

Item 1

**Uhlmann Investment Management, L.L.C.**  
**141 West Jackson Blvd**  
**Suite 1340A**  
**Chicago, IL 60604**  
**312-264-4400**

**BROCHURE SUPPLEMENT  
FOR  
JONATHAN GLOSSBERG  
Registered Investment Advisor  
Securities and Exchange Commission  
Form ADV Part 2B  
Dated May 7, 2018**

This brochure supplement provides information about Jonathan Glossberg that supplements the Uhlmann Investment Management, L.L.C. brochure. You should have received a copy of that brochure. Please contact James Baer or Alan Konn, at the number above if you did not receive Uhlmann Investment Management, L.L.C.'s brochure or if you have any questions about the contents of this supplement. Registration does not imply a certain level of skill or training. Additional information about Jonathan Glossberg is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).



**Jonathan Glossberg**

Item 2

YOB: 1970

Education: Menlo College, Menlo Park, CA – BA Humanities

Business Background:

- |   |                   |
|---|-------------------|
| • Uhlmann Investment Management, LLC, SVP | 12/2016 - Present |
| • Uhlmann Price Securities, LLC, SVP      | 12/2016 - Present |
| • UBS Financial Services Inc., SVP        | 05/2008 - 11/2016 |
| • Credit Suisse Securities (USA) LLC, VP  | 01/2003 - 05/2008 |

Item 3

Disciplinary Information – Mr. Glossberg has no disciplinary information to report.

Item 4

Outside Business Activities – Mr. Glossberg is a FINRA registered representative with Uhlmann Price Securities, L.L.C.

Item 5

Additional Compensation – Mr. Glossberg has no additional compensation to report.

Item 6

James Baer and Alan Konn, designated principals of UIM supervise Mr. Glossberg by holding regular compliance meetings, reviewing client reports, emails and daily trading as well as the employee's personal securities transactions and portfolio reports. Mr. Baer can be reached at 312-264-4343; Mr. Konn at 312-264-4340.

Item 7

Mr. Glossberg has never been involved in/with, or been accused nor found liable for any of the following:

1. An award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following:
  - (a) an investment or an investment-related business or activity;
  - (b) fraud, false statement(s), or omissions;
  - (c) theft, embezzlement, or other wrongful taking of property;
  - (d) bribery, forgery, counterfeiting, or extortion; or
  - (e) dishonest, unfair, or unethical practices.
2. An award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:
  - (a) an investment or an investment-related business or activity;
  - (b) fraud, false statement(s), or omissions;
  - (c) theft, embezzlement, or other wrongful taking of property;
  - (d) bribery, forgery, counterfeiting, or extortion; or
  - (e) dishonest, unfair, or unethical practices.

Mr. Glossberg has not been the subject of a bankruptcy petition.