

## Brochure Supplement

(June 22, 2012)

### Advisor Representative:

Ronald A. Brown

7060 South Yale, Suite 701 Tulsa, OK 74136-5740

(918) 496-5460

### Company:

J M Brown & Associates, Inc.

7060 South Yale, Suite 701 Tulsa, OK 74136-5740

(918) 496-5460

This “Brochure Supplement” provides information about Ronald A. Brown (Investment Advisor Representative) that supplements the information found in the Part 2A Brochure. You should have already received a copy of that brochure. Please contact Ronald A. Brown if you did not receive the J M Brown & Associates, Inc.’s disclosure brochure or if you have any questions about the contents of this supplement.

Additional information about Ronald A. Brown is available on the SEC’s website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### Educational Background and Business Experience

Ronald A. Brown holds a Bachelor of Arts degree from Duke University.

Additional details follow:

Name: Ronald A. Brown

Date of Birth: January 13, 1966

Education: BA –Duke University – 1988

### Five Year Business Background:

2002 to Present – Investment Advisor Representative of J M Brown & Associates, Inc.

2012 to Present – Registered Representative of Purshe Kaplan Sterling Investments

2002 to 2011 – Registered Representative of Askar Corp. Broker/Dealer, member FINRA/SIPC

Ron has over 23 years of experience in the financial services industry. Prior to joining J M Brown & Associates, Inc. he was a Director in the Latin America Capital Markets Desk in New York for ABN AMRO Incorporated. He was responsible for originating and structuring new issues for both corporate and sovereign entities in Latin America. Ron has 14 years of corporate finance and capital markets experience and has originated over US \$10 billion public and private debt financings in Latin America, including the first Mexican global bond offering. Prior to joining ABN AMRO, he was a consultant at Columbus Advisors, an emerging markets fixed income hedge fund in New York. Before joining Columbus Advisors, Ron spent four years at Merrill Lynch responsible for the firm's fixed income

business in Mexico and Peru. His experience also includes four years at Bankers Trust in the Latin America Merchant Banking department.

### **Disciplinary Information**

- A. Ronald A. Brown has not been the subject of a criminal or civil action in any domestic, foreign or military court of competent jurisdiction.
- B. Ronald A. Brown has never had an administrative proceeding before the SEC, any other federal regulatory agency, any state regulatory agency, or any foreign financial regulatory authority.
- C. Ronald A. Brown has never been the subject of a self-regulatory organization (SRO) proceeding.
- D. Ronald A. Brown has never been involved in any other proceeding in which a professional attainment, designation, or license was revoked or suspended because of a violation of rules relating to professional conduct.

### **Other Business Activities**

- A. Ronald A. Brown is not actively engaged in any other investment-related business or occupation outside of the disclosures made in this document.
- B. Ronald A. Brown in addition to being a Registered Representative of Purshe Kaplan Sterling Investments, Ron is a Licensed Insurance Agent in the States of Arkansas, Florida, Illinois, Kansas, Louisiana, Minnesota, Missouri, New York, North Carolina, Oklahoma, and Texas.

### **Additional Compensation**

Ronald A. Brown receives no economic benefit from any non-client individuals for providing advisory services.

### **Supervision**

Ronald A. Brown serves as the President and Chief Compliance Officer for J M Brown & Associates, Inc. He supervises the activities of all registered and non-registered office staff.

### **Requirements for State-Registered Advisors**

- A. Ronald A. Brown has never been involved in any arbitration claims.
- B. Ronald A. Brown has never been involved in any civil, self-regulatory organization, or administrative proceeding.
- C. Ronald A. Brown has never been the subject of any bankruptcy proceedings.

## Brochure Supplement

(June 22, 2012)

### Advisor Representative:

James M. Brown

7060 South Yale, Suite 701 Tulsa, OK 74136-5740

(918) 496-5460

### Company:

J M Brown & Associates, Inc.

7060 South Yale, Suite 701 Tulsa, OK 74136-5740

(918) 496-5460

This “Brochure Supplement” provides information about James M. Brown (Investment Advisor Representative) that supplements the information found in the Part 2A Brochure. You should have already received a copy of that brochure. Please contact James M. Brown if you did not receive the J M Brown & Associates, Inc.’s disclosure brochure or if you have any questions about the contents of this supplement.

Additional information about James M. Brown is available on the SEC’s website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### Educational Background and Business Experience

James M. Brown holds a Bachelor of Business Administration degree from the University of Oklahoma.

Additional details follow:

Name: James M. Brown

Date of Birth: March 11, 1941

Education: BBA –University of Oklahoma – 1964

### Five Year Business Background:

2002 to Present – Investment Advisor Representative of J M Brown & Associates, Inc.

1986 to 2011 – Registered Representative of Askar Corp. Broker/Dealer, member FINRA/SIPC

James M Brown CFP® was founder and President of J M Brown & Associates, Inc. through November 2011. He is a Certified Financial Planner and has practiced Management Consulting and/or Financial planning since 1964. He worked fifteen years abroad in different countries for Price Waterhouse & Co. as a Generalist in Management Advisory Services. The last three years he worked in the Executive Office for South America, which handled the finance and accounting operations for nine countries. In 1979, he returned to the United States and worked in Sales Management in the Paul Revere Insurance Group specializing in Disability Income Protection that included doing referral work for CPAs and Tax Attorneys in Qualified Sick Pay Plans. Jim has been active in the Financial Planning arena since 1986.

### **Disciplinary Information**

- A. James M. Brown has not been the subject of a criminal or civil action in any domestic, foreign or military court of competent jurisdiction.
- B. James M. Brown has never had an administrative proceeding before the SEC, any other federal regulatory agency, any state regulatory agency, or any foreign financial regulatory authority.
- C. James M. Brown has never been the subject of a self-regulatory organization (SRO) proceeding.
- D. James M. Brown has never been involved in any other proceeding in which a professional attainment, designation, or license was revoked or suspended because of a violation of rules relating to professional conduct.

### **Other Business Activities**

- A. James M. Brown is not actively engaged in any other investment-related business or occupation outside of the disclosures made in this document.
- B. James M. Brown is a Licensed Insurance Agent in the States of Arizona, Arkansas, Kansas, New York, Ohio, Oklahoma, and Texas only for sale of non-variable products.

### **Additional Compensation**

James M. Brown receives no economic benefit from any non-client individuals for providing advisory services.

### **Supervision**

James M. Brown has no supervisory functions except for sale of non-variable insurance products described above.

### **Requirements for State-Registered Advisors**

- A. James M. Brown has never been involved in any arbitration claims.
- B. James M. Brown has never been involved in any civil, self-regulatory organization, or administrative proceeding.
- C. James M. Brown has never been the subject of any bankruptcy proceedings.