

# David Vurgait

## StateTrust Capital, LLC

1750 Clint Moore Road  
Boca Raton, Florida 33487  
305-921-8100

October 10, 2018

**This Brochure Supplement provides information about David Vurgait that supplements the StateTrust Capital, LLC Brochure. You should have received a copy of that Brochure. Please contact Napoleon Aponte, Chief Compliance Officer, if you did not receive StateTrust Capital, LLC's Brochure or if you have any questions about the contents of this supplement.**

**Additional information about David Vurgait is available on the SEC website at: [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov)**

### **Educational Background and Business Experience**

David Vurgait

Born: 1965

#### **EDUCATION:**

- Graduated with a Master in Business Administration from the Wharton School University of Pennsylvania, Philadelphia in 1992.
- Graduated with a Master of Science from McGill University in Montreal, Canada in 1991.
- Graduated with Bachelors of Electronic Engineering from Simón Bolívar University in Caracas, Venezuela in 1987.

#### **EMPLOYMENT HISTORY:**

- Executive Manager of StateTrust Capital, LLC. from 1999 to present.
- President of StateTrust Investments, Inc. from 2000 to present.
- Director/President of StateTrust Life and Annuities Limited from 2001 to present.
- President of StateTrust Group, LLC.
- Vice-President at Andino Capital Markets from 1996 to 1999.
- Senior Associate at Booz Allen & Hamilton from 1993 to 1996.

### **Disciplinary Information**

The supervised person has a disciplinary history, the details of which can be found on FINRA's Broker Check website at: [www.finra.org/brokercheck](http://www.finra.org/brokercheck) (go to the site, select individual, enter name in the text box, click search, select name, to the right of the page select more details.)

### **Other Business Activities**

Mr. Vurgait is involved in the following other business activities:

| <b>Company Name</b>                            | <b>Title</b>                 |
|--|------------------------------|
| StateTrust Group, LLC                          | Director/Officer/Shareholder |
| StateTrust International Holding Company, Inc. | Director/Officer/Shareholder |
| ST Services, LLC                               | Manager/Indirect Owner       |
| Consultants LA, Inc.                           | Director/Officer/Shareholder |
| Consultants LA, S.A.                           | Director/Officer/Shareholder |
| Consultores STB, S.R.L. *                      | Director/President           |
| LIHC, LLC *                                    | Director/Shareholder         |
| StateTrust Enhanced Index Fund                 | Director                     |
| Ashport Fixed Income Fund, Inc.                | Director                     |
| Ashport Large Cap Fund, Inc.                   | Director                     |
| Val-House Short Term Interest                  | Director                     |
| Atlantica Seguros, S.A.                        | Director/Shareholder         |
| StateTrust Investments, Inc.                   | President                    |
| StateTrust Bank and Trust, Ltd.                | President                    |
| StateTrust Life and Annuities, Ltd.            | President                    |
| Latam Insurance Holdings, LLC                  | President                    |
| Advisors International Corp.                   | Director                     |
| StateTrust International Bank & Trust, LLC     | Director                     |
| Canalco Compañía de Seguros, S.A. *            | Director                     |
| SBM LA S de R.L. *                             | Director                     |

| STB2X Holding LLC *                                  | Manager                   |
|--|---------------------------|
| Aseguradora StateTrust Life El Salvador, S.A.        | Director / Vice-President |
| Aseguradora StateTrust El Salvador, S.A.             | Director / Vice-President |
| AltanticMed Services, S.A. (Uruguay)                 | Director / Vice-President |
| Compañía de Medicina Prepagada AtlanticMed (Ecuador) | Vice-President            |
| Risk Re, LLC   | Manager                   |
| Kredit-CO, LLC                                       | Manager                   |
| Now Cars & Parts, Inc.                               | Director                  |

*\*Inactive*

### **Additional Compensation**

Mr. Vurgait receives compensation from the following:

- StateTrust Capital, LLC
- StateTrust Investments, Inc.
- StateTrust Life and Annuities Limited
- StateTrust International Bank & Trust, LLC.
- StateTrust Group, LLC.

Mr. Vurgait receives additional commission and dividends distributions from the following:

- Consultants LA, Inc.

