

This brochure supplement provides information about Craig Walter Tompkins that supplements the Rice Financial Consulting, Inc. brochure. You should have received a copy of that brochure. Please contact Craig Walter Tompkins if you did not receive Rice Financial Consulting, Inc.'s brochure or if you have any questions about the contents of this supplement.

Additional information about Craig Walter Tompkins is also available on the SEC's website at www.adviserinfo.sec.gov.

Rice Financial Consulting, Inc.

Form ADV Part 2B – Individual Disclosure Brochure

for

Craig Walter Tompkins

Personal CRD Number: 1729549

Investment Adviser Representative

Rice Financial Consulting, Inc.
300 E. Main Street
Los Gatos, CA 95030
(408) 354-3400
Craig@Coalition-Insurance.com

UPDATED: 05/06/2019

Item 2: Educational Background and Business Experience

Name: Craig Walter Tompkins

Born: 1962

Educational Background and Professional Designations:

Education:

BA Economics, Chico State University - 1987

Business Background:

04/2019 - Present	Investment Adviser Representative Rice Financial Consulting, Inc.
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04/1988 - Present	Owner Craig Tompkins Insurance Services
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08/2018 - 04/2019	President & CCO Craig Tompkins RIA
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Item 3: Disciplinary Information

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

Item 4: Other Business Activities

Craig Walter Tompkins is a licensed insurance agent and you brand these services under Craig Tompkins Insurance Services. From time to time, he will offer clients advice or products from this activity. Clients should be aware that these services pay a commission and involve a possible conflict of interest, as commissionable products can conflict with the fiduciary duties of a registered investment adviser. Rice Financial Consulting, Inc. always acts in the best interest of the client; including in the sale of commissionable products to advisory clients. Clients are in no way required to implement the plan through any representative of Rice Financial Consulting, Inc. in their capacity as a licensed insurance agent.

Craig Walter Tompkins is an investment adviser representative with another investment advisory firm. From time to time, he may offer clients advice or products from those activities and clients should be aware that these services may involve a conflict of interest. Rice Financial Consulting, Inc. always acts in the best interest of the client and clients always have the right to decide whether or not to utilize the services of any representative of Rice Financial Consulting, Inc. in such individual's outside capacities.

Item 5: Additional Compensation

Craig Walter Tompkins does not receive any economic benefit from any person, company, or organization, other than Rice Financial Consulting, Inc. in exchange for providing clients advisory services through Rice Financial Consulting, Inc.

Item 6: Supervision

As a representative of Rice Financial Consulting, Inc., Craig Walter Tompkins works closely with the supervisor, Stephen Manfred Rice, and all advice provided to clients is reviewed by the supervisor prior to implementation. Craig Walter Tompkins adheres to applicable regulations regarding the activities of an Investment Adviser Representative, together with all policies and procedures outlined in the firm's code of ethics and compliance manual. Stephen Manfred Rice's phone number is (408) 354-3400.

Item 7: Requirements For State Registered Advisers

This disclosure is required by state securities authorities and is provided for your use in evaluating this investment advisor representative's suitability.

A. Craig Walter Tompkins has NOT been involved in any of the events listed below.

1. An award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following:
 - a) an investment or an investment-related business or activity;
 - b) fraud, false statement(s), or omissions;
 - c) theft, embezzlement, or other wrongful taking of property;
 - d) bribery, forgery, counterfeiting, or extortion; or
 - e) dishonest, unfair, or unethical practices.
2. An award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:
 - a) an investment or an investment-related business or activity;
 - b) fraud, false statement(s), or omissions;
 - c) theft, embezzlement, or other wrongful taking of property;
 - d) bribery, forgery, counterfeiting, or extortion; or
 - e) dishonest, unfair, or unethical practices.

B. Craig Walter Tompkins has been the subject of a bankruptcy:

Craig Walter Tompkins was the subject of a chapter7 bankruptcy petition that was filed on 9/5/2010 and was discharged on 09/05/2010.