

Part 2B of Form ADV: *Brochure Supplement*

Robert W. Shoemaker, III
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978-697-8657

Robert William Shoemaker, III
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978-371-2282

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This brochure supplement provides information about investment adviser representative Robert W. Shoemaker, III that supplements the Robert William Shoemaker, III firm brochure. You should have received a copy of that brochure. Please contact Robert W. Shoemaker, III if you did not receive Robert William Shoemaker, III's firm brochure or if you have any questions about the contents of this supplement.

Item 2 Educational, Background and Business Experience

Full Legal Name: Robert William Shoemaker, III **Born:** 1951

Education

- Harvard College; BA, Government; 1973
- Harvard Law School; JD, Law; 1980

Business Experience

- Robert William Shoemaker, III; sole proprietor, investment adviser, insurance producer, financial planner; from 11/1991 to Present
- Wingate Financial Group, Inc.; Vice-President, securities representative, insurance producer, financial planner; from 05/1986 to 07/2006
- Summit Corporation; Vice-President, securities representative, insurance producer, financial planner ; from 01/1984 to 05/1986
- U.S. Boston Capital Corporation; Assistant Vice-President, securities representative, insurance producer, financial planner; from 09/1980 to 12/1983

Item 3 Disciplinary Information

Robert W. Shoemaker, III has no reportable disciplinary history.

Item 4 Other Business Activities

A. Investment-Related Activities

1. Robert W. Shoemaker, III is also engaged in the following related activities: insurance company or agency.

As an insurance producer licensed with the Commonwealth of Massachusetts and associated with various insurance companies, agencies, or brokerages, Robert W. Shoemaker, III may recommend life, health, disability, or annuity insurance products to clients as part of the financial planning process. Under such circumstances, the recommendations are likely to be limited to those products offered by the

entities with which Robert W. Shoemaker, III is associated, resulting in a potential conflict of interest.

For insurance products placed with clients, Robert W. Shoemaker, III will be compensated through commissions standard to the industry for products of a similar type.

2. Robert William Shoemaker, III does not receive commissions, bonuses or other compensation on the sale of securities or other investment products.

B. Non Investment-Related Activities

Robert W. Shoemaker, III is not engaged in any other business or occupation that provides substantial compensation or involves a substantial amount of his time.

Item 5 Additional Compensation

Robert W. Shoemaker, III does not receive any economic benefit from a non-advisory client for the provision of advisory services.

Item 6 Supervision

Supervisor: Robert William Shoemaker, III

Title: sole proprietor

Phone Number: 978-371-2282

The firm is managed and investment adviser representatives are supervised according to the provisions of the firm's Policies and Procedures Manual and Code of Ethics, which are available for review by clients upon request.