

*This brochure supplement provides information about Jeffrey S. Baumann that supplements the Altman Investment Management LLC brochure. You should have received a copy of that brochure. Please contact Peter J. Altman, President if you did not receive the Altman Investment Management LLC brochure or if you have any questions about the contents of this supplement. Additional information about Jeffery S. Baumann is also available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).*

## **ALTMAN INVESTMENT MANAGEMENT LLC**

### **Form ADV Part 2B – Individual Disclosure Brochure Supplement**

March 30, 2011

*for*

**Jeffrey S. Baumann**  
**Portfolio Manager**

Altman Investment Management LLC  
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President and Chief Investment Officer: Peter J. Altman  
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## **Item 2: Educational Background and Business Experience**

**Name: Jeffrey S. Baumann**

**Born:** May 12, 1946

### **Education Background and Professional Designations:**

#### **Education:**

- Florida International University, Florida: B.S Finance Degree – Class of 1975

#### **Designations:**

- North American Securities Administrators Association (“NASAA”) Series 63 and Series 65 qualifications.

The following are the minimum standards required by NASAA to obtain the above qualifications:

**Uniform Securities Agent State Law Examination - (Series 63) (NASAA)** - 60 multiple choice questions; 1 hour and 15 minutes testing time. The Series 63 is designed to qualify candidates as securities agents. The examination covers the principles of state securities regulation reflected in the Uniform Securities Act.

**Uniform Investment Adviser Law Examination - (Series 65) (NASAA)** - 130 multiple choice questions; 3 hours testing time. The Series 65 is designed to qualify candidates as investment adviser representatives

Further information about the above designations is available at [www.finra.org](http://www.finra.org).

#### **Business Background:**

2003 – Present:	Managing Director, Altman Investment Management LLC, Princeton NJ
2000-2003	Merrill Lynch Asset Management, Inc. Princeton NJ Director
1989-2000	Vice President

Prior experience: Portfolio Management: Bankers Trust Co., and National Westminster Bank.

### **Item 3: Disciplinary Information**

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

#### **Item 4: Other Business Activities**

Apart from the consulting services listed below Jeffrey S. Baumann is not engaged in any investment-related business or occupation (other than this advisory firm):

Jeffrey S. Baumann, a Managing Director and supervised person of AIM provides fixed income portfolio consulting services to another investment advisory firm and receives regular compensation for providing such consulting services.

## **Item 5: Additional Compensation**

Other than salary and bonuses paid by AIM, Jeffrey S. Baumann does not receive any economic benefit from any person, company, or organization, in exchange for providing advisory services to AIM clients.

## **Item 6: Supervision**

As sole owner and representative of Altman Investment Management LLC Peter J. Altman supervises all duties and activities of Jeffrey S, Baumann relating to AIM and its clients. Peter J. Altman's contact information is on the cover page of this disclosure document.

## **Item 7: Requirements For State Registered Advisers**

AIM has not completed this section as AIM is not a state registered adviser.