

**Form ADV Part 2B Brochure Supplement  
Matthew Christopher Nels Hoke**

**Item 1 – Cover Page**

Matthew Christopher Nels Hoke  
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**This brochure supplement provides information about Matthew Hoke (“Hoke”) that supplements the KFG Wealth Management, LLC (“KFG”) brochure. You should have received a copy of that brochure. Please contact Shawn Persing at 800-258-9574 or via email at [spersing@korhorn.com](mailto:spersing@korhorn.com) if you did not receive the KFG brochure or if you have any questions about the contents of this supplement.**

**Additional information about Matthew Hoke is available on the SEC’s website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

**Item 2 – Educational Background and Business Experience**

**Matthew Hoke, Born 1974**

*Educational Background:*

- Bethel College, Bachelor of Science in Elementary Education, 1998

*Business Experience:*

- KFG Wealth Management, LLC – Investment Advisor Representative – 10/2010 to Present;
- Securities America, Inc. – Registered Representative – 7/2007 to Present;
- NatCity Investments – Investment Representative – 08/2006 to 07/2007

**Item 3 – Disciplinary Information**

Matthew Hoke has no material legal or disciplinary events to report.

**Item 4 – Other Business Activities**

**Registered Representative of Securities America, Inc.**

Matthew Hoke is separately licensed as a registered representative with Securities America, Inc. (“SAI”), a full service broker/dealer, member Financial Industry Regulatory Authority (FINRA) and Securities Investor Protection Corporation (SIPC). When acting in his separate capacity as a registered representative of SAI, Matthew Hoke may sell, for commissions, general securities products such as stocks, bonds, mutual funds, exchange-traded funds, and variable annuity and variable life products to advisory clients. As such, Matthew Hoke may suggest that advisory clients implement investment advice

by purchasing securities products through a commission-based SAI account in addition to a KFG advisory account.

The receipt of commissions creates an incentive for Matthew Hoke to recommend those products for which he will receive a commission. Consequently, the objectivity of the advice rendered to clients could be biased. Matthew Hoke controls for this potential conflict of interest by discussing with clients the advantages and disadvantages of establishing a fee-based account through KFG versus establishing a commission-based account through SAI. KFG does not require its advisor representatives to encourage clients to implement investment advice through SAI.

Matthew Hoke does not earn commissions in fee-based accounts.

Matthew Hoke will receive 12b-1 fees from certain mutual fund companies as outlined in the fund's prospectus. 12b-1 fees come from fund assets, therefore, indirectly from client assets. The receipt of such fees could represent an incentive for Matthew Hoke to recommend funds with 12b-1 fees over funds that have no fees or lower fees. Typically, Matthew Hoke will receive 12b-1 fees only in commission-based brokerage accounts. However, such fees can be earned in fee-based accounts managed by Matthew Hoke if 12b-1 fee paying mutual funds are held in the managed account. For ERISA accounts, there is an offset for any amount of 12b-1 fees. Matthew Hoke discusses with clients the selection of a 12b-1 paying mutual fund or other trail paying mutual funds. KFG maintains records of all 12b-1 fee payments to Matthew Hoke which may be viewed by clients upon request.

Clients are never obligated or required to establish accounts through KFG or SAI. However, if a client does not choose to accept Matthew Hoke's advice or decides not to establish an account through SAI or an SAI-approved custodian, Matthew Hoke may not be able to implement transactions for the client. Clients should understand that, due to certain regulatory constraints, Matthew Hoke, in his capacity as a SAI registered representative must place all purchases and sales of securities products in commission-based brokerage accounts through SAI or its other approved institutions.

### **Insurance Agent**

KFG Wealth Management, LLC is affiliated with KFG Insurance Agency, LLC ("KFG Insurance"). Both entities are wholly owned by Korhorn Financial Group, Inc. Matthew Hoke is independently licensed to sell life insurance and annuity products through various insurance companies as well as through KFG Insurance as a licensed insurance entity. When acting in this capacity, Matthew Hoke can receive commissions for selling insurance products.

Matthew Hoke may also receive other incentive awards for the recommendation/sale of annuities and other insurance products. The receipt of compensation and other incentive benefits may affect the judgment of Matthew Hoke when recommending products to clients. While Matthew Hoke endeavors at all times to put the interest of his clients first as a part of Matthew Hoke's and KFG's overall fiduciary duty to clients, clients should be aware that the receipt of commissions and additional compensation itself creates a conflict of interest, and may affect Matthew Hoke's decision making process when making recommendations.

Clients are never obligated or required to purchase insurance products from or through Matthew Hoke and may choose any independent insurance agent and insurance company to purchase insurance products. Regardless of the insurance agent selected, the insurance agent or agency will receive normal commissions from the sale.

**Item 5 – Additional Compensation**

Other than the fees detailed in the KFG's Form ADV Part 2A Disclosure Brochure, Matthew Hoke receives no other compensation related to advisory services provided to clients.

**Item 6 – Supervision**

Kevin Korhorn is the Chief Compliance Officer of KFG. He is responsible for developing, overseeing and enforcing the firm's compliance programs that have been established to monitor and supervise the activities and services provided by the firm and its representatives, including Matthew Hoke. Shawn Persing serves as the Compliance Manager of KFG and is responsible for administering many of KFG's compliance functions. Korhorn and Persing can be contacted at 574-247-5898.

**Item 7 – Requirements for State-Registered Advisers – Legal and Financial Disclosure**

Matthew Hoke has not been involved in an award or otherwise been found liable in an arbitration claim alleging damages in excess of \$2,500. He has not been involved in an award or otherwise been found liable in a civil, self-regulatory organization, or administrative proceeding and has not been the subject of a bankruptcy petition.