

# Brochure Supplement

MARCH 01, 2012

## **DARRELL R. TIERNEY**

10955 Lowell Avenue, Suite 410  
Overland Park, KS 66210

(913) 381-7411

This Brochure Supplement provides information about Darrell R. Tierney that supplements the Disclosure Brochure of Windward Private Wealth Management, Inc. (hereinafter "Windward"), a copy of which you should have received. Please contact Windward's Chief Compliance Officer if you did not receive the Disclosure Brochure or if you have any questions about the contents of this Brochure Supplement. Additional information about Darrell R. Tierney is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**Windward Private Wealth Management, Inc., a Registered Investment Adviser**

10955 Lowell Avenue, Suite 410, Overland Park, KS 66210 | (913) 381-7411  
[www.windwardfp.com](http://www.windwardfp.com)

## Item 2. Educational Background and Business Experience

Born 1958

### Post-Secondary Education

Kansas State University | BS, Business Administration, Accounting | 1980

### Recent Business Background

Windward Private Wealth Management, Inc. | President | July 1999 – Present

### Professional Designations

Darrell R. Tierney holds the professional designations of (“CFP<sup>®</sup>”) and Personal Financial Specialist (“PFS<sup>®</sup>”).

The CFP<sup>®</sup> certification is a financial planning credential awarded by the Certified Financial Planner Board of Standards Inc. (the “CFP Board”) to individuals who meet its education, examination, experience and ethics requirements. Eligible candidates are generally required to have three years of financial planning related experience and possess a bachelor’s degree from an accredited U.S. college or university. Certificants are further required to complete a CFP Board-Registered Education Program (or possess a qualifying professional credential), clear a personal and professional background check, and pass the CFP<sup>®</sup> Certification Examination, a ten-hour multiple choice exam divided into three separate sessions. In order to maintain the certification, CFP<sup>®</sup> designees must also complete at least 30 hours of continuing education every two years on an ongoing basis.

The PFS designation is a financial planning credential awarded by the American Institute of Certified Public Accountants (“AICPA”) to individuals who satisfy its professional, work experience and educational requirements. Recipients of the PFS designation are required to be Certified Public Accountants (“CPAs”), maintain AICPA membership, have completed at least 80 hours of personal financial planning education, have at least two years of financial planning related business or teaching experience, and have passed the Personal Financial Planning examination. In order to maintain an active PFS credential, holders must remain in good standing with the AICPA, retain a valid and unrevoked CPA certificate and complete 60 hours of financial planning related continuing education every three years.

For additional information about each of these credentials, please refer directly to the website of the issuing organization.

## Item 3. Disciplinary Information

Windward is required to disclose information regarding any legal or disciplinary events material to a client’s evaluation of Darrell R. Tierney. Windward has no information to disclose in relation to this Item.

## Item 4. Other Business Activities

Windward is required to disclose information regarding any investment-related business or occupation in which Darrell R. Tierney is actively engaged.

### **Certified Public Accountant**

Darrell R. Tierney is the sole proprietor and Certified Public Accountant ("CPA") with the accounting firm of Darrell R. Tierney CFP CPA ("*DRT CFP CPA*"). Windward may recommend that certain of its advisory clients engage *DRT CFP CPA* to render various accounting and tax preparation services. While Windward does not receive a fee for said referrals, Darrell R. Tierney is generally entitled to receive distributions relative to his ownership of *DRT CFP CPA*. A conflict of interest exists to the extent that the firm recommends the services of *DRT CFP CPA* and Darrell R. Tierney receives compensation as a result. Windward seeks to ensure that all such recommendations are made in its clients' best interests.

## Item 5. Additional Compensation

Windward is required to disclose information regarding any arrangement under which Darrell R. Tierney receives an economic benefit from someone other than a client for providing investment advisory services. Windward has no information to disclose in relation to this Item.

## Item 6. Supervision

While Darrell R. Tierney is the President of the firm and generally responsible for his own supervision, Marian S. Doran periodically reviews his advisory activities on behalf of Windward and can be reached at the firm's main telephone number listed on the cover page of this Brochure Supplement.

Windward supervises its personnel and the investments made in client accounts. Windward monitors the investments recommended by Darrell R. Tierney to ensure those investments are suitable for the particular client and consistent with their investment needs, goals, objectives and risk tolerance, as well as any restrictions previously requested by the client. Windward periodically reviews the advisory activities of Darrell R. Tierney, which may include reviewing individual client accounts and correspondence (including e-mails) sent to and received by Darrell R. Tierney.

## Item 7. Requirements for State Registered Advisers

Windward is required to disclose information regarding Darrell R. Tierney's involvement in certain civil, self-regulatory organization or administrative proceedings, arbitration awards or findings, or bankruptcy proceedings. Windward has no information to disclose in relation to this Item.