

Jeff Michael Bollinger

CRD No. 2445055

Fortius Financial Advisors, LLC

**4001 South 700 East, Suite 554
Salt Lake City, Utah 84107**

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March 26, 2015

**FORM ADV PART 2B
BROCHURE SUPPLEMENT**

This brochure supplement provides information about Jeff M. Bollinger that supplements the Fortius Financial Advisors, LLC brochure. You should have received a copy of that brochure. Please contact us at (855) 822-5587 if you did not receive Fortius Financial Advisors, LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Jeff M. Bollinger is available on the SEC's website at www.adviserinfo.sec.gov.

Educational Background and Business Experience

Jeff Michael Bollinger

Year of Birth: 1966

Formal Education after High School:

- University of Utah, B.S., Psychology, 2004.

Business Background for the Previous Five Years:

- Fortius Financial Advisors, LLC, Chief Investment Officer/Investment Adviser Representative, 08/2003 to Present.
- Bollinger & Co., Managing Partner, 08/2003 to 9/2003.

Disciplinary Information

Mr. Bollinger does not have, nor has he ever had, any disciplinary disclosure.

Other Business Activities

Mr. Bollinger is principal of Fortius Capital Management, LLC, a Utah limited liability company which serves as the General Partner to Fortius Structured Notes Fund, L.P. ("the Partnership"). Mr. Bollinger may recommend investment in the Partnership. Although such recommendations and any subsequent client participation in the Partnership creates a conflict of interest, any and all solicitations will be conducted only after full disclosure is made and based on client suitability. Our policy in all of our dealings is that our client's interests take precedence over our interests, and the interest of our affiliates, employees and representatives. Participation in the Partnership (or, in future, companies/partnerships) will benefit Mr. Bollinger as the General Partner is compensated for management of the Partnership. Refer to the *Other Financial Industry Activities and Affiliations* section and the *Code of Ethics, Participation or Interest in Client Transactions and Personal Trading* section of Fortius Financial Advisors, LLC's firm brochure for additional disclosures on this topic.

Mr. Bollinger is a managing member of Citius Ventures, LLC, an investment related holding company that invests in and holds multiple private equity positions. Citius Ventures owns Citius Wealth, an entity that provides an Internet based financial management system. We will recommend that you use the services of Citius Wealth if appropriate and suitable for your needs. Our advisory services and related fees are separate and distinct from the fees paid to Citius Wealth for their services. As a principal of Citius Ventures, Mr. Bollinger will benefit if you utilize the services of Citius Wealth. Refer to the *Other Financial Industry Activities and Affiliations* section of Fortius Financial Advisors, LLC's firm brochure for additional disclosures on this topic.

Mr. Bollinger is a managing member of Nuvo Ventures, LLC, an investment related, single purpose entity established to hold investments in Nuvo H²O ("Nuvo"), a private soft water company. While advisory clients are not solicited to invest in Nuvo, advisory clients may nonetheless have an investment interest. Under such arrangements, advisory clients are required to acknowledge in writing that we are not acting as their investment adviser in these transactions. Investment in Nuvo will benefit Mr. Bollinger. Refer to the *Other Financial Industry Activities and Affiliations* section of Fortius Financial Advisors, LLC's firm brochure for additional disclosures on this topic.

Mr. Bollinger **is no longer** a principal of iLux Capital Management, LLC a Utah limited liability company (as Fortius Partners, LLC, a Utah limited liability company) which serves as the General Partner to iLux Capital Fund, L.P., iLux Secondary Market Fund, L.P. ("the Partnership"). Mr. Bollinger may recommend investment in the Partnership. Although such recommendations and any subsequent client participation in the Partnership creates a conflict of interest, any and all solicitations will be conducted only after full disclosure is made and based on client suitability. Our policy in all of our dealings is that our client's interests take precedence over our interests, and the interest of our affiliates, employees and representatives. Participation in the Partnership (or, in future, companies/partnerships) will benefit Mr. Bollinger as the General Partner is compensated for management of the Partnership. Refer to the *Other Financial Industry Activities and Affiliations* section and the *Code of Ethics, Participation or Interest in Client Transactions and Personal Trading* section of Fortius Financial Advisors, LLC's firm brochure for additional disclosures on this topic.

