

Item 1: Cover Page
Part 2B of Form ADV: Brochure Supplement
September 2019

Jay Doraiswami

Wealtheon ADVISORS LLC
2603 Camino Ramon, Suite 426
San Ramon, CA 94582
www.wealtheonadvisors.com

Firm Contact:
Valli Doraiswami
Chief Compliance Officer

This brochure supplement provides information about Mr. Doraiswami that supplements our brochure. You should have received a copy of that brochure. Please contact Valli Doraiswami if you did not receive Wealtheon ADVISORS LLC's brochure or if you have any questions about the contents of this supplement. Additional information about Mr. Doraiswami is available on the SEC's website at www.adviserinfo.sec.gov by searching CRD #6214351.

Item 2: Educational Background & Business Experience

Jay Doraiswami
Year of Birth: 1956

Educational Background:

- 1983: Texas A&M University; Master of Engineering in Industrial Engineering
- 1979: P.S.G. College of Technology; Bachelor of Engineering in Production Engineering

Business Background:

- 06/2019 – Present Wealtheon ADVISORS LLC; Managing Member & Investment Adviser Representative
- 03/2015 – 05/2019 J.P. Morgan Securities LLC; Private Client Advisor
- 06/2013 – 08/2014 Vanguard Marketing Corp.; Flagship Representative
- 09/2006 – 06/2013 Mentis Solutions, Inc.; President

Exams:

- 2014: Series 65 Exam
- 2013: Series 7 & Series 63 Exams

Item 3: Disciplinary Information¹

There are no legal or disciplinary events material to the evaluation of Mr. Doraiswami.

Item 4: Other Business Activities

Mr. Doraiswami does not have any outside business activities to report.

Item 5: Additional Compensation

Mr. Doraiswami does not receive any other economic benefit for providing advisory services in addition to advisory fees.

¹ Note: Our firm may, under certain circumstances, rebut the presumption that a disciplinary event is material. If an event is immaterial, we are not required to disclose it. When we review a legal or disciplinary event involving the advisor to determine whether it is appropriate to rebut the presumption of materiality, we consider all of the following factors: (1) the proximity of advisor to the advisory function; (2) the nature of the infraction that led to the disciplinary event; (3) the severity of the disciplinary sanction; and (4) the time elapsed since the date of the disciplinary event. If we conclude that the materiality presumption has been overcome, we prepare and maintain a file memorandum of our determination in our records. We follow SEC rule 204-2(a)(14)(iii) and similar state rules.

Item 6: Supervision

Valli Doraiswami, Chief Compliance Officer of Wealtheon ADVISORS LLC, supervises and monitors Mr. Doraiswami's activities on a regular basis to ensure compliance with our firm's Code of Ethics. Please contact Valli Doraiswami if you have any questions about Mr. Doraiswami's brochure supplement at 925-359-6172 .

Item 7: Requirements for State-Registered Advisers

Mr. Doraiswami has not been involved in any arbitration claim alleging damages in excess of \$2,500. Furthermore, he has neither been involved in nor found liable in any civil, self-regulatory organization, or administrative proceeding nor has been the subject of any bankruptcy petitions.