part, on the number or amount of sales, client referrals, or new accounts should be considered an economic benefit, but other regular bonuses should not.

We have nothing to disclose in this regard.

### **Item 6 Supervision**

We are required to explain how we supervise Ms. Mehlbrandt, including how we monitor the advice she provides to you. Our firm has to provide the name, title and telephone number of the person responsible for supervising Ms. Mehlbrandt's advisory activities on behalf of our firm.

Mr. Robert Chatterton, President of Chatterton & Associates, the Wealth Management Team, Inc., supervises Ms. Mehlbrandt's activities to ensure compliance with our firm's Code of Ethics. Please contact Mr. Chatterton if you have any questions about Ms. Mehlbrandt's brochure supplement at (714) 572-2050.