Mr. Bollinger is a member of Trash to Cash, LLC a distributor for green technology for the state of Texas.

Mr. Bollinger is a member of TTC Management, LLC, the management company for Trash to Cash, LLC

Mr. Bollinger is managing member of Bollinger Investments, Inc. since 1/2009, an S-corp to hold business and family assets for estate and tax purposes.

Mr. Bollinger is managing member of JMB Family Holding Co LLC, Since 12/2013, a holding company for family assets for estate and tax purposes.

Mr. Bollinger is managing member of NUVO Your Water LLC, Since 10/2013, a product marketing company.

Additional Compensation

Please refer to the *Other Business Activities* section above for disclosures on Mr. Bollinger's receipt of additional compensation.

Also, please refer to the *Other Financial Industry Activities and Affiliations* section of Fortius Financial Advisors, LLC's firm brochure for additional disclosures on this topic.

Supervision

Jeanne M. Deitz, Partner/Chief Compliance Officer of Fortius Financial Advisors, LLC, is responsible for supervising the advisory activities of Mr. Bollinger. Ms. Deitz can be reached at (855) 822-5587.

In the supervision of our Associated Persons, advice provided is limited by internal decisions as to the types of investments that may be included in client portfolios. Senior management establishes our firm's general investment policy and strategy, and sets guidelines on the overall products and services that are provided to advisory clients. We conduct periodic reviews of each client's holdings against that client's documented suitability information to provide reasonable assurance that the advice provided is aligned with each client's stated investment objectives and with our internal guidelines.

Requirements for State-Registered Advisors

In addition to the events listed in Disciplinary Information, Mr. Bollinger has not been involved in one of the events listed below, we disclose all material facts regarding the event. We have nothing to disclose in this regard.

An award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following: an investment or an investment-related business or activity; fraud, false statement(s), or omissions; theft, embezzlement, or other wrongful taking of property; bribery, forgery, counterfeiting, or extortion; or dishonest, unfair, or unethical practices.

An award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following: an investment or an investment-related business or activity; fraud, false statement(s), or omissions; theft, embezzlement, or other wrongful taking of property; bribery, forgery, counterfeiting, or extortion; or dishonest, unfair, or unethical practices.

Mr. Bollinger has not been the subject of a bankruptcy petition, we must disclose that fact, the date the petition was first brought, and the current status. We have nothing to disclose in this regard.

Michael Mark Dvorkin

CRD No. 2642217

2332 Galiano Street, 2nd Floor
Coral Gables, Florida 33134

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This brochure supplement provides information about Michael M. Dvorkin that supplements the Fortius Financial Advisors, LLC brochure. You should have received a copy of that brochure. Please contact us at (855) 822-5587 if you did not receive Fortius Financial Advisors, LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Michael M. Dvorkin is available on the SEC's website at www.adviserinfo.sec.gov.

Educational Background and Business Experience

Michael Mark Dvorkin

Year of Birth: 1971

Formal Education after High School:

- State University of New York, B.S., Economics/Finance, 1993.

Business Background for the Previous Five Years:

- Fortius Financial Advisors, LLC, Managing Partner/Investment Advisor Representative, 05/2010 to Present.
- Strategic Advisors, Inc., Investment Advisor Representative, 05/2003 to 05/2010.
- Fidelity Brokerage Services, LLC, Registered Representative, 07/1995 to 05/2010.

Disciplinary Information

Mr. Dvorkin does not have any reportable disciplinary disclosure.

Other Business Activities

Mr. Dvorkin is principal of Fortius Capital Management, LLC, a Utah limited liability company which serves as the General Partner to Fortius Structured Notes Fund, L.P. ("the Partnership"). Mr. Dvorkin may recommend investment in the Partnership. Although such recommendations and any subsequent client participation in the Partnership creates a conflict of interest, any and all solicitations will be conducted only after full disclosure is made and based on client suitability. Our policy in all of our dealings is that our client's interests take precedence over our interests, and the interest of our affiliates, employees and representatives. Participation in the Partnership (or, in future, companies/partnerships) will benefit Mr. Dvorkin as the General Partner is compensated for management of the Partnership. Refer to the *Other Financial Industry Activities and Affiliations* section and the *Code of Ethics, Participation or Interest in Client Transactions and Personal Trading* section of Fortius Financial Advisors, LLC's firm brochure for additional disclosures on this topic.

