

**Brochure Supplement**

**Form ADV Part 2B**

**Item 1 - Cover Page**

**Neal Frankle, CFP®**

**CRD# 1390756**

**of**

**Wealth Resources Group**

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This brochure supplement provides information about Neal Frankle, and supplements the Wealth Resources Group ("WRG") brochure. You should have received a copy of that brochure. Please contact WRG at (818) 889-6700 if you did not receive WRG's brochure, or if you have any questions about the contents of this supplement.

Additional information about Neal is available on the SEC's website at [www.AdviserInfo.sec.gov](http://www.AdviserInfo.sec.gov).

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***Item 2 - Educational Background and Business Experience***

Neal Frankle (born 1957) has been the President of Wealth Resources Group since August 2000. Prior to that, he was a sole practitioner investment advisor from 1995 – 2000. Neal received a Bachelor of Science in Accounting from California State University, San Diego in 1979. Neal is also a CERTIFIED FINANCIAL PLANNER™ professional\*.

The CERTIFIED FINANCIAL PLANNER™, CFP® and federally registered CFP (with flame design) marks (collectively, the “CFP® marks”) are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. (“CFP Board”).

The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. It is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients. Currently, more than 62,000 individuals have obtained CFP® certification in the United States.

To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements:

- ② Education – Complete an advanced college-level course of study addressing the financial planning subject areas that CFP Board’s studies have determined as necessary for the competent and professional delivery of financial planning services, and attain a Bachelor’s Degree from a regionally accredited United States college or university (or its equivalent from a foreign university). CFP Board’s financial planning subject areas include insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning;
- ② Examination – Pass the comprehensive CFP® Certification Examination. The examination, administered in 10 hours over a two-day period, includes case studies and client scenarios designed to test one’s ability to correctly diagnose financial planning issues and apply one’s knowledge of financial planning to real world circumstances;
- ② Experience – Complete at least three years of full-time financial planning-related experience (or the equivalent, measured as 2,000 hours per year); and
- ② Ethics – Agree to be bound by CFP Board’s *Standards of Professional Conduct*, a set of documents outlining the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements in order to maintain the right to continue to use the CFP® marks:

- i. Continuing Education – Complete 30 hours of continuing education hours every two years, including two hours on the *Code of Ethics* and other parts of the *Standards of Professional Conduct*, to maintain competence and keep up with developments in the financial planning field; and
- ii. Ethics – Renew an agreement to be bound by the *Standards of Professional Conduct*. The Standards prominently require that CFP® professionals provide financial planning services at a fiduciary standard of care. This means CFP® professionals must provide financial planning services in the best interests of their clients.

CFP® professionals who fail to comply with the above standards and requirements may be subject to CFP Board's enforcement process, which could result in suspension or permanent revocation of their CFP® certification.

### ***Item 3 - Disciplinary Information***

Advisers are required to disclose any material facts regarding certain legal or disciplinary events that would be material to your evaluation of an adviser; however, Neal has no such disciplinary information to report.

### ***Item 4 - Other Business Activities***

Neal is also a licensed insurance agent. If you elect to implement insurance recommendations through Neal, he will receive the normal and customary commissions. In these situations, a conflict of interest exists between the client's best interest and Neal's interests. You are under no obligation to implement insurance recommendations through Neal.

Neal maintains an insurance related website, TermLife InsuranceSaver ([www.mcmha.org](http://www.mcmha.org)), where he posts articles pertaining to life insurance and markets his services as an independent insurance agent. When a person obtains a life insurance policy through this website, Mr. Frankle will earn compensation, which may include commissions.

Neal also operates a blog, Wealth Pilgrim ([wealthpilgrim.com](http://wealthpilgrim.com)), which discusses financial issues. Investment information provided through the blog is not tailored to meet the specific circumstances or needs of any individual. It is a general resource for information about the financial industry written in easy-to-understand language. Neal spends approximately 15% of his time on this endeavor and receives compensation from third-party advertisers on the blog.

### ***Item 5 - Additional Compensation***

Other than stated above, Neal has no other income or compensation to disclose.

### ***Item 6 - Supervision***

As the owner of WRG, Neal supervises all duties and activities of the firm, and is responsible for all advice provided to clients. His contact information is on the cover page of this disclosure document.