

**Item 1 – Cover Page**

**Wing Chau**

**High Perch LLC**

**433 Plaza Real #275**

**Boca Raton, FL 33432**

**646-334-7810**

**January 16, 2014**

This Brochure Supplement provides information about Wing Chau that supplements the High Perch LLC (“High Perch”) Brochure. You should have received a copy of that Brochure. Please contact Wing Chau if you did not receive High Perch’s Brochure or if you have any questions about the contents of this supplement.

Additional information about Wing Chau also is available on the SEC’s website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## **Item 2 – Educational Background and Business Experience**

**Wing Chau** Born: 1966

Education:

1988, BA, Economics, University of Rhode Island

1993, MBA, Finance, Babson College

Business Background:

President/Managing Member, Harding Advisory, 02/2006 – Present

President, Maxim Advisory LLC, 02/2006 – Present. Maxim Advisory changed its name to High Perch LLC in February, 2008.

Managing Member of Longwall Capital LLC and Longwall GP I LLC, 02/2008 – 12/2008

Managing Director, Maxim Advisory LLC, 05/2004 – 02/2006

Managing Director, Maxim Group LLC, 05/2004 – 07/2006

Vice President, Nomura Securities International, 07/2002 – 01/2004

Director-Risk Finance, Barclays Capital, Inc., 01/2002 – 07/2002

Vice President, SG Cowen Securities Corporation, 06/2001 – 01/2002

Vice President, New York Life Insurance, 03/1999 – 06/2001

### **Item 3 – Disciplinary Information**

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice.

The SEC has alleged Harding Advisory and Wing Chau of violating various securities laws. Harding Advisory and Wing Chau intend to vigorously defend themselves against these claims.

#### **Item 4 – Other Business Activities**

Wing Chau, Managing Member of High Perch, is also an executive officer of Harding Advisory, an SEC-registered adviser that is High Perch's parent company. Wing Chau spends approximately 95% of his time with Harding Advisory and 5% with High Perch.

## **Item 5 – Additional Compensation**

Registered investment advisers are required to disclose whether someone who is not a client provides an economic benefit to any supervised person for providing advisory services. No information is applicable to this Item.

## **Item 6 – Supervision**

The indenture, collateral management agreement and collateral administration agreement relating to each CDO advised by High Perch set forth, in detail, investment criteria, reporting requirements and scope of duties with respect to the management of the CDO. High Perch, has, where required, engaged a trustee, a collateral administrator and a nationally recognized accounting firm for each CDO.