



Client Brochure

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|---|---------------------|--------|---------|----------------|--------------------------|-------|
| Name of Investment Adviser: InvestEdge, LLC | | | | | | |
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| 747 Daventry Drive | Baton Rouge | LA | 70808 | (225) 766-9720 | plandry@investedgell.com | |
| Address: | (Number and Street) | (City) | (State) | (Zip Code) | Phone | Email |
| | | | | | | |
| Name of Investment Client: (Client Name) | | | | | | |
| | | | | | | |
| Address: | (Number and Street) | (City) | (State) | (Zip Code) | Phone | Email |
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1. **Types of Clients:** InvestEdge generally provides investment advice to:

- a. High Net Wealth Individuals
 - b. Trusts, Estates, and Charitable Organizations
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2. **Types of Investments:** InvestEdge offers advice on the following:

- a. Mutual Fund Shares
- b. United States Government Securities
- c. Other

- i. Do we want to just list the securities on the Prospectus?
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3. **Methods of Analysis, Sources of Information, and Investment Strategies.**

InvestEdge uses the following methods to analyze our securities:

- a. Charting
- b. Fundamental Analysis
- c. Technical Analysis

The main sources of information InvestEdge uses include:

- a. Research materials prepared by: MorningStar, Bloomberg, Charles Schwab
- b. Corporate rating services

The investment strategies InvestEdge uses to implement investment advice given to clients includes:

- a. Long-term purchases (securities held at least a year)
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4. InvestEdge requires employees with the authority to offer investment advice to clients to have a bachelor degree in a related field of study as well as five years of working experience.

- a. Patrick Landry

Managing Member
Date of Birth
B.S. (degree) Louisiana State University
CFO of LSU Foundation

- b. Chris Schneider
CPA
- c. Lloyd Landry
Investment Analyst
B.S. Finance, Louisiana State University

5. **Other Business Activities:** do we need to mention the Accounting services we offer and briefly explain the type of work we do.

- a. Should this be to explain our relationship with Forefront Solutions or should it be to explain the accounting services InvestEdge offers?

6. **Financial Industry Activities or Affiliations:**

- a. InvestEdge has arrangements that are material to its advisory business or its clients with a related person who is a:
 - i. Broker-Dealer
 - 1. Morgan Keegan
 - a. InvestEdge utilizes Morgan Keegan's eFolio software for Fixed Income security analysis.
 - 2. Charles Schwab
 - a. InvestEdge is a Registered Investment Adviser affiliated with Charles Schwab.
- b. InvestEdge is not a general partner in any partnership in which clients are solicited to invest.

7. InvestEdge has zero participation or interest in client transactions.

8. **Conditions for Managing Accounts:** InvestEdge provides investment advisory services for clients who have a minimum of \$500,000 deposited or have an account with the potential to reach \$500,000 within a reasonable period of time.
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9. **Review of Accounts:** InvestEdge reviews all clients' accounts on a scheduled basis to monitor and ensure each investment allocation is within the parameters of each client's investment policy. Within the investment policy, allocation parameters are established on a client-by-client basis and have a predetermined procedure for acting on situations in which an investment is over/under allocated.

The review schedule and triggering factors are outlined below:

- a. Daily: InvestEdge monitors investments on a daily basis.
- b. Monthly: InvestEdge has the capability of providing clients with monthly performance reports on each client's investment pools; however, the majority of performance reports are generated on a quarterly basis.
- c. Quarterly: InvestEdge provides clients with quarterly performance reports on each client's investment pools.
- d. Annually: InvestEdge provides detailed performance reports as well as a reviews of our service offerings to each client on an annual basis in order for clients to review our level of service provided and historical investment performance.

InvestEdge has a staff on hand to segregate the duties involved with providing reviews for our client's investment pools. Each staff is listed below along with their titles and functions.

- a. Patrick Landry
Managing Member
Responsible for the overall communication to clients on their individual reviews
- b. Chris Schneider
CPA
Responsible for ensuring investment performance reports satisfy applicable accounting procedures
- c. Lloyd Landry
Investment Analyst

Responsible for analyzing investments and preparing monthly and quarterly performance reports

- d. Benjamin Vance
Compliance Officer
Responsible for ensuring investments are in compliance with SEC regulations
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10. Investment or Brokerage Discretion: InvestEdge has the authority to determine, without obtaining client consent, the:

- a. Securities to be bought or sold
 - i. We purchase only securities that are agreed upon in the client's investment policy....Limitations on authority...?
- b. Amount of the securities to be bought or sold
 - i. We must stay within the allocation constraints established by the client's investment policy. Limitations on authority
- c. Broker or dealer to be used
 - i. Limitations on authority
- d. Commission rates paid
 - i. Limitations on authority

InvestEdge focuses its research and transaction decisions on mutual funds and agency backed fixed income securities utilizing the aforementioned research mechanisms. These decisions must remain within the parameters established in the client's respective investment policy. Clients do not pay higher commissions than those obtainable from other brokers in return for those products and services, and decisions made by research serve to benefit all clients.

11. Additional Compensation: InvestEdge does have any arrangements, oral or in writing, where we:

- a. are paid in cash by or receives some economic benefit (including commissions, equipment or non-research services) from a non-client in connection with giving advice to clients
- b. directly or indirectly compensates any person for client referrals
