



E.K. Riley Investments, LLC
1420 5th Avenue, Suite 3300
Seattle, WA 98101
206-832-1520
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Part 2B of Form ADV: Brochure Supplement for

Steven Crawford Levold, CRD 2316454
626 Columbia St. NW Suite 1C
Olympia, WA 98501
360-339-7257

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This brochure supplement provides information about Steven Crawford Levold that supplements the E.K. Riley Investments, LLC brochure. You should have received a copy of that brochure. Please contact Alexis Vanden Bos, CCO (alexis.vandenbos@ekriley.com) if you did not receive E.K. Riley Investments, LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Steven Crawford Levold is available on the SEC's website at www.adviserinfo.sec.gov

Item 2 Educational, Background and Business Experience

Full Legal Name: Steven Crawford Levold

Born: 1953

Education

- Bachelor of Arts, Communications; Washington State University, 1979

Business Experience

- E.K. Riley Investments, LLC; Registered Representative; 9/10-Present
- E.K. Riley Investments, LLC; Investment Adviser Representative; 9/10-Present
- Morgan Stanley Smith Barney; Registered Representative; 6/09-9/10
- Morgan Stanley Smith Barney; Investment Adviser Representative; 6/09-9/10
- Morgan Stanley & Co. Inc.; Registered Representative; 4/07-6/09
- Morgan Stanley & Co. Inc.; Investment Adviser Representative; 4/07-6/09
- Morgan Stanley DW Inc.; Registered Representative; 7/06-4/07
- Morgan Stanley DW Inc.; Investment Adviser Representative; 7/06-4/07
- D.A. Davidson & Co.; Investment Adviser Representative; 10/02-8/06
- D.A. Davidson & Co.; Registered Representative; 12/97-7/06

Item 3 Disciplinary Information

Steve Levold has no reportable disciplinary history.

Item 4 Other Business Activities

E.K. Riley Investments, LLC is required to disclose any outside business activities or occupation for compensation that could potentially create a conflict of interest with clients.

A. Investment-Related Activities

1. Steve Levold is also engaged in the following investment-related activities:

Registered representative of a broker-dealer

E.K. Riley Investments, LLC is dually registered as both a broker-dealer and a registered investment adviser.

Steve Levold is a registered representative of E.K. Riley's broker-dealer. As a registered representative, Steve Levold earns commissions, 12b-1 fees, trailers and other sales-related forms of compensation. This presents a conflict of interest to the extent that Steve Levold recommends that a client invest in a security which results in a commission being paid to him, and in particular when commissions may be in addition to or higher than advisory fees.

Licensed to sell insurance products

E.K. Riley Investments, LLC is a licensed insurance agency and Steve Levold is licensed as an insurance producer. As an insurance producer, Steve Levold earns commissions, trailers and other sales-related forms of

compensation. This presents a conflict of interest to the extent that Steve Levold recommends that a client purchase an insurance product which results in a commission being paid to him, and in particular when commissions may be in addition to or higher than advisory fees.

2. Because Steve Levold receives commissions, bonuses or other compensation on the sale of securities, insurance or other investment products this practice provides an incentive to recommend products based on the compensation received, rather than on the client's needs. The implementation of any or all recommendations by a registered representative or insurance producer is solely at the discretion of the client.

B. Non Investment-Related Activities

Steve is a sports announcer for the Black Hills Wolves in Tumwater, WA. He announces approximately 15 high school games per year. Steve also announces 6–8 games at the state basketball tournament each year in the Tacoma Dome. Steve earns roughly \$600 annually for these activities. Each game is 2–3 hours long. Time is generally not devoted to these activities during trading hours.

Item 5 Additional Compensation

Steve Levold does not receive any economic benefit from a non-advisory client for the provision of advisory services.

Item 6 Supervision

Supervisor: Edward Riley

Title: Chairman & CEO

Phone Number: 206.832.1520

Upon the opening of each advisory account, the client's investment objectives and strategy are reviewed for consistency with the advisory program's guidelines.

Trading activity in client accounts is reviewed on a next day basis reviewing for suitability of transactions.

Subsequently, accounts are reviewed on a monthly, quarterly or annual basis. The frequency and nature of the review is dependent on a number of factors and situations, such as: whether the account is managed on a discretionary basis, the particular advisory program, the level of activity, and the investment objective of the account.