Mr. Dvorkin is a managing member of Citius Ventures, LLC, an investment related holding company that invests in and holds multiple private equity positions. Citius Ventures owns Citius Wealth, an entity that provides an Internet based financial management system. We will recommend that you use the services of Citius Wealth if appropriate and suitable for your needs. Our advisory services and related fees are separate and distinct from the fees paid to Citius Wealth for their services. As a principal of Citius Ventures, Mr. Dvorkin will benefit if you utilize the services of Citius Wealth. Refer to the *Other Financial Industry Activities and Affiliations* section of Fortius Financial Advisors, LLC's firm brochure for additional disclosures on this topic.

Mr. Dvorkin is a member of Trash to Cash, LLC a distributor for green technology for the state of Texas.

Mr. Dvorkin is the President of Dvorkin Investments, Inc. (2010) an S-corp to hold business and family assets for estate and tax purposes.

Additional Compensation

Please refer to the *Other Business Activities* section above for disclosures on Mr. Dvorkin's receipt of additional compensation as a result of his other activities.

Supervision

Jeff M. Bollinger, Chief Investment Officer, and an Investment Adviser Representative is responsible for supervising the advisory activities of Michael M. Dvorkin. Mr. Bollinger can be reached at (855) 822-5587.

In the supervision of our Associated Persons, advice provided is limited by internal decisions as to the types of investments that may be included in client portfolios. Senior management establishes our firm's general investment policy and strategy, and sets guidelines on the overall products and services that are provided to advisory clients. We conduct periodic reviews of each client's holdings against that client's documented suitability information to provide reasonable assurance that the advice provided is aligned with each client's stated investment objectives and with our internal guidelines.

Requirements for State-Registered Advisors

In addition to the events listed in Disciplinary Information, Mr. Dvorkin has not been involved in one of the events listed below, we disclose all material facts regarding the event. We have nothing to disclose in this regard.

An award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following: an investment or an investment-related business or activity; fraud, false statement(s), or omissions; theft, embezzlement, or other wrongful taking of property; bribery, forgery, counterfeiting, or extortion; or dishonest, unfair, or unethical practices.

An award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following: an investment or an investment-related business or activity; fraud, false statement(s), or omissions; theft, embezzlement, or other wrongful taking of property; bribery, forgery, counterfeiting, or extortion; or dishonest, unfair, or unethical practices.

Mr. Dvorkin has not been the subject of a bankruptcy petition, we must disclose that fact, the date the petition was first brought, and the current status. We have nothing to disclose in this regard.

Roberto G. Buchanan

CRD No. 4244111

Fortius Financial Advisors, LLC

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This brochure supplement provides information about Roberto G. Buchanan that supplements the Fortius Financial Advisors, LLC brochure. You should have received a copy of that brochure. Please contact us at (855) 822-5587 if you did not receive Fortius Financial Advisors, LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Roberto G. Buchanan is available on the SEC's website at www.adviserinfo.sec.gov.

Educational Background and Business Experience

Roberto G. Buchanan

Year of Birth: 1971

Formal Education after High School:

- University of Utah, Economics, Attended 1995 – 2000.

Business Background for the Previous Five Years:

- Fortius Financial Advisors, LLC, Investment Adviser Representative, 03/2004 to Present.
- Axiom Financial, Director of Sales, 3/2013 to Present
- Intermountain Financial Services, Inc. Registered Representative, 02/2006 to 09/2006.

Disciplinary Information

Mr. Buchanan does not have, nor has he ever had, any disciplinary disclosure.

Other Business Activities

Mr. Buchanan is separately licensed as an independent insurance agent. In this capacity, he can effect transactions in insurance products for his clients and earn commissions for these activities. The fees you pay our firm for advisory services are separate and distinct from the commissions earned by Mr. Buchanan for insurance related activities. This presents a conflict of interest because Mr. Buchanan may have an incentive to recommend insurance products to you for the purpose of generating commissions rather than solely based on your needs. However, you are under no obligation, contractually or otherwise, to purchase insurance products through any person affiliated with our firm.

