

**Schedule F of
Form ADV**

Continuation Sheet for Form ADV Part II

Applicant:	SEC File Number:	Date:
Capital View Wealth Management, LLC	801- 62003	06/10/2008

(Do not use this Schedule as a continuation sheet for Form ADV Part I or any other schedules.)

1. Full name of applicant exactly as stated in Item 1A of Part I of Form ADV: Capital View Wealth Management, LLC	IRS Empl. Ident. No.: 14 - 1876086
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Item of Form (identify)	Answer																		
Item 1. D.	<p>Advisory Services and Fees</p> <p>Capital View Wealth Management, LLC (hereinafter "<i>Capital View</i>" or "<i>the firm</i>") offers personalized investment advisory services to individuals, pension and profit sharing plans, trusts, estates, charitable organizations, corporations and other business entities. The firm's services and fee arrangements are described in the following pages.</p> <p>Individuals associated with Capital View will provide its investment advisory services. These individuals are appropriately licensed, qualified and authorized to provide advisory services on the firm's behalf. Such individuals are known as Investment Adviser Representatives ("IARs").</p> <p>Portfolio Management Services</p> <p>Capital View provides discretionary portfolio management services on a continuous basis. The investment advice provided is custom tailored to meet the needs and investment objectives of the client. Subject to any written guidelines, which the client may provide, the firm normally has complete discretion and authority to manage the account. Accordingly, Capital View is authorized to perform various functions, at the client's expense, without further approval from the client. Such functions include to make all investment decisions on the (a) securities purchased/sold; (b) the amount of securities to be purchased/sold; (c) selection of the broker or dealer to execute the transaction(s); (d) the commission rates to be paid. Once the portfolio is constructed, Capital View provides supervision and re-optimization of the portfolio as changes in the market conditions and client circumstances may require. In limited circumstances, Capital View may enter into non-discretionary arrangements with its clients where Capital View obtains client approval prior to execution of a trade.</p> <p>The annual fee for portfolio management services is billed quarterly in advance based on the market value of the assets on the last day of the preceding quarter. Fees will be assessed pro rata in the event the portfolio management agreement is executed at any time other than the first day of a calendar quarter. On an annualized basis, Capital View's fee for portfolio management services is based on the following negotiable tiered fee schedule:</p> <p>Bond Portfolio</p> <table> <tr> <th>Assets Under Management</th><th>Annualized Fee</th></tr> <tr> <td>\$0 but less than 5,000,000</td><td>0.35%</td></tr> <tr> <td>\$5,000,000 but less than \$10,000,000</td><td>0.25%</td></tr> <tr> <td>\$10,000,000 and above</td><td>0.20%</td></tr> </table> <p>Large Cap or Growth & Income Portfolios</p> <table> <tr> <th>Assets Under Management</th><th>Annualized Fee</th></tr> <tr> <td>\$0 - \$1,000,000</td><td>1.50%</td></tr> <tr> <td>\$1,000,001 but less than \$5,000,000</td><td>1.00%</td></tr> <tr> <td>\$5,000,000 but less than \$10,000,000</td><td>0.75%</td></tr> <tr> <td>\$10,000,000 and above</td><td>0.50%</td></tr> </table>	Assets Under Management	Annualized Fee	\$0 but less than 5,000,000	0.35%	\$5,000,000 but less than \$10,000,000	0.25%	\$10,000,000 and above	0.20%	Assets Under Management	Annualized Fee	\$0 - \$1,000,000	1.50%	\$1,000,001 but less than \$5,000,000	1.00%	\$5,000,000 but less than \$10,000,000	0.75%	\$10,000,000 and above	0.50%
Assets Under Management	Annualized Fee																		
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Item 1. D. (Continued)	<p>Capital View will either invoice the client directly for portfolio management services or payment of fees will be made by the custodian holding the clients' funds and securities provided that the following requirements are met:</p> <ul style="list-style-type: none"> ▪ The client provides written authorization permitting the fees to be paid directly from the client's account held by the custodian. Capital View does not have access to client funds for payment of fees without client consent in writing. ▪ Capital View sends the client an invoice showing the amount of the fee, the value of the client's assets on which the fee is based, and the specific manner in which the fee was calculated. ▪ It is disclosed to the client that it is the client's responsibility to verify the accuracy of the fee calculation and that the custodian will not determine whether the fee is properly calculated. ▪ Capital View will send an invoice to the custodian indicating only the amount of the fee to be paid by the custodian. ▪ The custodian agrees to send the client a statement, at least quarterly, indicating all amounts dispersed from the account including the amount of the advisory fee paid directly to the firm. <p>Capital View or the client may terminate the management agreement within five days of the date of acceptance without penalty to the client. After the five-day period, either party, upon 30 days written notice to the other, may terminate the management agreement. The management fee will be pro-rated for the quarter in which the cancellation notice was given, inclusive of the 30-day notice period, and any unearned fees will be returned to the client.</p> <p><u>Consulting Services</u></p> <p>Capital View and its associates may provide investment related consulting services to clients for an hourly charge. Consulting services may include, but are not limited to, advice on existing or potential investment products, account re-balancing, and/or asset allocation. The hourly charge is \$250, subject to negotiation, and is billed on ½ hour increments. Hourly fees are generally calculated and payable at the conclusion of each session.</p> <p>When the scope of the consulting service has been agreed upon, a determination will be made as to the applicable fee. The final fee shall be directly dependent upon the facts and circumstances of the client's situation and the complexity of service(s) requested. <i>In limited circumstances</i>, the cost/time could potentially exceed the initial estimate. In such cases, Capital View will notify the client and may request that the client pay an additional fee.</p> <p>Capital View or the client may terminate the consulting agreement within five days of the date of acceptance without penalty to the client. After the five-day period, either party may terminate the consulting agreement by providing written notice to the other party. Refunds are not applicable since consulting fees are payable in arrears.</p> <p><u>General Information on Advisory Services and Fees</u></p> <p>The fees charged are calculated as described above and are not charged on the basis of a share of capital gains upon, or capital appreciation of, the funds or any portion of the funds of an advisory client (SEC Rule 205(a)(1)).</p>	

