

Item 1: Cover Page



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This brochure supplement provides information about William A. Preisz (hereinafter, "William" or "Bill") that supplements the Preisz Financial brochure. You should have received a copy of that brochure. Please contact Michael Preisz or Bill if you did not receive our brochure or if you have any questions about the contents of this supplement.

Additional information about Bill is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov). You can search this site by a unique identifying number, known as a CRD number. The CRD number for Bill is 6855850.

**Note:** Throughout this document, Preisz Financial and any of its associated Investment Advisor Representatives may be termed "the firm," "we," "us," or "our." The client or prospective client may be referred to as "client," "you," "your," etc.

**Item 2: Educational Background and Business Experience**

William A. Preisz, AIF®, CRPS®, CPA, Director of Retirement Plan Services, Managing Member and Shareholder.

Year of Birth: 1982

Education:

B.A. of Science in Business Administration, Questrom School of Business, Boston University, 2004

Professional Designations:

Bill earned the Certified Public Accountant (CPA) designation in 2008. The CPA designation is the statutory title of qualified accountants in the United States who have passed the Uniform Certified Public Accountant Examination and have met additional state education and experience requirements for certification as a CPA.

Bill earned the Chartered Retirement Plans Specialist<sup>SM</sup> (CRPS®) designation in 2017.

- Individuals who hold the CRPS® designation have completed a course of study encompassing design, installation, maintenance and administration of retirement plans. Additionally, individuals must pass an end-of-course examination that tests their ability to synthesize complex concepts and apply theoretical concepts to real-life situations.
- All designees have agreed to adhere to Standards of Professional Conduct and are subject to a disciplinary process.
- Designees renew their designation every two-years by completing 16 hours of continuing education, reaffirming adherence to the Standards of Professional Conduct and complying with self-disclosure requirements.

Bill also earned the Accredited Investment Fiduciary® (AIF®) professional designation from Fiduciary 360 in 2018. Accredited Investment Fiduciary® (AIF®) designation training empowers investment professionals with the fiduciary knowledge and tools they need to serve their clients' best interests while successfully growing their business.

General Industry/Product Exams:

Securities Industry Essentials Exam	SIE	12/15/2018
Investment Co Products/Variable Contracts Rep Exam	Series 6	12/14/2018

State Securities Law Exams:

Uniform Investment Advisor Law Exam	Series 65	01/27/2019
Uniform Securities Agent State Law Exam	Series 63	01/18/2019

Registered Agent for securities in the following states:

OR	01/18/2019
WA	01/30/2019

Business Background:

Preisz Financial, Director, Retirement Plan Services Department, Managing Member and Shareholder, *Investment Advisor Representative*, from January 2019 to present

LPL Financial, *Registered Representative*, from January 2019 to present

Preisz Financial, Retirement Plan Services Department, Education Specialist, from 9/2017 to 1/2019

Aspen Capital 1/2016 to 7/2017

Twin City Bank 3/2011 to 12/2015

ShoreBank Pacific 1/2008 to 1/2011

**Item 3: Disciplinary Information**

None.

**Item 4: Other Business Activities**

Bill is a registered securities representative with LPL Financial (hereinafter, "LPL"), an unaffiliated registered broker-dealer, member of the Financial Industry Regulatory Authority ("FINRA") and SIPC and SEC-registered investment adviser.

Some of these non-Preisz activities present a potential conflict of interest, to the extent that Bill may receive additional compensation as a result of recommending additional brokerage, insurance and advisory products and services to clients.

Our firm has developed policies and procedures to monitor and mitigate these conflicts of interest. A summary of our policies can be found in Items 5 and 10 of our firm's Form ADV Part 2A.

**Item 5: Additional Compensation**

Bill does not receive any additional compensation from third parties for providing investment advice to its clients. However, product companies (including our affiliates) with which we have agreements work with the firm and our investment advisor representatives to provide education and other support to help distribute their products. These companies may pay for training and education events, seminars or other similar events for employees, investment advisors, clients and prospective clients. They may also reimburse expenses for due diligence meetings or occasionally provide business or recreational entertainment. These fees, as well as incentive programs and cash and/or noncash compensation, are all strictly regulated by the SEC and Financial Industry Regulatory Authority (FINRA).

**Item 6: Supervision**

Michael Preisz is responsible for all employee and advisor supervision, compliance with policies and procedures, and general business strategy of the firm. He can be reached at (503) 224-1600. Michael Preisz, Carol Bethune, Robert Beswick, Geoffrey LaRoche, Thomas Davenport and William Preisz are responsible for formulation and monitoring of investment advice offered to client, documenting investment-meeting deliberations, overseeing all material investment policy changes, and conducting periodic testing to ensure that client objectives and mandates are being met. Michael reviews all employee personal securities transactions on a quarterly basis.