Mr. Buchanan is a managing member of Citius Ventures, LLC, an investment related holding company that invests in and holds multiple private equity positions. Citius Ventures owns Citius Wealth, an entity that provides an Internet based financial management system. We will recommend that you use the services of Citius Wealth if appropriate and suitable for your needs. Our advisory services and related fees are separate and distinct from the fees paid to Citius Wealth for their services. As a principal of Citius Ventures, Mr. Buchanan will benefit if you utilize the services of Citius Wealth. Refer to the *Other Financial Industry Activities and Affiliations* section of Fortius Financial Advisors, LLC's firm brochure for additional disclosures on this topic.

Mr. Buchanan is a managing member of Nuvo Ventures, LLC, an investment related, single purpose entity established to hold investments in Nuvo H₂O ("Nuvo"), a private soft water company. While advisory clients are not solicited to invest in Nuvo, advisory clients may nonetheless have an investment interest. Under such arrangements, advisory clients are required to acknowledge in writing that we are not acting as their investment adviser in these transactions. Investment in Nuvo will benefit Mr. Buchanan. Refer to the *Other Financial Industry Activities and Affiliations* section of Fortius Financial Advisors, LLC's firm brochure for additional disclosures on this topic.

Mr. Buchanan is a member of Trash to Cash, LLC a distributor for green technology for the state of Texas. Mr. Buchanan is the director of sales at Axiom Financial, a mortgage company. He is compensated for his duties performed at this company.

Additional Compensation

Please refer to the *Other Business Activities* section above for disclosures on Mr. Buchanan's receipt of additional compensation as a result of his activities as a licensed insurance agent and other activities.

Also, please refer to the *Other Financial Industry Activities and Affiliations* section of Fortius Financial Advisors, LLC's firm brochure for additional disclosures on this topic.

Supervision

Jeff M. Bollinger, Chief Investment Officer/Investment Adviser Representative is responsible for supervising the advisory activities of Roberto G. Buchanan. Mr. Bollinger can be reached at (855) 822-5587.

In the supervision of our Associated Persons, advice provided is limited by internal decisions as to the types of investments that may be included in client portfolios. Senior management establishes our firm's general investment policy and strategy, and sets guidelines on the overall products and services that are provided to advisory clients. We conduct periodic reviews of each client's holdings against that client's documented suitability information to provide reasonable assurance that the advice provided is aligned with each client's stated investment objectives and with our internal guidelines.

Requirements for State-Registered Advisors

In addition to the events listed in Disciplinary Information, Mr. Buchanan has not been involved in one of the events listed below, we disclose all material facts regarding the event. We have nothing to disclose in this regard.

An award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following: an investment or an investment-related business or activity; fraud, false statement(s), or omissions; theft, embezzlement, or other wrongful taking of property; bribery, forgery, counterfeiting, or extortion; or dishonest, unfair, or unethical practices.

An award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following: an investment or an investment-related business or activity; fraud, false statement(s), or omissions; theft, embezzlement, or other wrongful taking of property; bribery, forgery, counterfeiting, or extortion; or dishonest, unfair, or unethical practices.

Mr. Buchanan has not been the subject of a bankruptcy petition, we must disclose that fact, the date the petition was first brought, and the current status. We have nothing to disclose in this regard.

Jared Marshall Clayton

CRD No. 4132656

Fortius Financial Advisors, LLC

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This brochure supplement provides information about Jared M. Clayton that supplements the Fortius Financial Advisors, LLC brochure. You should have received a copy of that brochure. Please contact us at (855) 822-5587 if you did not receive Fortius Financial Advisors, LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Jared M. Clayton is available on the SEC's website at www.adviserinfo.sec.gov.

