

Part 2B of Form ADV: *Brochure Supplement*

Richard Allen Glaze
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Glaze Capital Management Inc.

Los Altos, CA 94022

3/30/2011

This brochure supplement provides information about Richard Allen Glaze that supplements the Glaze Capital Management Inc. brochure. You should have received a copy of that brochure. Please contact Rick Glaze if you did not receive Glaze Capital Management Inc.'s brochure or if you have any questions about the contents of this supplement.

Additional information about Richard Allen Glaze is available on the SEC's website at www.adviserinfo.sec.gov

Item 2 Educational, Background and Business Experience

Full Legal Name: Richard Allen Glaze **Born:** 1949

Education

- Middle Tennessee State University; BA, Political Science; 1972
- George Peabody College/Vanderbilt University; MS, Education; 1976
- The Maryland Institute; MFA, Education; 1978

Business Experience

- Prudential Securities Inc.; Senior Portfolio Manager, First VP Investments; from 11/1991 to 03/1997
- Kidder Peabody; Assistant VP; from 10/1985 to 11/1991
- Dean Witter Reynolds; Financial Advisor; from 06/1983 to 10/1985
- Round Hill Securities Inc.; First Vice President; from 3/27/1997 to 6/13/2005
- First Allied Securities, Inc. (acquired Round Hill Sec.); First Vice President; from 6/13/2005 to Present

Item 3 Disciplinary Information

Mr. Glaze has no reportable disciplinary history.

Item 4 Other Business Activities

A. Investment-Related Activities

1. Mr. Glaze is also engaged in the following investment-related activities:

- Registered representative of a broker-dealer
- *A registered investment advisor (RIA) is regulated separately from a Broker/Dealer firm.*
- *A broker/dealer offers investment products that may offer commissions to the registered representative. This could represent a conflict of interest. Our firm seeks to use all investment products for the benefit of our clients and to meet*

their investment goals.

- *Trading commissions may be earned for transactions outside of managed account relationships. Investment products may be used that offer commissions.*
- Another investment adviser or financial planner
 - *Our firm may engage in financial planning and charge a service fee.*
- Insurance company or agency
 - *Mr. Glaze is licensed by the State of California to sell insurance products.*
 - *If appropriate, life insurance, annuities and other products may be offered to clients for which a commission may be paid.*

2. Mr. Glaze receives commissions, or other compensation on the sale of securities or other investment products.

Investment products may be used for clients if appropriate for their investment goals and commissions may be associated with them. Additionally, investment product sponsors may share service fees with a registered representative.

B. Non Investment-Related Activities

Mr. Glaze is not engaged in any other business or occupation that provides substantial compensation or involves a substantial amount of his or her time.

Item 5 Additional Compensation

Mr. Glaze does not receive any economic benefit from a non-advisory client for the provision of advisory services.

Item 6 Supervision

Supervisor: Richard Glaze

Title: President

Phone Number: 650-934-0920

The purpose of compliance is to prevent and detect violations of federal and state securities laws, and to ensure that the investment adviser's fiduciary responsibilities are met. Our firm maintains and enforces compliance procedures. In addition, systems are developed to provide safeguards against inadvertent violation of laws, rules and regulations, and to supervise employees to guard against improper conduct.