

Form ADV Part 2B

Stanley Q. Mok
Mok Capital Management

**4962 El Camino Real #235
Los Altos, CA 94022
650-318-8077
June 1, 2012**

This brochure supplement provides information about Stanley Q. Mok that supplements the Mok Capital Management brochure. You should have received a copy of that brochure. Please contact Mr. Mok if you did not receive the Mok Capital Management brochure or if you have any questions about the contents of this supplement.

Additional information about Stanley Q. Mok is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 Educational Background and Business Experience

Stanley Q. Mok

Born: 1955

Educational Background:

University of Santa Clara, BS, 1978

Business Background:

| | |
|----------------|---|
| 3/97 - present | Founder and President, Mok Capital Management |
| 1/90 - 3/97 | First Vice President, Senior Portfolio Manager and Registered Representative, Prudential Securities, Inc. |
| 3/83 - 1/90 | Associate Vice President and Financial Planner, E.F. Hutton |
| 1/81 - 3/83 | Registered Representative, Merrill Lynch |
| 10/78 - 1/81 | Registered Representative, Paine Weber, Inc. |

Mr. Mok holds the following FINRA licenses: Series 7 (general securities representative), Series 24 (securities principal), Series 4 (options principal) and Series 65 (investment advisor representative). Additionally, Mr. Mok is separately licensed as an insurance agent/broker for various independent insurance companies.

Item 3 Disciplinary Information

None.

Item 4 Other Business Activities

Mr. Mok is a registered representative with First Allied Securities, Inc., and is also engaged in the insurance business as a California Life Agent. Those businesses are further described in Item 10 of our Mok Capital Management firm brochure. Mr. Mok will spend about 15% of his time on all related activities.

Item 5 Additional Compensation

Additional compensation or economic benefits provided to Mr. Mok by First Allied Securities, and related insurance and securities activities are described in Items 5 and 10 of our Mok Capital Management firm brochure.

Item 6 Supervision

Mr. Mok is our Chief Compliance Officer, and charged with supervising all personnel, including himself. In that role, he conducts regular review of all correspondence, client account and transactional documents, and employee security transactions. He also frequently observes employee meetings with clients, conducts training, and monitors compliance with applicable reporting and disclosure requirements. He can be reached at the address and phone number set forth on the cover of this supplement.

Item 7 Requirements for State Registered Advisers

Arbitration

In 2003 a client of Mr. Mok filed a claim in arbitration alleging a variety of causes of action. Mr. Mok, on advice of counsel, settled the claim for a payment of \$20,000 to cover the client's attorney's fees. Further information on this proceeding can be obtained at the Financial Industry Regulatory Authority's Broker Check, which can be found at <http://brokercheck.finra.org/Support/TermsAndConditions.aspx>.