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Item 1.D. (continued)	All fees paid to Capital View for investment advisory services are separate and distinct from the fees and expenses charged by mutual funds (described in each fund's prospectus) to their shareholders. These fees will generally include a management fee and other expenses. Fees paid to Capital View are exclusive of all custodial and transaction costs paid to account custodian, brokers or other managers. The client should review all fees charged by mutual funds, Capital View and others to fully understand the total amount of fees to be paid by the client.	
Item 3.L.	<u>Types of Investments</u> Capital View will offer advice on any investments held in the client portfolio at the inception of the advisory relationship.	
Item 5.	<u>Education and Business Standards</u> IARs of Capital View must meet all examination or experience requirements of the states and/or jurisdictions in which the IAR provides advisory services.	
Item 6.	<u>Education and Business Background</u> Samuel B. O'Brien <i>Year of Birth:</i> 1952 <i>Formal Education After High School:</i> <ul style="list-style-type: none"> ▪ Simpson College, B.A., Political Science, 1976 <i>Business Background for the Previous Five Years:</i> <ul style="list-style-type: none"> ▪ Capital View Wealth Management, LLC, President/CCO, 03/2003 to Present. ▪ UBS Painewebber, Inc., Registered Representative, 05/1990 to 05/2003. 	
Item 9. D. & E.	<u>Participation or Interest in Client Transactions</u> Capital View and/or individuals associated with the firm may buy or sell – for their personal account(s) – investment products identical to those recommended to clients. Further, they may own securities that are subsequently purchased for or recommended to clients. These investment products are widely held and publicly traded. It is Capital View's expressed policy that no person employed by the firm shall effect for himself or herself or for his or her immediate family (i.e. spouse, minor child) any transaction(s) in a security that is being actively purchased or sold, or is being considered for purchase or sale, on behalf of any of the firm's clients, unless in accordance with the following: <ol style="list-style-type: none"> 1. If Capital View is purchasing or considering for purchase any security on behalf of its client, no associated person may transact in that security prior to the client purchase having been completed by the firm, or until a decision has been made not to purchase the security on behalf of the client; and 2. If Capital View is selling or considering the sale of any security on behalf of its client, no associated person may transact in that security prior to the sale on behalf of the client having been completed by the firm, or until a decision has been made not to sell the security on behalf of the client. <u>Exceptions</u> <ol style="list-style-type: none"> 1. This policy has been established recognizing that some securities being considered for purchase and sale on behalf of Capital View's clients trade in sufficiently broad markets to permit transactions by the firm to be completed without any appreciable impact on the markets of the securities. Under certain circumstances exceptions may be made to the policies stated above. Records of these trades, including reasons for the 	

