

PART 2B OF FORM ADV: BROCHURE SUPPLEMENT

Contego Capital Group, Inc.

Item 1. – Cover Page For:

(A) Robert J. Branton

Contego Capital Group, Inc.

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Brochure Supplement Prepared on July 16, 2018

This supplement provides information about Mr. Branton that supplements the Contego Capital Group, Inc. brochure (the “Brochure”). You should have received a copy of the Brochure. Please contact Mr. Ryan Carlson, Chief Compliance Officer at ryan@contegocap.com or (952) 697-2570 if you did not receive the Brochure or if you have any questions about the contents of this supplement.

Additional information about Mr. Branton is available on the SEC’s website at www.adviserinfo.sec.gov. The searchable IARD/CRD number is 2741208.

Item 2. – Educational Background and Business Experience:

Robert Joseph Branton

Mr. Branton currently serves the Chief Executive Officer and Managing Principal of Contego Capital Group, Inc. (“Contego” or “the Advisor”).

Education Background:

Mr. Branton graduated with a Bachelor of Science in Finance and Marketing from Saint Cloud State University in 1995.

Business Background:

Mr. Branton founded the Advisor in 2013. Prior thereto,

- Contego Capital Group, Inc. (formerly Contego Capital Advisors, LLC and Jamieson Capital Advisors, LLC);
- Member/Investment Advisor Representative; 09/2008 – Present
- Contego Capital; Owner; 07/2014 –Present
- IFS Securities, Registered Representative; 10/2016 – Present
- River Rock Brokerage Group; Owner; 07/2013 –Present
- River Rock Financial Group; Owner/Insurance Agent; 06/2012 –Present
- Fintegra Financial Solutions; IAR; 01/2014 – 10/2014
- Fintegra, LLC; Registered Representative; 09/2013 – 10/2014
- Gardner Financial Services, Inc.; Registered Representative; 09/2008 – 08/2013
- Pacific Select Distributors, Inc.; Registered Representative; 04/2006 – 09/2008
- Pacific Life; Registered Representative; 04/2006 – 08/2008
- AIG; Registered Representative; 03/2005 – 04/2006

Item 3. – Disciplinary Information

Mr. Branton (the “supervised person”) has not been involved with any legal or disciplinary events material to a client’s or prospective client’s evaluation of the supervised person.

Item 4. – Other Business Activities:

Registered Representative of a Broker-Dealer. Robert Branton is a registered representative of IFS Securities, a securities broker-dealer and you may work with him in his separate capacity as a registered representative of IFS Securities. As a result of this relationship, IFS Securities may have access to certain confidential information (e.g., financial information, investment objectives, transactions and holdings) about clients of Contego Capital Group, Inc., even if a client does not establish any account through IFS Securities. If you would like a copy of the privacy policy of IFS Securities, please contact your investment adviser representative.

- (A) When acting in his separate capacity as an IFS Securities registered representative, Mr. Branton may sell, for commissions, general securities products such as stocks, bonds, mutual funds, exchange-traded funds, and variable annuity and variable life products to you. As such, Mr. Branton may suggest that you implement investment advice by purchasing securities products through a

commission-based brokerage account in addition to or in lieu of a fee-based investment advisory account. This receipt of commissions creates an incentive to recommend those products for which Mr. Branton will receive a commission in his separate capacity as a registered representative of IFS Securities. Consequently, the objectivity of the advice rendered to you could be biased.

- (B) You are under no obligation to use the services of Mr. Branton in this separate capacity or to use IFS Securities and can select any broker/dealer you wish to implement securities transactions. If you select Mr. Branton to implement securities transactions in his separate capacity as a registered representative, he must use IFS Securities. Prior to effecting any such transactions, you are required to enter into a new account agreement with IFS Securities. The commissions charged by IFS Securities may be higher or lower than those charged by other broker/dealers. In addition, the registered representatives may also receive additional ongoing 12b-1 fees for mutual fund purchases from the mutual fund company during the period that you maintain the mutual fund investment.