Mr. Vurgait receives K1 Pass-through income/expenses from the following:

- StateTrust Group, LLC.
- StateTrust International Holding Company, Inc.
- Latam Insurance Holdings, LLC.
- MV Family Trust.

### **Supervision**

Client's accounts are reviewed by the Investment Committee or relevant portfolio managers to ensure consistency with investment processes and conformity with client objectives and guidelines as well as the risk profile of client accounts in view of market developments.

If you need to contact the above supervisor, he can be reached at 1750 Clint Moore Road. Boca Raton, Florida 33487. Phone 305-921-8100.

# Hector Silen

## StateTrust Capital, LLC

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**Additional information about Hector Silen is available on the SEC website at: [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov)**

### **Educational Background and Business Experience**

Hector E. Silen

Born: 1969

#### **EDUCATION:**

- Graduated with Bachelors of Business Administration from Universidad Metropolitana in Caracas, Venezuela in 1993.

#### **EMPLOYMENT HISTORY:**

- International Financial Advisor at StateTrust Capital, LLC.
- International Financial Consultant at StateTrust Investments, Inc.
- International Representative at StateTrust Life and Annuities Limited.
- International Bank Representative at StateTrust Bank & Trust, Ltd.
- International Bank Representative at StateTrust International Bank & Trust, LLC.
- International Representative at Atlántica Seguros, S.A.
- International Representative at AtlanticMed Services, S.A. (Uruguay)

### **Disciplinary Information**

The supervised person has a disciplinary history, the details of which can be found on FINRA's Broker Check website at: [www.finra.org/brokercheck](http://www.finra.org/brokercheck) (go to the site, select individual, enter name in the text box, click search, select name, to the right of the page select more details.)

### **Other Business Activities**

Mr. Silen is not involved in other business activities (except the ones disclosed above under employment history).

### **Additional Compensation**

Mr. Silen receives commissions, fees, and other compensation from the following:

- StateTrust Capital, LLC.
- StateTrust Investments, Inc.
- StateTrust Life and Annuities Limited
- StateTrust International Bank & Trust, LLC.
- Atlántica Seguros, S.A.
- AtlanticMed Services, S.A. (Uruguay)

### **Supervision**

David Vurgait, Executive Manager, is responsible for monitoring the advice that supervised persons of StateTrust Capital, LLC. provide to clients. In addition, clients' accounts are reviewed by the Investment Committee or relevant portfolio managers to ensure consistency with investment processes and conformity with client objectives and guidelines as well as the risk profile of client accounts in view of market developments.

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# Jose Luis Turnes

## StateTrust Capital, LLC

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**Additional information about Jose Luis Turnes is available on the SEC website at:**  
[www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov)

### **Educational Background and Business Experience**

Jose Luis Turnes

Born: 1961

#### **EDUCATION:**

- Graduated with Bachelors in Electrical Engineering from Catholic University in Washington, DC.

#### **EMPLOYMENT HISTORY:**

- Chief Executive Officer & Chairman of StateTrust Group of companies from 2002 to present.
- Senior Vice President & Portfolio Manager at Salomon Smith Barney, a Citigroup Company.
- Regional Manager for the Caribbean Region at Reuters, Ltd.
- Product Management Group at Citibank, N.A.

### **Disciplinary Information**

The supervised person has a disciplinary history, the details of which can be found on FINRA's Broker Check website at: [www.finra.org/brokercheck](http://www.finra.org/brokercheck) (go to the site, select individual, enter name in the text box, click search, select name, to the right of the page select more details.)

