

Millie Capital Management LLC
Form ADV Part 2A
June, 2016

Part 2B of Form ADV: Supplemental Information

Item 1 **Cover Page**

Millie Capital Management LLC
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www.milliecapital.com

This brochure provides information about the personnel of Millie Capital Management LLC. If you have any questions about the contents of this brochure, please contact us at the number shown above, or at info@milliecapital.com.

The information in this brochure has not been approved or verified by the United States Securities and Exchange Commission or by any state authority.

Additional information about Millie Capital Management LLC is also available on the SEC's website at www.adviserinfo.sec.gov.

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Millie Capital Management LLC requires its officers and investment professionals to have obtained at least a college level degree. While not required, MCM supports and encourages such employees to pursue advanced degrees and professional designations. MCM values the significance of a well-rounded person, and also considers one's business and educational background, with prior investment-related experience and past positions held being of primary importance.

J. Ross Millie, Managing Director and Founder

Mr. Millie's previous investment management experience spans three firms. After earning Industrial Engineering and MBA degrees from Stanford University, he joined the Bechtel Group. As a member of Bechtel's Corporate Investment Department, he was involved with a wide variety of investment placements, including listed securities, venture capital, and real estate.

He was also a Vice President of Ogilvie & Taylor, Inc., a securities firm with offices in Chicago and Palo Alto, handling investment research, placement negotiations, and investor reporting. He approved all mutual fund and real estate securities offerings.

As Vice President of Woodside Asset Management, a Menlo Park-based money management firm utilizing a \$1 million per client account minimum, he managed approximately one-fifth of securities held in the company portfolios on a discretionary basis. He also performed financial counseling services for new clients of the firm.

Mr. Millie, born in 1946, has accepted invitations to speak at trade group meetings on the subject of stock analysis and selection, and to serve as an adjunct professor of finance at Golden Gate University.

Eric Jardine, CFA, Senior Portfolio Manager

Eric specializes in security selection as well as risk analysis. In addition to the ongoing portfolio management process, he advises clients on the many complex financial decisions that they face from philanthropy to strategic asset sales.

Previously, Eric was a founding member and Managing Director of Baker Avenue Asset Management. The firm grew assets to over \$1 billion in 10 years. His breadth of experience there also covers security analysis, trading, technology integrations and product development. Eric was born in 1976.

Eric graduated from the University of Colorado with a B.S. in business administration. He is a Chartered Financial Analyst (CFA charter holder) and a member of the CFA Society of San Francisco.

Eric is also active in several nonprofit organizations that serve children in need locally and around the world. He also serves on the board of elders at Regeneration in Oakland. Eric and his wife Rebecca are parents to 3 children.

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Kelley Rutchena, Director of Client Services

Kelley runs the company portfolio management software system, handling periodic reconciliation to custodian statements, quarterly portfolio appraisal reports, capital gain or loss reports, and a wide variety of client service requests. In addition, she is involved in regulatory compliance management. She was instrumental in the planning and implementation of an offsite computer network and data installation for potential emergency use of our staff.

Kelley's financial services career started at Wells Fargo Bank in 1997. She later joined State Farm Insurance as a Human Resources Assistant, and worked as a broker assistant at Jacinto Mortgage Group. Kelley and her family reside in Danville, California. Kelley was born in 1977.

Monica van Eck, Director of Operations

Monica manages the T.D. Ameritrade Institutional and Schwab Institutional relationships, including investment transaction verification, transaction allocations to portfolios, opening client accounts, transferring assets, and cash transfers. She assists in financial counsel and planning projects, and manages investment research feeds to the company's weekly review system. She also manages a variety of the firm's SEC compliance procedures.

Monica previously worked for Vanguard Mutual Funds, as a Client Relationship Associate. She also held the position of Tax Professional at H&R Block, in which she provided clients with advice regarding their personal tax situation. In 2016 she passed the Certified Financial Planner examinations. Monica and her husband live in Danville, California. Monica was born in 1971.