



Form ADV Part 2B Brochure Supplement

June 27, 2017

Robert V. Bolen, CFA and CFP®



Envision Wealth Planning

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This Form ADV2B (“Brochure Supplement”) provides information about Robert V. Bolen, CFA and CFP® and supplements the Envision Wealth Planning Disclosure Brochure. You should have received a copy of that Brochure. Please contact Robert V. Bolen, President if you did not receive an Envision Disclosure Brochure or if you have any questions about the contents of this Supplement.

Additional information about Robert V. Bolen is available on the SEC’s website at www.adviserinfo.sec.gov.

Item 2- Educational Background and Business Experience

Robert V. Bolen, CFA and CFP® is the President of Envision Wealth Planning. Born in 1955, Mr. Bolen has worked in various roles in the investment industry since 1986. He was employed as an equity securities analyst from 1986-2000, primarily with J.C. Bradford & Co (1989-2000), where he was a partner of the firm. During 2000 and 2001, he served as the Chief Financial Officer of a private software company. He joined Merrill Lynch in early 2002 as a financial advisor where he remained until starting Bolen Asset Management in October 2003. Bolen Asset Management merged with Lori A. Dodson & Associates in August 2006 when the firm began doing business as Envision.

Mr. Bolen graduated Magna Cum Laude from Western Kentucky University in 1983 with a Bachelor of Science degree in Accounting and graduated Summa Cum Laude from Indiana University in 1986 with a Master of Business Administration in Finance and Management.

He earned his Chartered Financial Analyst designation in 1988 and his Certified Financial Planner™ designation in 2002. Mr. Bolen is Past President and member of the Middle TN Chapter of the Financial Planning Association (FPA). He is a member of the CFA Institute and is Past President and member of the CFA Society of Nashville. Mr. Bolen is a member of the Estate Planning Council of Middle TN and is also a member of the National Association of Personal Financial Advisors (NAPFA).

Mr. Bolen is actively engaged in community affairs. He is member of the Christian Executive Officer Fellowship and Treasurer and Board member of the 21st Drug Court, an alternative court enforced rehabilitation center. Mr. Bolen is married with three children and resides in Franklin, TN. He and his wife Pam are members of Grace Chapel Church.

CERTIFIED FINANCIAL PLANNER™ (CFP®)

The CERTIFIED FINANCIAL PLANNER™, CFP® and federally registered CFP (with flame design) marks are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc.

The Certified Financial Planner™ certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. It is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients. Currently, more than 71,000 individuals have obtained CFP® certification in the United States.

To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements:

- Education – Complete an advanced college-level course of study addressing the financial planning subject areas that CFP Board's studies have determined as necessary

for the competent and professional delivery of financial planning services, or hold one of the following: CPA, ChFC, CLU, CFA, Ph.D. in business or economics, Doctor of Business Administration, or attorney's license, and attain a Bachelor's Degree from a regionally accredited United States college or university (or its equivalent from a foreign university). CFP Board's financial planning subject areas include insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning;

- Examination – Pass the comprehensive CFP® Certification Examination. The examination, administered in 10 hours over a two-day period, includes case studies and client scenarios designed to test one's ability to correctly diagnose financial planning issues and apply one's knowledge of financial planning to real world circumstances;
- Experience – Complete at least three years of full-time financial planning-related experience (or the equivalent, measured as 2,000 hours per year); and
- Ethics – Agree to be bound by CFP Board's *Standards of Professional Conduct*, a set of documents outlining the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements in order to maintain the right to continue to use the CFP® marks:

- Continuing Education – Complete 30 hours of continuing education hours every two years, including two hours on the *Code of Ethics* and other parts of the *Standards of Professional Conduct*, to maintain competence and keep up with developments in the financial planning field; and
- Ethics – Renew an agreement to be bound by the *Standards of Professional Conduct*. The Standards prominently require that CFP® professionals provide financial planning services at a fiduciary standard of care. This means CFP® professionals must provide financial planning services in the best interests of their clients.

CFP® professionals who fail to comply with the above standards and requirements may be subject to CFP Board's enforcement process, which could result in suspension or permanent revocation of their CFP® certification.

Chartered Financial Analyst (CFA)

The Chartered Financial Analyst (CFA) designation is awarded by The CFA Institute, a global, not-for-profit association of investment professionals. To earn a CFA charter, you must have four years of qualified investment work experience, become a member of CFA Institute, pledge to adhere to the CFA Institute Code of Ethics and Standards of Professional Conduct on an annual basis, apply for membership to a local CFA member society, and complete the CFA Program. The CFA Program is organized into three levels, each culminating in a six-hour exam.

The Chartered Financial Analyst (CFA) designation is a voluntary designation; no federal or

state law or regulation requires investment professionals to hold the CFA designation. The CFA designation is a mark of distinction that is globally recognized by employers, investment professionals, and investors as the definitive standard by which to measure serious investment professionals.

