

*This supplement provides information about advisors that may service your account.*

StateTrust Capital, LLC

# Advisor Brochure Supplement

ADV Part 2b



STATETRUST  
*Wealth Management*

## Item 1- Cover Page

# David Vurgait

## StateTrust Capital, LLC

800 Brickell Avenue suite 100, Miami, Fl.  
305-921-8100

December 12, 2014

**This Brochure Supplement provides information about David Vurgait that supplements the StateTrust Capital, LLC Brochure. You should have received a copy of that Brochure. Please contact Mario Saravia, Chief Compliance Officer, if you did not receive StateTrust Capital, LLC's Brochure or if you have any questions about the contents of this supplement.**

**Additional information about David Vurgait is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

## Item 2- Educational Background and Business Experience

David Vurgait

BORN: 1965

### EDUCATION:

- Graduated with a Master in Business Administration from The Wharton School University of Pennsylvania, Philadelphia, PA in 1992.
- Graduated with a Master of Science from McGill University, Montreal, Canada in 1991.
- Graduated with Bachelors of Electronic Engineering from Simon Bolivar University, Caracas, Venezuela 1987.

### EMPLOYMENT HISTORY:

- Executive Manager of StateTrust Capital, LLC from 1999 to present.
- President of StateTrust Investments, Inc. from 2000 to present.
- Director/President of StateTrust Bank & Trust Ltd. from 2007 to present.
- Director/ President StateTrust Life and Annuities Limited from 2001 to present.
- President of StateTrust International Holding Company Inc.
- President of StateTrust Group LLC

### Item 3- Disciplinary Information

The supervised person has a disciplinary history, the details of which can be found on FINRA's BrokerCheck system at [www.finra.org/brokercheck](http://www.finra.org/brokercheck). (go to site, select start search, check the box for terms and conditions, click continue, select "broker", enter name in the text box, click start search, select name, to the right of the page select get detail report.)

### Item 4- Other Business Activities

Mr. Vurgait is involved in the following other business activities:

<b>Dates</b>	<b>Company Name</b>	<b>Title</b>
Dec 2003 to Present	<b>StateTrust Group LLC</b>	Director / Officer / Shareholder
Dec 2006 to Present	<b>StateTrust International Holding Company Inc.</b>	Director / Officer / Shareholder
Apr 2009 to Present	<b>StateTrust Finance &amp;Trust Co. (Suisse) S.A.</b>	Director / Officer / Indirect Owner
Jan 2006 to Present	<b>ST Services, LLC</b>	Manager / Indirect Owner
Nov 2002 to Present	<b>Consultants LA, Inc.</b>	Director / Officer / Shareholder
Sep 2009 to Present	<b>Consultants LA, S.A.</b>	Director / Officer / Shareholder
Oct 2009 to Present	<b>STHC, LLC</b>	Director / Manager / Shareholder
Sep 2009 to Present	<b>Consultores STB, S.R.L.</b>	Director/President
May 2010 to Present	<b>LIHC, LLC</b>	Director Shareholder
Oct 2006 to Present	<b>StateTrust Enhanced Index Fund</b>	Director
Oct 2001 to Present	<b>Ashport Fixed Income Fund Inc.</b>	Director
Oct 2001 to Present	<b>Ashport Large Cap Fund Inc.</b>	Director
Mar 2003 to Present	<b>Val-House Short Term Interest</b>	Director
Apr 2002 to Present	<b>Val-House Fund of Managers Inc.</b>	Director

## **Item 5- Additional Compensation**

Mr. Vurgait receives compensation through the following:

- StateTrust Capital, LLC
- StateTrust Investments, Inc.
- StateTrust Life and Annuities Limited
- StateTrust Bank & Trust Ltd.
- StateTrust International Holding Company
- StateTrust Group LLC

Mr. Vurgait receives additional commissions and dividends distribution from the following:

- Consultants LA, Inc.

Mr. Vurgait receives K1 Pass-through income/expenses from the following:

- StateTrust Group LLC
- StateTrust International Holding Company, Inc.

## **Item 6 - Supervision**

Clients' accounts are reviewed by the Investment Committee or relevant portfolio managers to ensure consistency with investment processes and conformity with client objectives and guidelines as well the risk profile of client accounts in view of market developments.

