



# Form ADV Part 2 B Brochure

December 31, 2018

## **QUANTMETRICS CAPITAL MANAGEMENT LLP**

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This brochure supplement provides information about James Fowler, Mushtaq Shah and Robin Grant and supplements Quantmetrics Capital Management LLP Part 2A of Form ADV. You should have received a copy of Part 2A of Form ADV. Please contact us at +44 207 7569 9306 if you did not receive Quantmetrics' Part 2A of Form ADV or if you have any questions about the contents of this supplement.

The information in this brochure has not been approved or verified by the United States Securities and Exchange Commission (the "**SEC**") or by any state or foreign securities authority.

Additional information about James Fowler, Mushtaq Shah and Robin Grant is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

Registration does not imply that we, or our associates, have attained a certain level of skill or training.

This brochure does not constitute an offer to sell or the solicitation of an offer to purchase any securities of any entities described herein.

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## Material Changes

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There are no material changes to disclose.

## James Fowler, CEO/CO-CIO

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**Item 2 – Educational Background and Business Experience:** Mr James Daniel Fowler, born 1974 is a founding partner of QuantMetrics. Mr Fowler, together with Dr Shah, is responsible for all trading and money management decisions made by QuantMetrics. After graduating from Oxford University with a Masters in Engineering Science in 1997, James joined Credit Suisse First Boston as a proprietary trader in the Equity Derivatives and Convertibles Group working closely with Dr Shah. In 1999 he teamed up again with Dr Shah at Equinox Capital Management Ltd where he was Portfolio Manager for the Eclipse 1 Fund, a multi strategy arbitrage fund. In 2003, after three profitable years at Equinox Capital Management Ltd., James left to co-found QuantMetrics with Dr Shah.

**Item 3 – Disciplinary Information:** There are no legal or disciplinary events material to a client's or prospective client's evaluation of Mr Fowler within the last 10 years.

**Item 4 – Other Business Activities:** Mr Fowler is not actively engaged in any other investment-related business or occupation.

**Item 5 – Additional Compensation:** Mr Fowler does not independently formulate investment advice for our clients. Our clients invest in our investment programmes. Mr Fowler does not receive any economic benefit in return for advisory activities other than those described in this brochure supplement and the brochure for Quantmetrics.

**Item 6 - Supervision:** Mr Fowler is a member of our Executive Board. As our CEO, Mr Fowler has no further reporting. As with all our supervised persons, Mr Fowler's activities are subject to our compliance policies and procedures.

**Item 7 - Requirements for State-Registered Advisors:** This section is not applicable to Mr Fowler

## Mushtaq Shah, CO-CIO

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**Item 2 – Educational Background and Business Experience:** Dr Mushtaq Shah, born 1964 is a founding partner of QuantMetrics Capital Management LLP (“**QuantMetrics**”). Dr Shah, together with James Fowler, is responsible for all trading and money management decisions made by QuantMetrics. Dr Shah obtained a 1st class degree in Econometrics and Mathematical Economics from the London School of Economics in 1985. He obtained a Mphil in Economics from Cambridge University in 1986 and a PhD in Financial Econometrics from the London School of Economics in 1994. Dr Shah worked for UBS Securities Ltd as European Economic Analyst from 1986-1989 and then for Goldman Sachs International as an Executive Director: Quantitative Analyst/Proprietary Trader until 1994. From 1994 until 1996 Dr Shah worked for NationsBank Europe PLC as a Senior Quantitative Analyst/Equity Proprietary Trader. From 1996 to 1999 Dr Shah was a Director at Credit Suisse First Boston where he was the head of convertible trading. From 1999 until 2003 Dr Shah worked for Equinox Capital Management Ltd where he was Head of Research and where he and Mr Fowler managed a multi strategy equity arbitrage hedge fund. In 2003, Dr Shah and Mr Fowler founded QuantMetrics.

**Item 3 – Disciplinary Information:** There are no legal or disciplinary events material to a client's or prospective client's evaluation of Dr Shah within the last 10 years.

**Item 4 – Other Business Activities:** Dr Shah is not actively engaged in any other investment-related business or occupation.

**Item 5 – Additional Compensation:** Dr Shah does not independently formulate investment advice for our clients. Our clients invest in our investment programmes. Mr Shah does not receive any economic benefit in return for advisory activities other than those described in this brochure supplement and the brochure for Quantmetrics.

**Item 6 - Supervision:** Dr Shah is a member of our Executive Board. As our CO-CIO, Dr Shah reports to our CEO, James Fowler. As with all our supervised persons, Dr Shah's activities are subject to our compliance policies and procedures.

**Item 7 - Requirements for State-Registered Advisors:** This section is not applicable to Dr Shah.

## Robin Grant, COO

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**Item 2 – Educational Background and Business Experience:** Mr Robin Alexander Grant, born 1976 joined as COO of Quantmetrics in August 2016. Robin is responsible for oversight of middle and back office operations including finance, operations and compliance. Previously Robin was CFO of the GLG Partners Multi-Manager Division and a member of its Management Committee. He has held several COO and CFO roles with single-strategy alternative managers, including Nectar Capital LLP, RS Platou Asset Management, and Fairwater Capital LLP.

Robin is a Fellow of the Institute of Chartered Accountants of England and Wales and holds a BA (Hons) in Economics from the University of Nottingham.

**Item 3 – Disciplinary Information:** There are no legal or disciplinary events material to a client's or prospective client's evaluation of Mr Grant within the last 10 years.

**Item 4 – Other Business Activities:** Mr Grant is not actively engaged in any other investment-related business or occupation.

**Item 5 – Additional Compensation:** Mr Grant does not independently formulate investment advice for our clients. Our clients invest in our investment programmes. Mr Grant does not receive any economic benefit in return for advisory activities other than those described in this brochure supplement and the brochure for Quantmetrics.

**Item 6 - Supervision:** Mr Grant is a member of our Executive Board. As our COO, Mr Grant reports to our CEO, James Fowler. As with all our supervised persons, Mr Grant's activities are subject to our compliance policies and procedures.

**Item 7 - Requirements for State-Registered Advisors:** This section is not applicable to Mr Grant.