

# GLENN D. SUROWIEC

This brochure provides supplemental information about Glenn D. Surowiec (“Investment Advisor Representative”, “IAR”, “Employee”) that supplements the Alsin Capital Management, Inc’s brochure, which should have also been provided to you. Please contact Glenn D. Surowiec at Alsin Capital Management, Inc if you need the Alsin Capital Management, Inc’s brochure or if you have any questions.

Additional information about Alsin Capital Management, Inc is also available at the SEC’s website [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov) (under “investment adviser firm” and type in our firm name).

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## ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

**Glenn D. Surowiec**

Year Born: 1971

**Educational Background:**

B.S., – Gettysburg College (1993)

M.B.A. – SMU Business School (1999)

**Business Background:**

08/2001 – Present: *Portfolio Manager/Chief Compliance Officer*, Alsin Capital Management, Inc

**Professional Qualifications:**

Series 65, Uniform Investment Adviser Law Examination (2002)

## ITEM 3 - DISCIPLINARY INFORMATION

Glenn D. Surowiec has no legal or disciplinary events that are material to your or a prospective client's evaluation of this advisory business.

## ITEM 4 - OTHER BUSINESS ACTIVITIES

The principal business is that of a Registered Investment Advisor (RIA) and provider of financial planning services.

## ITEM 5 - ADDITIONAL COMPENSATION

Other than work with Alsin Capital Management, Inc and disclosures made in Item 4 above, Glenn D. Surowiec receives no additional compensation related to outside business activities.

## **ITEM 6 – SUPERVISION**

Glenn D. Surowiec is a supervising member of Alsin Capital Management, Inc along with Arne T. Alsin. As such Glenn D. Surowiec is not supervised, but works closely with the other partner in the firm. All supervising members of the Firm will remain aware of and keep the Firm in compliance with the current rules and regulations put forth by each ruling regulatory authority where the Firm conducts its business. The supervising members may be contacted at the address or phone number on the cover page of this document.

## **ITEM 7 - REQUIREMENTS FOR STATE-REGISTERED ADVISERS**

*State securities authorities require this disclosure and it is provided to you for evaluating this investment advisor representative's suitability.*

Glenn D. Surowiec has never been found liable in arbitration or liable in a civil, self-regulatory organization, or administrative proceeding involving an investment or an investment-related business or activity; fraud, false statement(s), or omissions; theft, embezzlement, or other wrongful taking of property; bribery, forgery, counterfeiting, or extortion; or dishonest, unfair, or unethical practices. Glenn D. Surowiec has never been the subject of a bankruptcy petition.