

Brochure Supplement

Kevin J. Williams, CFA

Managing Director
DeLaroche & Company, Inc
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Item 1: Kevin J. Williams

This brochure supplement provides information about Kevin J. Williams that supplements the DeLaroche & Company, Inc. brochure. You should have received a copy of that brochure. Please contact Kevin J. Williams if you did not receive the DeLaroche & Company, Inc. brochure or if you have any questions about the contents of this supplement.

Kevin J. Williams is an investment adviser representative required to register with state securities authorities. Additional information about Kevin J. Williams is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2: Educational Background and Business Experience

Kevin J. Williams, born in 1947, has worked with pension, profit sharing, annuity and savings plan funds since 1972. This experience was earned while working for the Prudential Asset Management Company prior to founding DeLaroche & Co., Inc. in 1986. Kevin J. Williams is a graduate of University of California at Los Angeles (BA, Economics). He is a member of the Los Angeles CFA society, CFA Hawaii and CFA Vancouver. For the Los Angeles society he has served on the Program, Seminar, Publishing, and Professional Advancement committees. He has chaired the Publishing and Professional Advancement committees.

Mr. Williams is a Chartered Financial Analyst. He earned his charter in 1981.

The Chartered Financial Analyst designation, or CFA charter, was first introduced in 1963 and has become the most respected and recognized investment credential in the world.

To earn a CFA charter, you must have four years of qualified investment work experience, become a member of CFA Institute, pledge to adhere to the CFA Institute Code of Ethics and Standards of Professional Conduct on an annual basis, apply for membership to a local CFA member society, and complete the CFA Program. **The CFA Program** is organized into three levels, each culminating in a six-hour exam. Completing the Program takes most candidates between two and five years.

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Mr. Williams has been an active participant in the CFA continuing education program. He is a member of the American Economic Association, the Western Economic Association, the American Finance Association, the Western Finance Association, the National Association for Business Economics, and the Economic History Association.

Item 3: Disciplinary Information

There are no legal or disciplinary events to report that are material to a client's or prospective client's evaluation of Kevin J. Williams.

Item 4: Other Business Activities

Kevin J. Williams is **not** actively engaged in any other investment-related business or occupation.

Kevin J. Williams is **not** registered, nor does he have an application pending to register, as a broker-dealer, registered representative of a broker-dealer, futures commission merchant ("FCM"), commodity pool operator ("CPO"), commodity trading advisor ("CTA"), or an associated person of an FCM, CPO, or CTA.

Kevin J. Williams receives a salary and is a Firm shareholder. He does not receive commissions, bonuses or any other compensation based on the sale of securities or other investment products. He does not have an incentive to recommend investment products based on the compensation received, rather than on the client's needs.

Kevin J. Williams is **not** actively engaged in any business or occupation for compensation not discussed in this response.

Item 5: Additional Compensation

There is **no** other compensation or economic benefit (such as sales awards or other prizes) coming from any other source that might negatively influence Mr. Williams in providing advisory services to a client.

Item 6: Supervision

Kevin J. Williams is the Chief Compliance Officer of DeLaroche & Company, Inc.

Mr. Williams regularly receives and reviews compliance information announcements from the Investment Adviser Association and the Investment Company Institute. In addition the firm employs consultants, legal counsel, accountants and auditors to keep us compliant with the law.

Brochure Supplement

Yervand “Edward” Frankian, CFA

Director DeLaroche & Company, Inc 3715 Market Street, #112 Glendale, CA 91208
818.957.3157

edward@delaroche.net

www.delaroche.net

Item 1: Yervand “Edward” Frankian

This brochure supplement provides information about Yervand “Edward” Frankian that supplements the DeLaroche & Company, Inc. brochure. You should have received a copy of that brochure. Please contact Kevin J. Williams, Managing Director of DeLaroche & Company, Inc. if you did not receive the DeLaroche & Company, Inc. brochure or if you have any questions about the contents of this supplement.

Yervand “Edward” Frankian is an investment adviser representative required to register with state securities authorities. Additional information about Yervand “Edward” Frankian is available on the SEC’s website at www.adviserinfo.sec.gov.

Item 2: Educational Background and Business Experience

Yervand “Edward” Frankian, born in 1970, joined the firm in 1998. Edward is a graduate of California State University, Northridge, (BS, Molecular Biology, 1992, and BA, Finance, 1998). He is a member of the CFA Institute and the Los Angeles CFA Society.

Mr. Frankian is a Chartered Financial Analyst. He earned his charter in 2002.

The Chartered Financial Analyst designation, or CFA charter, was first introduced in 1963 and has become the most respected and recognized investment credential in the world.

To earn a CFA charter, you must have four years of qualified investment work experience, become a member of CFA Institute, pledge to adhere to the CFA Institute Code of Ethics and Standards of Professional Conduct on an annual basis, apply for membership to a local CFA member society, and complete the CFA Program. The CFA Program is organized into three levels, each culminating in a six-hour exam. Completing the Program takes most candidates between two and five years.

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Item 3: Disciplinary Information

There are no legal or disciplinary events to report that are material to a client's or prospective client's evaluation of Yervand "Edward" Frankian.

Item 4: Other Business Activities

Yervand "Edward" Frankian is **not** actively engaged in any other investment-related business or occupation.

Yervand "Edward" Frankian is **not** registered, nor does he have an application pending to register, as a broker-dealer, registered representative of a broker-dealer, futures commission merchant ("FCM"), commodity pool operator ("CPO"), commodity trading advisor ("CTA"), or an associated person of an FCM, CPO, or CTA.

Yervand "Edward" Frankian receives a salary and is not a Firm shareholder. He does not receive commissions, bonuses or any other compensation based on the sale of securities or other investment products. He does not have an incentive to recommend investment products based on the compensation received, rather than on the client's needs.

Yervand "Edward" Frankian is **not** actively engaged in any business or occupation for compensation not discussed in this response.

Item 5: Additional Compensation

There is **no** other compensation or economic benefit (such as sales awards or other prizes) coming from any other source that might negatively influence Mr. Frankian in providing advisory services to a client.

Item 6: Supervision

Kevin J. Williams, Managing Director and Chief Compliance Officer of DeLaroche & Company, Inc., is the person responsible for supervising Mr. Frankian's advisory activities. Contact information for Mr. Williams:

Kevin J. Williams, CFA Managing Director
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Mr. Williams regularly receives and reviews compliance information announcements from the Investment Adviser Association and the Investment Company Institute. In addition the firm employs consultants, legal counsel, accountants and auditors to keep us compliant with the law.