

Susan C. Washburn

Washburn Capital Management, Inc.

3730 7th Terrace, Suite 203

Vero Beach, FL 32960

772-299-4998

772-299-5498 (fax)

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**FORM ADV PART 2B
BROCHURE SUPPLEMENT**

This brochure supplement provides information about Susan C. Washburn that supplements the Washburn Capital Management, Inc. brochure. You should have received a copy of that brochure. Please contact Susan Washburn, Vice President, 772.299.4998 or susan@washburncapital.com if you did not receive Washburn Capital Management's brochure or if you have any questions about the contents of this supplement.

Additional information about Susan C. Washburn is available on the SEC's website at www.adviserinfo.sec.gov.

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Educational Background and Business Experience

Form ADV Part 2B, Item 2

Name: Susan C. Washburn f/k/a Susan W. Lupin

Year of Birth: 1965

Formal Education after High School:

- Goucher College, Bachelor of Arts, English, 1987
- Tulane University, School of Law, Juris Doctor, 1990
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Business Background for the Previous Five Years:

- Washburn Capital Management, Inc., Vice President, 01/2007 – present
- West Group, New Orleans, LA, Account Representative, 8/1991 – 11/1996
- U.S. Court of Federal Claims, Law Clerk to Special Master E. LaVon French, 8/1990 – 8/1991

Certifications:

- **Juris Doctor (JD)**, The JD is the first professional law degree in the United States. Tulane University offers a three year long full-time program. Students graduating prior to 2007 need approximately 90 hours including required first year courses and pro bono hours to earn the degree.
- Passed the Rhode Island State Bar 1990
- Passed the Louisiana State Bar 1992
- **Registered Investment Advisor Representative (RIA) 01/2007 – present.** Uniform Investment Advisor Law Exam Series 65. The Series 65 exam is prepared by the North American Securities Administrators Association (NASAA) and administered by FINRA. The exam covers topics that have been determined to be necessary to provide investment advice to clients. The individual must complete a computer-based and proctored exam that consists of 140 four-option multiple-choice questions. Questions test the individual's knowledge on a variety of topics including security products, retirement plans, customer accounts, investment risks and strategies, taxation, economics and analysis, trading securities, and federal and state securities regulations. Students must receive a score of 72% to pass and receive their RIA designation.

Disciplinary Information

Form ADV Part 2B, Item 3

Registered investment adviser representatives are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. No information is applicable to this item.

Other Business Activities

Form ADV Part 2B, Item 4

Susan Washburn is a full-time employee of Washburn Capital Management. She is not a broker-dealer, futures commission merchant, commodity pool operator or commodity trading advisor. She does not receive commissions, bonuses, or other compensation based on the sales of securities or other investment products.

Additional Compensation

Form ADV Part 2B, Item 5

Washburn Capital Management, Inc. operates solely as a fee based investment advisor. We do not receive commissions from any products and we do not compensate others for referrals.

Susan Washburn draws a salary from the company but she does not receive an additional economic benefit from sales awards, bonuses or prizes

Supervision

Form ADV Part 2B, Item 6

Susan is supervised by the firm's President Robert Washburn and Vice President David Washburn who are responsible for her compliance with company policy and existing regulation. They may be reached at 772.299.4998. She works in all aspects of the company's operations including assisting with drafting and implementing policy to comply with existing regulations. Any advice given to clients represents either agreed upon company policy or is first discussed with her supervisors.

Requirements for State-Registered Advisers

Form ADV Part 2B, Item 7

This item is not applicable. Susan Washburn has not been involved in any of the events listed below:

1. An award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following:

- (a) an investment or an investment-related business or activity;
- (b) fraud, false statement(s), or omissions;
- (c) theft, embezzlement, or other wrongful taking of property;
- (d) bribery, forgery, counterfeiting, or extortion; or
- (e) dishonest, unfair, or unethical practices.

2. An award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:

- (a) an investment or an investment-related business or activity;
- (b) fraud, false statement(s), or omissions;
- (c) theft, embezzlement, or other wrongful taking of property;
- (d) bribery, forgery, counterfeiting, or extortion; or
- (e) dishonest, unfair, or unethical practices.

This item is not applicable. Susan Washburn has not been the subject of a bankruptcy petition.