Educational Background and Business Experience

Jared Marshall Clayton

Year of Birth: 1977

Formal Education after High School:

- University of Utah, Political Science, 06/1995 to 08/1996.
- Ricks College, Associates Degree, Language and Letters 1999
- Westminster College, BS, Business Finance 2006

Business Background for the Previous Five Years:

- Fortius Financial Advisors, LLC, Investment Adviser Representative, 07/2010 to Present.
- Blue Mountain Realty (fka Interstate Realty Brokers), 10/2011 to Present.
- National Investment Advisors, Investment Adviser Representative, 07/2008 to 05/2010.
- The Alcaime Group, Executive Vice President, 01/2008 to 06/2010.
- Fortius Financial Advisors, LLC, Investment Adviser Representative, 01/2008 to 07/2008.
- AXA Advisors, LLC, Registered Representative/Investment Adviser Representative, 11/2007 to 01/2008.
- Fidelity Brokerage Services, LLC, Registered Representative, 10/1999 to 05/2007

Disciplinary Information

Mr. Clayton does not have, nor has he ever had, any disciplinary disclosure.

Other Business Activities

Mr. Clayton has a Real Estate License that is held with Blue Mountain Realty.

Mr. Clayton is the managing member of U.S. Capital Partners, LLC since 1/2011 to present. The entity is used for real estate investments, debt instruments, energy rebates, and other investments.

Mr. Clayton is managing member of Clayton Capital Management, LLC since 1/2011 to present. The entity is for real estate rental property and real estate license, consulting work, investment advising, energy rebates.

Mr. Clayton is managing member of Praxis S11, LLC. The entity is used for business consulting & management service.

Mr. Clayton is a member of Illumin8. This entity is used for sale of LED lights.

Mr. Clayton is a member of Hash & Lois, LLC. This entity is used for managing family oil & gas rights.

Mr. Clayton is a member of Anconia Mining, LLC. This entity is used for asset backed lending.

Mr. Clayton is a member of Novus Capital Management, LLC. This entity is used for asset backed lending.

Mr. Clayton is a member of the Sandy City Planning Commission since 1/2013 to present.

Additional Compensation

Please refer to the *Other Business Activities* section above for disclosures on Mr. Clayton's receipt of additional compensation.

Supervision

Jeff M. Bollinger, Chief Investment Officer/Investment Adviser Representative is responsible for supervising the advisory activities of Jared M. Clayton. Mr. Bollinger can be reached at (855) 822-5587.

In the supervision of our Associated Persons, advice provided is limited by internal decisions as to the types of investments that may be included in client portfolios. Senior management establishes our firm's general investment policy and strategy, and sets guidelines on the overall products and services that are provided to advisory clients. We conduct periodic reviews of each client's holdings against that client's documented suitability information to provide reasonable assurance that the advice provided is aligned with each client's stated investment objectives and with our internal guidelines.

Requirements for State-Registered Advisors

In addition to the events listed in Disciplinary Information, Mr. Clayton has not been involved in one of the events listed below, we disclose all material facts regarding the event. We have nothing to disclose in this regard.

An award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following: an investment or an investment-related business or activity; fraud, false statement(s), or omissions; theft, embezzlement, or other wrongful taking of property; bribery, forgery, counterfeiting, or extortion; or dishonest, unfair, or unethical practices.

An award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following: an investment or an investment-related business or activity; fraud, false statement(s), or omissions; theft, embezzlement, or other wrongful taking of property; bribery, forgery, counterfeiting, or extortion; or dishonest, unfair, or unethical practices.

Mr. Clayton has not been the subject of a bankruptcy petition, we must disclose that fact, the date the petition was first brought, and the current status. We have nothing to disclose in this regard.

Vielka T. Burey-Jacas

CRD No. 5407578

Fortius Financial Advisors, LLC

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March 26, 2015

**FORM ADV PART 2B
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This brochure supplement provides information about Vielka T. Burey-Jacas that supplements the Fortius Financial Advisors, LLC brochure. You should have received a copy of that brochure. Please contact us at (855) 822-5587 if you did not receive Fortius Financial Advisors, LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Vielka T. Burey-Jacas is available on the SEC's website at www.adviserinfo.sec.gov.