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Item 9. D. E. (continued)	<p>exceptions, will be maintained with the firm's records and such transactions will be reviewed on a regular basis by a qualified principal of the firm.</p> <p>It is further noted that Capital View is in, and shall continue to be in, compliance with The Insider Trading and Securities Fraud Enforcement Act of 1988. Specifically, Capital View has adopted a firm wide policy statement outlining insider-trading compliance by the firm, its associated persons, and other employees. This statement has been distributed to all associated persons and other employees of the firm and has been signed and dated by each such person. A copy of such firm wide policy is left with each associated person and the original is maintained in a master file.</p> <p>Further, Capital View has adopted a written supervisory procedures statement highlighting the steps which shall be taken to implement the firm wide policy. These materials are also distributed to all associated persons and other employees of the firm, are signed, dated and filed with the insider trading compliance materials.</p> <p>Code of Ethics ^{(1), (2)}</p> <p>As these situations may represent a conflict of interest, Capital View has established the following restrictions in order to ensure its fiduciary responsibilities:</p> <ul style="list-style-type: none"> ▪ Capital View emphasizes the unrestricted right of the client to specify investment objectives, guidelines, and/or conditions on the overall management of their account. ▪ Associated persons or their immediate family members shall not buy or sell securities for their personal portfolio(s) where their decision is derived in whole or in part, by reason of the associated person's employment, unless the information is also available to the investing public on reasonable inquiry. ▪ No associated person of Capital View shall prefer his or her own interest to that of the advisory client. Investment opportunities must be offered first to clients before Capital View or associated persons may participate in such transactions. ▪ Capital View and its associated persons generally may not purchase and sell securities being considered for, or held by client accounts without pre-clearance from the Compliance Officer. ▪ Capital View and its employees generally may not participate in private placements or initial public offerings (IPOs) without pre-clearance from Capital View's Compliance Officer. ▪ Capital View requires that all individuals must act in accordance with all applicable federal and state regulations governing registered investment advisory practices. ▪ Records will be maintained of all securities bought or sold by Capital View, associated persons of Capital View, and related entities. A qualified representative of Capital View will review these records on a regular basis. ▪ Any individual not in observance of the above may be subject to termination. <p>The full text of Capital View's Code of Ethics is available to you upon request.</p> <p>Footnotes:</p> <p>⁽¹⁾ This investment policy has been established recognizing that some securities being</p>	

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Item 9. D. E. (continued)	<p>considered for purchase and/or sale on behalf of Capital View's clients trade in sufficiently broad markets to permit transactions by clients to be completed without an appreciable impact on the markets of the securities. Under certain circumstances, exceptions may be made to the policies stated above. Records of these trades, including the reasons for the exceptions, will be maintained with Capital View's records in the manner set forth above.</p> <p>(2) The foregoing does not apply to certain types of securities, such as obligations of the U.S. Government, and shares in open-end mutual funds. Open-end mutual funds are purchased or redeemed at a fixed net asset value price per share specific to the date of purchase or redemption. As such, transactions in mutual funds by Advisory Representatives are not likely to have an impact on the prices of the fund shares in which clients invest.</p> <p>In accordance with Section 204-A of the Investment Advisers Act of 1940, Capital View also maintains and enforces written policies reasonably designed to prevent the misuse of material non-public information by Capital View or any person associated with Capital View.</p> <p><u>Confidentiality</u> As an employee of Capital View, associated persons may learn confidential information concerning the firm and its clients. "Confidential information" generally means all information not publicly available (through the media or public records) and includes, but is not limited to:</p> <ul style="list-style-type: none"> ▪ The composition of client portfolios. ▪ Certain records, procedures and other proprietary information. ▪ Family or personal information. <p>It is Capital View's policy that individuals employed by the firm must not disclose, directly or indirectly, any confidential information to anyone other than the firm's personnel and authorized professional advisors such as broker dealers, attorneys, and accountants who need such information in order to discharge their professional services.</p> <p>A copy of Capital View's privacy policy notice will be provided to each client prior to or contemporaneously with the execution of the advisory agreement. Thereafter, the firm will deliver a copy of the current privacy policy notice to clients on an annual basis.</p>	
Item 10.	<p><u>Conditions for Managing Accounts</u> Capital View generally requires a minimum of \$500,000 to open and maintain an advisory account. Capital View may waive this requirement in its sole discretion, for example, if the client appears to have significant potential for increasing assets under management.</p>	
Item 12 A. (1), (2), (3), (4)	<p><u>Investment or Brokerage Discretion</u> Generally, clients grant Capital View complete discretion over the selection and amount of securities to be bought or sold, the broker or dealer to be used and the commission rates to be paid for their account without obtaining their prior consent or approval. However, the firm's investment authority may be subject to specified investment objectives, guidelines and/or conditions imposed by the client. For example, a client may specify that the investment in any particular stock or industry should not exceed specified percentages of the value of the portfolio, restrictions or prohibitions of transactions in the securities of a specific industry, and/or directed brokerage.</p>	
Item 12. B.	<p><u>Suggestion of Broker</u> Capital View will recommend that securities be purchased through facilities of TD</p>	

