

Brendan Thomas Hayes

Provenance Wealth Advisors, LLC

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Form ADV Part 2B Brochure Supplement

This Brochure Supplement provides information about Brendan Thomas Hayes that supplements the Provenance Wealth Advisors, LLC Brochure. You should have received a copy of that Brochure. Please contact Thomas J. Scanlan, Chief Compliance Officer at 954-712-7006 if you did not receive Provenance's Brochure or if you have any questions about the contents of this supplement.

Additional information about Brendan Thomas Hayes is available on the SEC's website at www.adviserinfo.sec.gov.

Educational Background and Business Experience - Item 2

Brendan Thomas Hayes

Year of Birth: 1972

Formal Education after High School:

- University of South Florida, B.S., Communications, 1995

Business Background for the Preceding Five Years:

- Provenance Wealth Advisors, LLC, Financial Planning Consultant/Investment Adviser Representative, 09/2004 to Present.
- Raymond James Financial Services Advisors, Inc., Investment Adviser Representative, 09/2013 to Present.
- Raymond James Financial Services, Inc., Registered Representative, 09/2013 to Present.
- Walnut Street Securities, Inc., Investment Adviser Representative/Registered Representative, 09/2004 to 09/2013.

FINRA Licenses:

- Series 6
- Series 63
- Series 65

Disciplinary Information - Item 3

Mr. Hayes does not have, and has never had any disciplinary disclosure.

Other Business Activities - Item 4

Mr. Hayes is a registered representative with Raymond James Financial Services, Inc. ("RJFS"). RJFS is a diversified financial services company engaged in the sale of specialized investment products. In this capacity, Mr. Hayes may recommend securities or insurance products offered by RJFS as part of your investment portfolio. If clients purchase these products through Mr. Hayes, he will receive the customary commissions in his separate capacity as a registered representative of RJFS. Additionally; Mr. Hayes could be eligible to receive incentive awards such as RJFS may offer. He may also receive 12b-1 fees from mutual funds that pay such fees. The receipt of additional compensation may give Mr. Hayes an incentive to recommend investment products based on the compensation received, rather than on your investment needs. Please refer to the Fees and Compensation section and the Client Referrals and Other Compensation section of Provenance Wealth Advisors, LLC's firm brochure for additional

disclosures on this topic.

Mr. Hayes is also an investment adviser representative of Raymond James Financial Services Advisors, Inc. ("RJFSA"), an unaffiliated registered investment adviser. Mr. Hayes may recommend that you use the services of RJFSA if suitable for your needs. Advisory services provided through Provenance Wealth Advisors, LLC and related fees are separate and distinct from the fees paid to RJFSA and Mr. Hayes for services provided through that firm.

Mr. Hayes is separately licensed as an independent insurance agent. In this capacity, he can effect transactions in insurance products for his clients and earn commissions for these activities. The fees you pay our firm for advisory services are separate and distinct from the commissions earned by Mr. Hayes for insurance related activities. This presents a conflict of interest because Mr. Hayes may have an incentive to recommend insurance products to you for the purpose of generating commissions rather than solely based on your needs. However, you are under no obligation, contractually or otherwise, to purchase insurance products through any person affiliated with our firm.

Please refer to the Fees and Compensation, Other Industry Activities and Affiliations, and/or Brokerage Practices sections of Provenance Wealth Advisors, LLC's firm brochure for additional disclosures on this topic.

Mr. Hayes spends a majority of his professional time engaging in these other business activities.

Additional Compensation – Item 5

Please refer to the Other Business section above for disclosures on Mr. Hayes's receipt of additional compensation as a result of his outside business activities.

Also, please refer to the Fees and Compensation, Other Industry Activities and Affiliations, Brokerage Practices, and/or Client Referrals and Other Compensation sections of Provenance Wealth Advisors, LLC's firm brochure for additional disclosures on this topic.

Supervision - Item 6

Thomas J. Scanlan, Chief Compliance Officer is responsible for supervising the advisory activities of Brendan T. Hayes. Mr. Scanlan can be reached at (954) 712-7006.

In addition, as registered representatives of RJFS, we are only permitted to conduct our advisory practice in accordance with strict controls and limitations set by these firms.

In the supervision of our associated persons, advice provided is limited based on the restrictions set by RJFS and by internal decisions as to the types of investments that may be included in client portfolios. We conduct periodic reviews of each investment adviser representatives' client holdings and documented suitability information to provide reasonable assurance that the advice provided remains aligned with each client's stated investment objectives and with our internal guidelines.

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Requirements for State-Registered Advisers - Item 7

"This section is not applicable because our firm is SEC registered."