If you need to contact the above supervisor, he can be reached at 800 Brickell Avenue suite 100 Miami, Fl. Phone: 305-921-8100.

## Item 1- Cover Page

# Hector E. Silen

## StateTrust Capital, LLC

800 Brickell Avenue suite 100, Miami, Fl.  
305-921-8100

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**Additional information about Hector E. Silen is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

## Item 2- Educational Background and Business Experience

Hector E. Silen

BORN: 1969

### **EDUCATION:**

- Graduated with Bachelors of Business Administration from Universidad Metropolitana, Caracas Venezuela in 1993.

### **EMPLOYMENT HISTORY:**

- International Financial Advisor at StateTrust Capital, LLC from 2002 to present.
- International Financial Consultant at StateTrust Investments, Inc. from 2002 to present.
- International Representative at StateTrust Life and Annuities Limited from 2002 to present.
- International Bank Representative at StateTrust Bank & Trust Ltd from 2008 to present.

### **Item 3- Disciplinary Information**

Mr. Silen does not have any disciplinary information.

### **Item 4- Other Business Activities**

Mr. Silen is not involved in other business activities (except the ones disclosed on Item 2 before).

### **Item 5- Additional Compensation**

Mr. Silen receives commissions, fees, and other compensation from the following:

- StateTrust Capital, LLC
- StateTrust Investments, Inc.
- StateTrust Life and Annuities Limited
- StateTrust Bank & Trust Ltd.

### **Item 6 - Supervision**

David Vurgait, Executive Manager, is responsible for monitoring the advice that supervised persons of StateTrust Capital, LLC provides to clients. In addition, clients' accounts are reviewed by the Investment Committee or relevant portfolio managers to ensure consistency with investment processes and conformity with client objectives and guidelines as well the risk profile of client accounts in view of market developments.

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## Item 1- Cover Page

# Jose Luis Turnes

## StateTrust Capital, LLC

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**Additional information about Jose Luis Turnes is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

## Item 2- Educational Background and Business Experience

Jose Luis Turnes

BORN: 1961

### **EDUCATION:**

- Graduated with Bachelors in Electrical Engineering, Catholic University, Washington D.C.

### **EMPLOYMENT HISTORY:**

- Chief Executive Officer & Chairman SateTrust Group 2002- Present
- Salomon Smith Barney, a Citigroup Company- Senior Vicepresident & Portfolio Manager
- Reuters LTD- Regional Manager for Caribbean Region.
- Citibank N.A- Product Mangament Group

### Item 3- Disciplinary Information

The supervised person has a disciplinary history, the details of which can be found on FINRA's BrokerCheck system at [www.finra.org/brokercheck](http://www.finra.org/brokercheck).(go to site, select start search, check the box for terms and conditions, click continue, select "broker", enter name in the text box, click start search, select name, to the right of the page select get detail report.)

### Item 4- Other Business Activities

Mr. Turnes is involved in the following other business activities:

Dates	Company Name	Title
Dec 2003 to Present	StateTrust Group LLC	Director / Officer / Shareholder
Dec 2006 to Present	StateTrust International Holding Company Inc.	Director / Officer / Shareholder
Apr 2009 to Present	StateTrust Finance &Trust Co. (Suisse) S.A.	Director / Officer / Indirect Owner
Jan 2006 to Present	ST Services, LLC	Manager / Indirect Owner
Nov 2002 to Present	Consultants LA, Inc.	Director / Officer / Shareholder
Sep 2009 to Present	Consultants LA, S.A.	Director / Officer / Shareholder
Oct 2009 to Present	STHC, LLC	Director / Manager / Shareholder
Sep 2009 to Present	Consultores STB, S.R.L.	Director/President
May 2010 to Present	LIHC, LLC	Director Shareholder
Nov 2011 Present	Advisor International Corp.	Director/Shareholder

### Item 5- Additional Compensation

Mr. Turnes receives compensation through the following:

- StateTrust Capital, LLC
- StateTrust Investments, Inc.
- StateTrust Bank & Trust Ltd.
- StateTrust Life and Annuities Limited



- StateTrust International Holding Company
- StateTrust Group LLC

#### **Item 6 - Supervision**

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## Item 1- Cover Page

Jose L. Maya

StateTrust Capital, LLC

800 Brickell Avenue suite 100, Miami, Fl.

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December 12, 2014

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**Additional information about Jose Maya is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

## Item 2- Educational Background and Business Experience

Jose L. Maya

BORN: 1959

### EDUCATION:

- Graduated with Bachelor of Arts in Economics & International Relations from Florida International University, Miami, FL in 1982.

