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# **ADV Brochure Supplement**

James F. Beley

Kerwin-Beley & Associates, Inc.

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206.352.1500

December 31, 2011

This Brochure Supplement provides information about James F. Beley that supplements the Kerwin-Beley & Associates, Inc. Brochure. You should have received a copy of that Brochure. Please contact James F. Beley, President if you did not receive Kerwin-Beley's Brochure or if you have any questions about the contents of this supplement.

## **Item 2- Educational Background and Business Experience**

James F. Beley, born 1937, attended the University of Michigan and Western Michigan University where he majored in Accounting. His entire business career has been in brokerage and investment counseling. James Beley joined E.F. Hutton in 1963 and was a Registered Representative until 1974. In 1974 he was a sole proprietor investment advisor doing business as Kerwin-Beley & Associates. James Beley has been an officer and owner of Kerwin-Beley & Associates, Inc. since incorporation in 1985.

## **Item 3- Disciplinary Information**

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. James Beley has had no legal or disciplinary event.

## **Item 4- Other Business Activities**

James Beley is not actively engaged in any investment-related business or occupation outside of Kerwin-Beley.

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**Item 5- Additional Compensation**

James Beley receives no economic benefit for providing advisory services outside of Kerwin-Beley.

**Item 6 - Supervision**

Supervision is provided by Charles C. Kerwin, Vice-President/Secretary, phone 206.352.1500.

**Item 7 – Requirements of State Registered Advisors**

- A) James Beley has never been accused or found liable in an arbitration, civil, self-regulatory organization or administrative proceeding.
- B) James Beley has never been the subject of a bankruptcy petition.