

**PART 2B OF FORM ADV: BROCHURE SUPPLEMENT**

**Contego Capital Group, Inc.**

**Item 1. – Cover Page For:**

**(A) Ryan M. Carlson**

**Contego Capital Group, Inc.**

7400 Metro Boulevard, Suite 450

Edina, Minnesota 55439

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**Brochure Supplement Prepared on July 16, 2018**

This supplement provides information about Mr. Carlson that supplements the Contego Capital Group, Inc. brochure (the “Brochure”). You should have received a copy of the Brochure. Please contact Mr. Ryan Carlson, Chief Compliance Officer at [ryan@contegocap.com](mailto:ryan@contegocap.com) or (952) 697-2570 if you did not receive the Brochure or if you have any questions about the contents of this supplement.

Additional information about Mr. Carlson is available on the SEC’s website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov). The searchable IARD/CRD number is 4860984.

## **Item 2. – Educational Background and Business Experience:**

### **Ryan M Carlson**

Mr. Carlson currently serves the Chief Compliance Officer of Contego Capital Group, Inc. (“Contego” or “the Advisor”).

#### **Education Background:**

Mr. Branton graduated with a Bachelor of Administration - Communications from the University of North Dakota in 2001 and with a Juris Doctor from Rutgers University – School of Law in 2004.

#### **Business Background:**

- Contego Capital Group, Inc.; Investment Advisor Representative; 02/2016 –Present
- Contego Capital Group, Inc. (formerly Contego Capital Advisors, LLC and Jamieson Capital Advisors, LLC); Investment Advisor Representative; 02/2015 – 10/2015
- Ryan Carlson – Sole Proprietor; Independent Insurance Agent; 01/2013 – Present
- MML Investors Services, LLC; Investment Advisor Representative; 02/2013 –02/2015
- MML Investors Services, LLC; Registered Representative; 01/2013 – 02/2015
- Fremstad Law Firm; Attorney; 01/2012 – 12/2014
- Gardner Financial Services, Inc.; Investment Advisor Representative; 01/2009 –01/2013
- Gardner Financial Services, Inc.; Registered Representative; 09/2008 – 03/2012
- CITIGROUP Global Markets Inc.; Investment Advisor Representative; 10/2005 –09/2008
- CITIGROUP Global Markets Inc.; Registered Representative; 09/2005 – 09/2008
- AXA Advisors, LLC; Investment Advisor Representative; 02/2005 – 09/2005
- AXA Advisors, LLC; Registered Representative; 11/2004 – 09/2005

## **Item 3. – Disciplinary Information**

Mr. Carlson has not been involved with any legal or disciplinary events material to a client’s or prospective client’s evaluation of the supervised person.

## **Item 4. – Other Business Activities:**

Ryan M. Carlson has a financial industry affiliated business as an independent insurance agent. Approximately 15% of his time is spent on this activity. From time to time, he offers clients advice or products from this activity.

These practices represent conflicts of interest because it gives Mr. Carlson an incentive to recommend products based on the commission amount received. This conflict is mitigated by the fact that Mr. Carlson has a fiduciary responsibility to place the best interest of the client first and the clients are not required to purchase any products. Clients have the option to purchase these products through another insurance agent of their choosing.

## **Item 5. – Additional Compensation:**

Ryan M. Carlson receives commission from the sale of insurance products but does not receive any performance-based fees.

## **Item 6. – Supervision:**

As the Chief Compliance Officer of Contego Capital Group, Inc., Mr. Carlson does not have a direct supervisor. However, Contego Capital Group, Inc. has adopted written policies and procedures which are designed to set standards and internal controls for the firm, its partners, its employees, and its businesses and are also reasonably designed to detect and prevent any violations of regulatory requirements and the firm's policies and procedures. Every employee and officer is required to be responsible for and monitor those individuals and departments he or she supervises to detect, prevent and report any activities inconsistent with the firm's procedures, policies, high professional standards, or legal/regulatory requirements.

Contego Capital Group, Inc.'s Compliance Department, in conjunction with the officers and partners, are primarily responsible for the development and implementation of appropriate policies and procedures. Monitoring systems are tailored to particular policies and procedures, the manner and frequency of testing varying as appropriate.

These compliance procedures include the reporting of violations or errors to designated personnel. After any preliminary due diligence and investigation, matters are corrected or resolved in an appropriate manner, which will vary depending on, among other things, the nature and severity of the violation. Contego Capital Group, Inc.'s senior management may be involved for significant errors or violations.

## **Item 7. – Requirements for State-Registered Advisers:**

Not Applicable. Contego Capital Group, Inc. is an SEC registered investment advisor.