### EMPLOYMENT HISTORY:

- Regional Sales Director at StateTrust Capital, LLC from 2002 to present.
- Regional Sales Director at StateTrust Investments, Inc. from 2002 to present.

## Item 3- Disciplinary Information

The supervised person has a disciplinary history, the details of which can be found on FINRA's BrokerCheck system at [www.finra.org/brokercheck](http://www.finra.org/brokercheck). *(go to site, select start search, check the box for terms and conditions, click continue, select "broker", enter name in the text box, click start search, select name, to the right of the page select get detail report.)*

#### Item 4- Other Business Activities

Mr. Maya is involved in the following other business activities:

<b>Dates</b>	<b>Company Name</b>	<b>Title</b>
Dec 2003 to Present	<b>StateTrust Group LLC</b>	Director / Member
Feb 2001 to Present	<b>StateTrust Life and Annuities Limited</b>	Director / Beneficial owner
Dec 2006 to Present	<b>StateTrust International Holding Company, Inc.</b>	Director /Beneficial owner
Jan 2007 to Present	<b>StateTrust Bank &amp; Trust Ltd.</b>	Director / Indirect Owner
Jan 2006 to Present	<b>ST Services, LLC</b>	Indirect Owner
Oct 2009 to Present	<b>STHC, LLC</b>	Director Shareholder

#### Item 5- Additional Compensation

Mr. Maya receives compensation through the following:

- StateTrust Capital, LLC
- StateTrust Investments, Inc.
- StateTrust Life And annuities Limited
- StateTrust International Holding Company, Inc

Mr. Maya receives K1 Pass-through income/expenses from the following:

- StateTrust Group LLC
- Berta Maya Family Trust

#### Item 6 - Supervision

David Vurgait, Executive Manager, is responsible for monitoring the advice that supervised persons of StateTrust Capital, LLC provides to clients. In addition, clients' accounts are reviewed by the Investment Committee or relevant portfolio managers to ensure consistency with investment processes and conformity with client objectives and guidelines as well the risk profile of client accounts in view of market developments.

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## Item 1- Cover Page

Peter Tinoco  
StateTrust Capital, LLC

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December 12, 2014

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**Additional information about Peter Tinoco is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

## Item 2- Educational Background and Business Experience

Peter Tinoco

BORN: 1977

### **EDUCATION:**

- Graduated with Bachelors of Business Administration Finance & International Business from Georgetown University, Washington D.C. in 2000.

### **EMPLOYMENT HISTORY:**

- Financial Advisor at StateTrust Capital, LLC from 2003 to present.
- Financial Consultant at StateTrust Investments, Inc. from 2003 to present.
- Representative at StateTrust Life and Annuities Limited from 2003 to present.

### Item 3- Disciplinary Information

On 8/24/2014 the Florida Office of Financial Regulation entered a final order adopting the stipulation and consent agreement in the matter of Pedro Rafael Tinoco. Mr. Tinoco neither admitted nor denied the findings but consented to the entry of the findings by the office. The office found that Mr. Tinoco engaged in securities business from offices within this state without the benefit of lawful registration in the state of Florida pursuant to section 517.12(1), f.s. Mr. Tinoco agreed to pay an administrative fine in the amount of \$20,000. The office agreed to approve Mr. Tinoco's application as an associate person with StateTrust Investments Inc. effective 8/4/2014.

### Item 4- Other Business Activities

Mr. Tinoco is involved in the following other business activities:

Dates	Company Name	Title
2008 to Present	StateTrust Bank & Trust Ltd.	Sales

### Item 5- Additional Compensation

Mr. Tinoco receives compensation through the following:

- StateTrust Capital, LLC
- StateTrust Investments, Inc.
- StateTrust Bank & Trust Ltd.
- StateTrust Life and Annuities Limited

### Item 6 - Supervision

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