

## Item 1- Cover Page

# Tod Patrick Salisbury, Jr. Sloan Asset Management AG

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03/25/2013

**This Brochure Supplement provides information about Tod Patrick Salisbury, Jr. that supplements the Sloan Asset Management AG Brochure. You should have received a copy of that Brochure. Please contact Robert B. Sloan, CEO of the firm, at +41 43 244 75 53 or [robert.sloan@sloanag.ch](mailto:robert.sloan@sloanag.ch) if you did not receive Sloan Asset Management AG's Brochure or if you have any questions about the contents of this supplement.**

**Additional information about Tod Patrick Salisbury, Jr. is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

## Item 2- Educational Background and Business Experience

Tod Patrick Salisbury, Jr. is a U.S. Citizen, born in 1984 and currently residing in Erlenbach, Switzerland. He received his Bachelor's degree in 2006 from Harvard University in Cambridge, Massachusetts, where he majored in Economics. After graduation, he worked as a Research Associate at Eaton Vance Corporation in Boston, which, founded in 1924, is one of the nation's oldest money managers and, with assets under management of \$191.7 billion (as of 01.31.2011), is also one of its largest.

At Eaton Vance, Mr. Salisbury was responsible for the analysis of investments primarily in the Energy, Utility and Technology sectors, with a focus on large cap companies around the world. In this role he supported the firm's analysts and worked directly with portfolio managers on a number of international and domestic funds, including the \$1.3 billion Dividend Builder Fund, which was one of the top rated funds in its category (number 6 out of 815 funds over 10 years) and the \$5.5 billion Tax-Managed Global Diversified Equity

Income Fund, which was the largest closed-end fund ever raised at that time and was focused on tax efficient investing in equities around the world.

In 2008, Mr. Salisbury joined Sloan Asset Management AG as an investment analyst and, in 2010, was promoted to Vice President and in 2012 to Senior Vice President. At Sloan Asset Management AG, he is responsible for managing client accounts on a discretionary basis, analyzing potential investments, daily trading, managing the firm's relationships with its depository institutions, monitoring and fulfilling regulatory requirements, and running the office on a day to day basis.

Mr. Salisbury speaks English, German and French.

### **Item 3- Disciplinary Information**

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. No information is applicable to this Item.

### **Item 4- Other Business Activities**

No information is applicable to this Item.

### **Item 5- Additional Compensation**

No information is applicable to this Item.

### **Item 6 - Supervision**

Strategies are pre-approved for each client and each client's performance is reviewed monthly by Robert. B. Sloan, the firm's CEO and primary shareholder. He is reachable at +41 43 244 75 53.

### **Item 7- Requirements for State-Registered Advisers**

No information is applicable to this Item.

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# Robert B. Sloan Sloan Asset Management AG

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**Additional information about Robert B. Sloan is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

## Item 2- Educational Background and Business Experience

Robert Sloan is a U.S. citizen, born in 1948 and currently residing in Herrliberg, Switzerland. He has a Bachelor of Science in Business Economics and a Master of Business Administration from Southern Illinois University, Carbondale.

He began his career in International Banking in 1979 as a Loan Officer for Continental Illinois Bank, with responsibilities for business development, credit, risk analysis, sales and relationship management for several countries in Latin America. In 1982, he relocated to Houston and continued to rapidly grow revenues, net income and relationships for Security Pacific and CIBC. His main responsibilities in Corporate Lending were to Fortune 500 companies, with a particular focus on the energy sector.

Mr. Sloan switched from Money Center Corporate Banking to the Private Client business in 1986 when he joined Merrill Lynch in London as the Central European Regional Private Banking Head. He was also a Board Member of Merrill Lynch International Bank, London, the Assistant Regional Director of the Central European Brokerage unit and one of four Global International Product Specialists for a year. In 1991 Mr. Sloan relocated to Hamburg as a Producing Office Manager and in 1996 to Merrill Lynch International in Zurich, during which time he developed a substantial base of private clients throughout Europe.

Mr. Sloan was one of the two original founders of Sloan Asset Management AG in 2004. He is primarily responsible for managing clients' assets and liabilities, as well as client acquisition. In addition, he develops and implements the investment strategies for clients.

Mr. Sloan speaks English and German.

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Oliver Baumann  
Sloan Asset Management AG

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**Additional information about Oliver Baumann is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

## Item 2- Educational Background and Business Experience

Oliver Baumann is a Swiss Citizen, born in 1987 and currently residing in Zürich, Switzerland. He began his career in banking in 2003 with an apprenticeship at Credit Suisse in the bank's Private Banking and Investment Banking divisions in Zürich. Upon completion of this apprenticeship, he joined the bank as an Investment Advisor for External Asset Managers, also in Zürich.

As an Investment Advisor he was responsible for the trading of equities, forex, bonds, commodities, options, funds and structured products. In addition he had responsibility for managing the accounts of private and institutional clients, advising end-clients and acquiring new clients for the bank.

In addition to his apprenticeship at Credit Suisse, Mr. Baumann completed three years of commercial school at KV Business School in Zürich and has successfully completed as an Eidg. Dipl. Bankwirtschafter HFBB Banking and Finance at AKAD Zürich.

In 2010, Mr. Baumann joined Sloan Asset Management AG as an Investment Analyst and was promoted to Vice President in 2012. At Sloan Asset Management AG, he is responsible for managing client accounts on a discretionary basis, analyzing potential investments, daily trading, and managing the firm's positions and relationships with its depository institutions.

Mr. Baumann speaks English, German, Swiss German and a bit of French.

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