Affiliation with Investment Adviser Firm. Mr. Branton serves as a portfolio manager for AlphaCentric Advisors, LLC. As portfolio manager, he is responsible for making investment decisions for the Alpha Centric Global Innovations mutual fund, a registered investment company under the Investment Company Act of 1940. AlphaCentric Advisors, LLC is the investment adviser to Alpha Centric Global Innovations. Contego Capital Group, Inc. is owned by Contego Capital, LLC which is owned 100% by Mr. Branton. Therefore, Mr. Branton has a material financial interest in the success and overall performance of the Alpha Centric Global Innovations fund.

Mr. Branton's financial interest in the AlphaCentric Global Innovations mutual fund poses a material conflict between the interests of Mr. Branton and clients of Contego Capital Group, Inc.

Our recommendation that clients invest in the Alpha Centric Global Innovations fund is based primarily on Mr. Branton's role and affiliation with AlphaCentric Advisors, LLC and his role as portfolio manager to the fund and is not based entirely on our clients' interest to seek the most appropriate investment opportunities. We have established several procedures to help control for this conflict of interest which are summarized as follows.

1. Clients are never obligated or required to purchase shares of Alpha Centric Global Innovations.
2. We compare the Alpha Centric Global Innovations against other similar publicly traded mutual funds and ETFs. We will recommend other investment opportunities when more appropriate for the client.
3. The recommendation to invest in Alpha Centric Global Innovations is always done on a non-discretionary basis. This means we will never purchase shares of the fund in an account managed by Contego Capital Group, Inc. without each client's prior, written consent.
4. We will not recommend or hold shares of Alpha Centric Global Innovations in ERISA-covered and IRS-qualified accounts (e.g. Individual Retirement Accounts and 401k plan accounts).
5. Contego Capital Group, Inc. does not have sales goals or quotas. Therefore, our advisory personnel are not economically incentivized to recommend Alpha Centric Global Innovations over other similar investment products.

6. To the extent shares of Alpha Centric Global Innovations are held in Contego Capital Group, Inc. managed accounts, Mr. Branton will not personally receive any portion of the investment advisory fee applied by Contego Capital Group, Inc. against the portion invested in shares of Alpha Centric Global Innovations. Although, our other investment advisory personnel will share in such fees, they will be “carved-out” from the economic compensation Mr. Branton receives from Contego Capital Group, Inc.

Insurance Agent. In addition to his role with Contego Capital Advisors, LLC, Mr. Branton is also an insurance agent with River Rock Financial Group. Approximately 20% of Mr. Branton’s time is spent in this capacity. From time to time, he offers clients advice or products from this activity. These practices represent conflicts of interest because it gives Mr. Branton an incentive to recommend products based on the commission amount received. This conflict is mitigated by the fact that Mr. Branton has a fiduciary responsibility to place the best interest of the client first and the clients are not required to purchase any products. Clients have the right to purchase these products through another insurance agent of their choosing.

Item 5. – Additional Compensation:

Mr. Branton receives commissions from the sale of insurance products, but he does not receive any performance-based fees.

Item 6. – Supervision:

As the Chief Executive Officer of Contego Capital Group, Inc., Mr. Carlson does not have a direct supervisor. However, Contego Capital Group, Inc. has adopted written policies and procedures which are designed to set standards and internal controls for the firm, its partners, its employees, and its businesses and are also reasonably designed to detect and prevent any violations of regulatory requirements and the firm's policies and procedures. Every employee and officer is required to be responsible for and monitor those individuals and departments he or she supervises to detect, prevent and report any activities inconsistent with the firm's procedures, policies, high professional standards, or legal/regulatory requirements.

Contego Capital Group, Inc.'s Compliance Department, in conjunction with the officers and partners, are primarily responsible for the development and implementation of appropriate policies and procedures. Monitoring systems are tailored to particular policies and procedures, the manner and frequency of testing varying as appropriate.

These compliance procedures include the reporting of violations or errors to designated personnel. After any preliminary due diligence and investigation, matters are corrected or resolved in an appropriate manner, which will vary depending on, among other things, the nature and severity of the violation. Contego Capital Group, Inc.'s senior management may be involved for significant errors or violations.

Mr. Carlson reviews Mr. Branton's work through client account reviews, quarterly personal transaction reports, face to face and phone interactions. Mr. Carlson can be contacted at (952) 697-2570 or by email at ryan@contegocap.com.

Item 7. – Requirements for State-Registered Advisers:

Not Applicable. Contego Capital Group, Inc. is an SEC registered investment advisor.