To be a CFA Charterholder an individual must satisfactorily fulfill the following requirements:

- Education – Have a bachelor's (or equivalent) degree or have four years of qualified, professional work experience or have a combination of work and college experience that totals at least four years.
- Examination – To become a CFA Charterholder you must successfully pass through the CFA Program, a graduate-level self-study program that combines a broad curriculum with professional conduct requirements, culminating in three sequential exams. The primary goal of the CFA Program is to enable the Charterholder to be an effective investment professional by teaching the basic concepts and principles in 10 major topic areas: Ethical and Professional Standards, Quantitative Methods, Economics, Financial Reporting and Analysis, Corporate Finance, Equity Investments, Fixed-Income Investments, Derivatives, and Portfolio Management and Wealth Planning.
- Experience – To become a CFA Charterholder, members must have completed four years (48 months) of qualifying work experience in activities that consist to a majority extent of Evaluating or applying financial, economic, and/or statistical data as part of the investment decision-making process involving securities or similar investments; or Supervising, directly or indirectly, persons who practice such activities; or Teaching such activities.
- Ethics – Charterholders pledge to adhere to the CFA Institute Code of Ethics and Standards of Professional Conduct. The Code requires that CFA Charterholders act with integrity, competence, and dignity, and in an ethical manner, and the standards require that CFA Charterholders not engage in professional conduct involving dishonesty, fraud, deceit, or misrepresentation. These are the standards of integrity which CFA Charterholders must abide by to maintain membership.

To maintain membership as a CFA Charterholder, you must comply with the CFA Institute conditions, requirements, policies, and procedures for CFA Charterholders and CFA Institute members. These include Articles of Incorporation and Bylaws, Code of Ethics and Standards of Professional Conduct, Rules of Procedure for Professional Conduct and other conditions, requirements, policies, and procedures that may be established and amended from time to time.

CFA Charterholders must submit an annual Professional Conduct Statement, which is a signed statement disclosing any professional-related litigation or arbitration, customer complaints, and/or disciplinary proceedings. CFA Charterholders pay annual membership dues. Failure to comply with the CFA Institute conditions, requirements, policies, and procedures can result in disciplinary sanctions, including suspension or revocation of the right to use the CFA designation.

Item 3- Disciplinary Information

Registered Investment Advisors are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. No information is applicable to this Item.

Item 4- Other Business Activities

Mr. Bolen is not involved in any other investment-related business activities, as defined.

Item 5- Additional Compensation

Mr. Bolen does not receive any compensation for providing advisory services from anyone other than Clients themselves.

Item 6 - Supervision

Mr. Bolen is the President and Chief Compliance Officer of Envision. All company personnel are required to strictly adhere to the Envision Compliance Manual.

As a CFP Practitioner[®], Mr. Bolen renews annually an agreement to be bound by the *Standards of Professional Conduct*. The Standards prominently require that CFP[®] professionals provide financial planning services at a fiduciary standard of care.

As a CFA Charterholder, Mr. Bolen annually pledges in writing to adhere to the CFA Institute Code of Ethics and Standards of Professional Conduct. The Code requires that CFA Charterholders act with integrity, competence, and dignity, and in an ethical manner, and the standards require that CFA Charterholders not engage in professional conduct involving dishonesty, fraud, deceit, or misrepresentation.

Other firm management personnel review quarterly Mr. Bolen's personal investment accounts regarding trading activity to ensure he is placing Client interests ahead of his own.

Envision has adopted and monitors adherence to a Code of Ethics. All Envision personnel are required to strictly adhere to the Envision Code of Ethics.

Item 7 – Requirements for State Registered Advisors

Mr. Bolen does not have any additional information to disclose



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June 27, 2017

Katherine Y. Fowler



Envision Wealth Planning

7003 Chadwick Dr.
Suite 350
Brentwood, TN 37027

(615)-242-3808

This Form ADV2B (“Brochure Supplement”) provides information about Katherine Y. Fowler (“Kathy” or “Kathy Fowler”) and supplements the Envision Wealth Planning Disclosure Brochure. You should have received a copy of that Brochure. Please contact Robert V. Bolen, President if you did not receive an Envision Wealth Planning Disclosure Brochure or if you have any questions about the contents of this Supplement.

Additional information about Kathy Fowler is available on the SEC’s website at www.adviserinfo.sec.gov.

Item 2- Educational Background and Business Experience

Kathy Fowler is the Vice President and Director of Client Services and Business Development with Envision. Born in 1957, Ms. Fowler has over 30 years of relevant work experience having served in various positions in the financial services sector since 1984. Kathy worked at Stifel, Nicolaus & Company, Incorporated (2009-2013), and U.S. Bancorp Investments, Inc. (2004-2009) as a Sales Assistant. With this experience Kathy has learned how to listen to the needs of her Clients and has honed her skills in solving complex Client issues. Kathy has dedicated her career to excellent Client service and is committed to serve her Clients financial needs with integrity and sound advice.

Ms. Fowler attended Austin Peay State University in 1977.

Item 3- Disciplinary Information

Registered Investment Advisors are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. No information is applicable to this Item.

Item 4- Other Business Activities

Ms. Fowler is not involved in other investment-related business activities, as defined.

Item 5- Additional Compensation

Ms. Fowler does not receive any compensation for providing advisory services from anyone other than Clients themselves.

Item 6 - Supervision

Robert V. Bolen, CFA and CFP[®] supervises Ms. Fowler. Mr. Bolen is the President and Chief Compliance Officer of Envision and can be reached at (615) 242-3808. Bolen and Fowler confer regularly on Client matters including appropriate advice and investment recommendations. Portfolio allocations are dictated by Client goals, time horizon and risk tolerance.

Envision has adopted and monitors adherence to a Code of Ethics. All Envision personnel are required to strictly adhere to the Envision Code of Ethics.

Item 7 – Requirements for State Registered Advisors

Ms. Fowler does not have any additional information to disclose.