



**Alexander Pettee**

**Hoya Capital Real Estate, LLC**  
**Brochure Supplement**

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*This brochure supplement provides clients with information about Alexander Pettee that supplements the Hoya Capital Real Estate, LLC disclosure brochure. You should have received a copy of the Hoya Capital Real Estate, LLC disclosure brochure. Please contact Hoya Capital Real Estate, LLC at (833) HOYA-CAP if you did not receive a copy of the Hoya Capital Real Estate, LLC disclosure brochure or if you have any questions about the contents of this brochure supplement. Additional information about Alexander Pettee is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).*

## **Item 2 - Education Background And Business Experience**

Hoya Capital Real Estate, LLC (“Hoya Capital”) is composed of experienced investment professionals possessing a broad range of knowledge within the securities industry. Advisory persons associated with Hoya Capital Real Estate must possess, minimally, a college degree and/or appropriate business experience and all required licenses.

### **Education Background and Business Experience**

#### **Alexander Pettee**

Alexander (Alex) Pettee was born 1991 in Greenwich, Connecticut. Alex garnered a strong interest in REITs during his undergraduate studies at Georgetown University, where he graduated *cum-laude* with a B.S. in Business Administration with a concentration in Finance in May 2013. Alex continued to intensely study REITs during his master’s degree studies. He graduated from Georgetown’s School of Continuing Studies with a master’s degree in Real Estate with a concentration in Real Estate Finance in August of 2014.

After completing his master’s degree, beginning in October 2014, Alex began working at Pettee Investors Inc., an SEC-registered investment advisory firm. Alex performed the research and made recommendations to the firm on potential investments in the Real Estate Investment Trust industry.

Alex founded Hoya Capital Real Estate in 2015 and has been managing client’s real estate portfolios since March 2016. He is currently President and Head of ETFs of Hoya Capital. As of May 2018, Alex has also been registered as an investment adviser representative of Pettee Investors Inc.

He became a CFA Charterholder in 2017. Alex holds a Series 65 license (Uniform Investment Advisor Law Examination).

*Important Information about the Chartered Financial Analyst (CFA) Designation:*

*The Chartered Financial Analyst (CFA) designation is an international professional certification offered by the CFA Institute to financial analysts. To earn the right to use the CFA designation, candidates must pass three levels of examinations, which requires hundreds of hours of study over at least three years, possess a bachelor's degree (or equivalent, as assessed by CFA institute) and have at least four years of acceptable professional experience in the investment decision-making process. CFA charter holders are also obligated to adhere to a strict Code of Ethics and Standards governing their professional conduct.*

## **Item 3 - Disciplinary Information**

Alexander Pettee has no legal or disciplinary events to report.

## **Item 4- Other Business Activities**

As stated above, Alexander Pettee is also registered as an investment adviser representative of Pettee Investors Inc. In his role as investment adviser representative of Pettee Investors Inc., Alex provides advice to the company on real estate-related investments. This does not present a

conflict of interest because the advice provided is substantially similar to the advice Alex provides in his role at Hoya.

Alexander Pettee is not engaged in any other business or occupation for compensation that provides a substantial source of his income or involves a substantial amount of his time.

#### **Item 5 - Additional Compensation**

Alexander Pettee has no additional compensation arrangements to report.

#### **Item 6 - Supervision**

Sheila Pettee, Chief Executive Officer and Chief Compliance Officer of Hoya Capital Real Estate, is responsible the supervision of Alexander Pettee. The guiding document for supervision purposes is the Hoya Capital Real Estate Code of Ethics. Hoya Capital Real Estate has adopted a Code of Ethics to prevent violations of federal and state securities laws. The Code of Ethics is predicated on the principle that Hoya Capital Real Estate and its employees owe a fiduciary duty to its clients. Accordingly, Hoya Capital Real Estate expects all employees to act with honesty, integrity and professionalism and to adhere to federal securities laws. Hoya Capital Real Estate and its employees are required to adhere to the Code of Ethics. At all times, Hoya Capital Real Estate and its employees must (i) place client interests ahead of Hoya Capital Real Estate's; (ii) engage in personal investing that is in full compliance with Hoya Capital Real Estate's Code of Ethics; and (iii) avoid taking advantage of their position. Clients and prospective clients may request a copy of Hoya Capital Real Estate's Code of Ethics by contacting Sheila Pettee, Chief Executive Officer and Chief Compliance Officer of Hoya Capital Real Estate, at 914-602-6004.

#### **Item 7 - Additional State-Required Disclosures**

Alexander Pettee has no additional disclosures to report.