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Items 13 A.	<p>Ameritrade Institutional, a division of TD Ameritrade, Inc., member FINRA/SIPC. TD Ameritrade is an unaffiliated SEC-registered broker-dealer and FINRA member. TD Ameritrade offers to independent investment advisers, services that include custody of securities, trade execution, clearance and settlement of transactions. Capital View receives some benefits from TD Ameritrade through its participation in the program. (Please see the disclosure under Item 13.A. below.) Capital View and/or Advisory Representatives of Capital View may receive benefits such as assistance with conferences and educational meetings from product sponsors.</p> <p>In selecting a broker dealer Capital View will endeavor to select those brokers or dealers that will provide the best services at the lowest commission rates possible. The reasonableness of commissions is based on several factors, including the broker's ability to provide professional services, competitive commission rates, volume discounts, execution price negotiations, and other services.</p> <p><i>Directed Brokerage</i> Some clients may instruct Capital View to use one or more particular brokers for the transactions in their accounts. Clients who may want to direct the Firm to use a particular broker-dealer should understand that their direction may prevent the Firm from aggregating orders with other clients or from effectively negotiating brokerage compensation on their behalf, and they may even prevent the Firm from obtaining the most favorable net price and execution. Thus, in directing brokerage business, those clients should consider whether the commission expenses, execution, clearance, and settlement capabilities, they will obtain through their directions are adequately favorable in comparison to those that Capital View would otherwise obtain for its clients to justify their direction of brokerage business.</p> <p><u>Additional Compensation</u> As disclosed under Item 12.B. above, Capital View participates in TD Ameritrade's institutional customer program and it may recommend TD Ameritrade to clients for custodial and brokerage services. There is no direct link between the Firm's participation in the program and the investment advice it gives to its clients, although the Firm receives economic benefits through its participation in the program that are typically not available to TD Ameritrade retail investors. These benefits include the following products and services (provided without cost or at a discount): receipt of duplicate client statements and confirmations; research related products and tools; consulting services; access to a trading desk serving adviser participants; access to block trading (which provides the ability to aggregate securities transactions for execution and then allocate the appropriate shares to client accounts); the ability to have advisory fees deducted directly from client accounts; access to an electronic communications network for client order entry and account information; access to mutual funds with no transaction fees and to certain institutional money managers; and discounts on compliance, marketing, research, technology, and practice management products or services provided to Capital View by third party vendors. TD Ameritrade may also have paid for business consulting and professional services received by the Firm's related persons. Some of the products and services made available by TD Ameritrade through the program may benefit Capital View but may not benefit its Client accounts. These products or services may assist the Firm in managing and administering Client accounts, including accounts not maintained at TD Ameritrade. Other services made available by TD Ameritrade are intended to help Capital View manage and further develop its business enterprise. The benefits received by the Firm or its personnel through participation in the program do not depend on the amount of brokerage transactions directed to TD Ameritrade. As part of its fiduciary duties to clients, the Firm</p>	

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	<p>endeavors at all times to put the interests of its clients first. Clients should be aware, however, that the receipt of economic benefits by Capital View or its related persons in and of itself creates a potential conflict of interest and may indirectly influence the Firm's choice of TD Ameritrade for custody and brokerage services.</p> <p><u>Miscellaneous</u></p> <p><u>Aggregation of Orders</u></p> <p>Where Capital View buys or sells the same security for two or more clients, Capital View may place concurrent orders with a single broker, to be executed together as a single "block" in order to facilitate orderly and efficient execution. Whenever the firm aggregates trades, each account on whose behalf an order is placed is determined in advance of order placement. Each account receives the average price of the overall order, and bears a proportionate share of all transaction costs.</p> <p>Prior to entering the aggregated order, Capital View will prepare a written statement (the "Allocation Statement") clearly identifying the accounts that will participate in the transaction. Once the transaction is complete, Capital View then instructs the executing broker to calculate the average price for all shares so traded. Capital View employs the average pricing method in order to avoid having some clients pay more (or receive less) than other clients when their individual investment objectives and portfolio balances warrant investment (or divestiture) of identical securities. If the order is only partially filled, it shall be allocated on a pro-rata basis in accordance with the Allocation Statement.</p> <p>If an order must be allocated in a manner different from that in the Allocation Statement, all clients will receive fair and equitable treatment and a principal of Capital View will approve the written rationale for the departure. The written rationale must be prepared no later than one hour after the opening of the markets on the trading day following the day on which the order is executed.</p> <p>Capital View will receive no additional compensation or remuneration of any kind as a result of the aggregation of client trades.</p> <p><u>Proxy Voting</u></p> <p>Clients will make all of the decisions regarding corporate actions and the exercise of proxy voting rights with respect to stock held in the client's account. Although Capital View may, on rare occasions and only at the client's request, offer clients advice regarding corporate actions and the exercise of proxy voting rights. Capital View will forward to the client any information that the firm receives regarding class action legal matters and/or proxy voting materials involving any security held in the client's account(s).</p>	

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