



MAIN STREET FINANCIAL LLC

Wall Street Expertise, Main Street Values

Form ADV Part 2B – Individual Disclosure Brochure

for

**Leo L. Cunningham
Investment Advisor Representative**

Effective: March 25, 2014

This Brochure Supplement provides information about the background and qualifications of Leo L. Cunningham (CRD# **4702201**) in addition to the information contained in the Main Street Financial, LLC (“Main Street” or the “Advisor” CRD #118130) Disclosure Brochure. If you have not received a copy of this Brochure Supplement or if you any questions about the contents of this Brochure Supplement or Main Street’s Disclosure Brochure, please contact us at (914) 242-0553 or by email at cbenway@mainstfinancial.com.

Additional information about Leo L. Cunningham is available on the SEC’s Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov.

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Item 2 – Educational Background and Business Experience

Leo L. Cunningham is an Investment Advisor Representative of Main Street Financial, LLC.. Mr. Cunningham, born in 1967, is dedicated to serving the Clients of Main Street Financial, LLC. Mr. Cunningham earned a Master of Business Administration from Midwestern State University in 1998. Mr. Cunningham earned a Bachelor of Science in Civil Engineering from United States Air Force Academy in 1989. Additional information regarding Mr. Cunningham's employment history is included below.

Employment History:

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|---|--------------------|
| CERTIFIED FINANCIAL PLANNER™ professional, Main Street Financial, LLC | 08/2011 to Present |
| Financial Advisor, Morgan Stanley Smith Barney | 11/2008 to 08/2011 |
| Financial Advisor, Citi Group Capital Markets | 03/2005 to 11/2008 |
| Financial Advisor, Merrill Lynch | 08/2003 to 03/2005 |
| Admissions Liaison Officer, U.S. Air Force Reserve | 01/1999 to Present |

Item 3 – Disciplinary Information

There are no legal, civil or disciplinary events to disclose regarding Mr. Cunningham. Mr. Cunningham has never been involved in any regulatory, civil or criminal action. There have been no Client complaints, lawsuits, arbitration claims or administrative proceedings against Mr. Cunningham.

Securities laws require an advisor to disclose any instances where the advisor or its advisory persons have been found liable in a legal, regulatory, civil or arbitration matter that alleges violation of securities and other statutes; fraud; false statements or omissions; theft, embezzlement or wrongful taking of property; bribery, forgery, counterfeiting, or extortion; and /or dishonest, unfair or unethical practices. *As previously noted, there are no legal, civil or disciplinary events to disclose regarding Mr. Cunningham.* However, we do encourage you to independently view the background of Mr. Cunningham on the Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov. Select Investment Adviser Search from the left navigation menu. Then select the option for Investment Adviser Representative and enter **4702201** in the field labeled "Individual CRD Number".

Item 4 – Other Business Activities

Mr. Cunningham is an Admissions Liaison Officer in the U.S. Air Force Reserve.

Item 5 – Additional Compensation

Mr. Cunningham is an Admissions Liaison Officer in the U.S. Air Force Reserve. He is compensated for his service.

Item 6 – Supervision

Mr. Cunningham serves as the Investment Advisor Representative of Main Street and is supervised by Charles Benway, the Chief Compliance Officer. Mr. Benway can be reached at (914) 242-0553.

Main Street has implemented a Code of Ethics and internal compliance that guide each employee in meeting their fiduciary obligations to Clients of Main Street. Further, Main Street is subject to regulatory oversight by various agencies. These agencies require registration by Main Street and its employees. As a registered entity, Main Street is subject to examinations by regulators, which may be announced or unannounced. Main Street is required to periodically update the information provided to these agencies and to provide various reports regarding the business activities and assets of the Advisor.

Item 7 – Requirements for State Registered Advisors

Mr. Cunningham does not have any additional information to disclose.