### **Other Business Activities**

Mr. Turnes is involved in the following other business activities:

| <b>Company Name</b>                                  | <b>Title</b>                 |
|--|------------------------------|
| StateTrust Group, LLC                                | Director/Officer/Shareholder |
| StateTrust International Holding Company, Inc.       | Director/Officer/Shareholder |
| StateTrust International Bank & Trust, LLC           | Director                     |
| ST Services, LLC                                     | Manager/Indirect Owner       |
| Consultants LA, Inc.                                 | Director/Officer/Shareholder |
| Consultants LA, S.A.                                 | Director/Officer/Shareholder |
| LIHC, LLC *  | Director/Shareholder         |
| Advisors International Corp.                         | Director/Shareholder         |
| Atlantica Seguros, S.A.                              | Director/Shareholder         |
| StateTrust Investments, Inc.                         | CEO                          |
| StateTrust Bank and Trust                            | Chairman                     |
| StateTrust Life and Annuities, Ltd.                  | Chairman                     |
| Latam Insurance Holdings, LLC                        | Director                     |
| SBM LA S de R.L. *                                   | Director                     |
| Canalco Compañía de Seguros, S.A. *                  | Director                     |
| STB2X Holding LLC *                                  | Manager                      |
| Aseguradora StateTrust Life El Salvador, S.A.        | Director                     |
| Aseguradora StateTrust El Salvador, S.A.             | Director                     |
| AtlanticMed Services, S.A. (Uruguay)                 | Director / President         |
| Compañía de Medicina Prepagada AtlanticMed (Ecuador) | President                    |

|                        |          |
|------------------------|----------|
| Risk Re, LLC           | Manager  |
| Kredit-CO, LLC         | Manager  |
| Now Cars & Parts, Inc. | Director |

*\*Inactive*

### **Additional Compensation**

Mr. Turnes receives compensation from the following:

- StateTrust Capital, LLC.
- StateTrust Investments, Inc.
- StateTrust International Bank & Trust, LLC.
- StateTrust Life and Annuities Limited
- StateTrust Group, LLC.

### **Supervision**

David Vurgait, Executive Manager, is responsible for monitoring the advice that supervised persons of StateTrust Capital, LLC. provide to clients. In addition, clients' accounts are reviewed by the Investment Committee or relevant portfolio managers to ensure consistency with investment processes and conformity with client objectives and guidelines as well as the risk profile of client accounts in view of market developments.

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Jose L. Maya

StateTrust Capital, LLC

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**This Brochure Supplement provides information about Jose L. Maya that supplements the StateTrust Capital, LLC Brochure. You should have received a copy of that Brochure. Please contact Napoleon Aponte, Chief Compliance Officer, if you did not receive StateTrust Capital, LLC's Brochure or if you have any questions about the contents of this supplement.**

**Additional information about Jose L. Maya is available on the SEC website at: [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov)**

**Educational Background and Business Experience**

Jose L. Maya

Born: 1959

**EDUCATION:**

- Graduated with Bachelor of Arts in Economics & International Relations from Florida International University in Miami, Florida in 1982.

**EMPLOYMENT HISTORY:**

- Regional Sales Director at StateTrust Capital, LLC. from 2002 to present.
- Regional Sales Director at StateTrust Investments, Inc. from 2002 to present.

**Disciplinary Information**

The supervised person has a disciplinary history, the details of which can be found on FINRA's Broker Check website at: [www.finra.org/brokercheck](http://www.finra.org/brokercheck) (go to the site, select individual, enter name in the text box, click search, select name, to the right of the page select more details.)

### **Other Business Activities**

Mr. Maya is involved in the following other business activities:

| <b>Company Name</b>                         | <b>Title</b>              |
|---|---------------------------|
| StateTrust Group, LLC                       | Director/Member           |
| StateTrust Life and Annuities Limited       | Director/Beneficial Owner |
| StateTrust International Bank & Trust, LLC. | Director/Indirect Owner   |
| StateTrust Investments, Inc.                | Regional Sales            |

### **Additional Compensation**

Mr. Maya receives compensation through the following:

- StateTrust Capital, LLC.
- StateTrust Investments, Inc.
- StateTrust Life and Annuities Limited
- StateTrust Group, LLC

Mr. Maya receives K1 Pass-through income/expenses from the following:

- StateTrust Group, LLC
- B. Maya Family Trust
- StateTrust International Holding Company, Inc.

### **Supervision**

David Vurgait, Executive Manager, is responsible for monitoring the advice that supervised persons of StateTrust Capital, LLC. provide to clients. In addition, clients' accounts are reviewed by the Investment Committee or relevant portfolio managers to ensure consistency with investment processes and conformity with client objectives and guidelines as well as the risk profile of client accounts in view of market developments.

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