Educational Background and Business Experience

Vielka Terezinha Burey-Jacas

Year of Birth: 1965

Formal Education after High School:

- Institute for Divorce Financial Analysts, Certified Divorce Financial Analyst™
- University of Miami, CFP®
- University of Houston, Finance

Business Background for the Previous Five Years:

- Fortius Financial Advisors, LLC, Investment Adviser Representative, 1/2014 to Present
- Blue Cross and Blue Shield of Florida, Inc., Health Insurance Sales, 10/2014 to Present
- Women's Financial Advisory Group, LLC, 01/2013 to Present
- United Healthcare, Member Service Representative, 11/2011 to 4/2013
- MHN Government Services, Financial Counselor, 12/2008 to 12/2012 (per deim basis)
- Cela Advisors, CFP®, 1/2010 to 12/2010

Designations:

CFP® - Certified Financial Planner

CFP® MINIMUM QUALIFICATIONS:

- Bachelor's degree or its equivalent, in any discipline, from an accredited university
- Minimum 15-hour curriculum necessary to prepare for the CFP exam (you may challenge the educational requirements if you are a licensed attorney or are hold any of the following certifications or degrees: Certified Public Accountant, Chartered Financial Analyst, Chartered Financial Consultant, Chartered Life Underwriter, Doctor of Business Administration or PhD in business or economics).
- Apply for and achieve a passing score on the Certified Financial Planner exam.
- Possess at least 3 years of work experience in the financial planning industry - teaching, assisting, supervising or delivering financial planning services to a client base for a minimum of 3 years prior to certification.
- Pass a background check and candidate fitness standards test. You must reveal any criminal history, pending litigation or ethical violations. The CFP board verifies all employment history, qualifications and disciplinary issues via FINRA's Central Registration Depository.

CDFA®- Certified Divorce Financial Analyst

CDFA® MINIMUM QUALIFICATIONS

- In order to be considered for this designation, a professional must have at least two years of experience in the financial or legal industry.
- Must complete a series of four examinations based upon material learned from four self-study courses. Coursework outlines several key areas important in divorce proceedings; including the treatment of property during divorce, alimony and child support, and tax implications of property division. The entire program generally takes at least 4 months to complete.

Disciplinary Information

Ms. Burey-Jacas does not have, nor has she ever had, any disciplinary disclosure.

Other Business Activities

Ms. Burey-Jacas is principal of Women's Advisory Group, LLC, a Florida limited liability company. Ms. Burey-Jacas markets and networks to develop the business of serving the financial needs of women in transition. This activity requires a substantial amount of Ms. Burey-Jacas' time and may provide for a substantial portion of her total income.

Ms. Burey-Jacas is employed with Blue Cross and Blue Shield of Florida, Inc. selling health insurance plans. This activity requires a substantial amount of Ms. Burey-Jacas' time and may provide for a substantial portion of her total income. This is a temporary position.

Additional Compensation

Please refer to the *Other Business Activities* section above for disclosures on Ms. Burey-Jacas receipt of additional compensation.

Also, please refer to the *Other Financial Industry Activities and Affiliations* section of Fortius Financial Advisors, LLC's firm brochure for additional disclosures on this topic.

Supervision

Michael M. Dvorkin, Managing Partner/Investment Officer/Investment Adviser Representative of Fortius Financial Advisors, LLC, is responsible for supervising the advisory activities of Ms. Burey-Jacas. Mr. Dvorkin can be reached at (855) 822-5587.

In the supervision of our Associated Persons, advice provided is limited by internal decisions as to the types of investments that may be included in client portfolios. Senior management establishes our firm's general investment policy and strategy, and sets guidelines on the overall products and services that are provided to advisory clients. We conduct periodic reviews of each client's holdings against that client's documented suitability information to provide reasonable assurance that the advice provided is aligned with each client's stated investment objectives and with our internal guidelines.

Requirements for State-Registered Advisors

In addition to the events listed in Disciplinary Information, Ms. Burey-Jacas has not been involved in one of the events listed below, we disclose all material facts regarding the event. We have nothing to disclose in this regard.

An award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following: an investment or an investment-related business or activity; fraud, false statement(s), or omissions; theft, embezzlement, or other wrongful taking of property; bribery, forgery, counterfeiting, or extortion; or dishonest, unfair, or unethical practices.

An award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following: an investment or an investment-related business or activity; fraud, false statement(s), or omissions; theft, embezzlement, or other wrongful taking of property; bribery, forgery, counterfeiting, or extortion; or dishonest, unfair, or unethical practices.

Ms. Burey-Jacas has not been the subject of a bankruptcy petition, we must disclose that fact, the date the petition was first brought, and the current status. We have nothing to disclose in this regard.

