

# SUPERVISED PERSON BROCHURE

FORM ADV PART 2B

Dixie Lee Munsee



STRATEGIC  
PLANNING GROUP

**Office Address:**

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This brochure supplement provides information about Dixie Munsee and supplements the Strategic Planning Group's brochure. You should have received a copy of that brochure. Please contact Dixie Munsee if you did not receive the brochure or if you have any questions about the contents of this supplement.

Additional information about Dixie Munsee (CRD#4614122) is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**APRIL 18, 2016**

**Brochure Supplement (Part 2B of Form ADV)**  
**Supervised Person Brochure**

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**Principal Executive Officer**  
**Dixie Lee Munsee**

Year of birth: 1957

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**Item 2 Educational Background and Business Experience**

*Business Experience:*

- Strategic Planning Group; Investment Advisor Representative; 01/2016 – Present
- Cambridge Investment Research Advisors, Inc.; Investment Advisor Representative; 06/2014 – Present
- Cambridge Investment Research, Inc.; Registered Representative; 05/2014 – Present
- Rocky Mountain Truck Parts LLC; Book Keeper; 11/2009 – Present
- Munsee Enterprises LLC; Book Keeper; 07/2009 – Present
- Financial Insight & Tactics; Insurance Agent; 04/2005 – Present
- Girard Securities, Inc.; Registered Representative; 10/2011 – 05/2014
- Girard Securities, Inc.; Investment Advisor Representative; 09/2011 – 06/2014
- United Planners' Financial Services of America, A Limited Liability Company; Investment Advisor Representative/Registered Representative; 05/2008 – 07/2009
- Bob Aamodt, Inc.; Associate; 04/2008 – 07/2009
- Raymond James Financial Resources; Investment Advisor Representative; 04/2005 – 05/2008
- Raymond James Financial Resources; Registered Representative; 03/2005 – 05/2008

*Educational Background:*

- Brigham Young University; Youth Leadership; 12/1977
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**Item 3 Disciplinary Information**

*Criminal or Civil Action:* None to report.

*Administrative Proceeding:* None to report.

*Self-Regulatory Proceeding:* None to report.

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**Item 4 Other Business Activities**

Dixie Munsee has a financial industry affiliated business as an insurance agent. From time to time, she may offer clients advice or products from this activity.

Dixie Munsee is also a registered representative with Cambridge Investment Research, Inc., member FINRA/SIPC. From time to time, she may offer clients advice or products from this activity.

Dixie Munsee is also an investment advisor representative with Cambridge Investment Research Advisors, Inc. From time to time, she may offer clients advice or products from this activity.

These practices represent conflicts of interest because it gives Ms. Munsee an incentive to recommend products based on the commission/fee amount received. This conflict is mitigated by the fact that Ms. Munsee has a fiduciary responsibility to place the best interest of the client first and the clients are not required to purchase any products. Clients have the option to purchase these products through another insurance agent, registered representative and/or investment advisor representative of their choosing.

In addition, Ms. Munsee is a book keeper for Munsee Enterprises LLC and Rocky Mountain Truck Parts LLC. This relationship creates no conflict of interest at clients of Strategic Planning Group will not be solicited for services offered by Capital Concepts Financial Services, Inc. and vice versa.

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**Item 5 Additional Compensation**

Dixie Munsee receives additional compensation in her capacity in her additional roles, but she does not receive any performance based fees.

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**Item 6 Supervision**

Dixie Munsee is supervised by Ryan Craner, Chief Compliance Officer. He reviews Ms. Munsee's work through frequent office interactions as well as remote interactions.

Ryan Craner's contact information:

Phone: 801-627-2200 or by email at: [RCraner@SPGUtah.com](mailto:RCraner@SPGUtah.com)

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**Item 7 Requirements for State-Registered Advisors**

*Arbitration Claims:* None

*Self-Regulatory Organization or Administrative Proceeding:* None

*Bankruptcy Petition:* None within the past ten (10) years.