

Item 1: Cover Page



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This brochure supplement provides information about Robert C. Beswick (hereinafter, "Robert" or "Bob") that supplements the Preisz Financial brochure. You should have received a copy of that brochure. Please contact Michael Preisz or Bob if you did not receive our brochure or if you have any questions about the contents of this supplement.

Additional information about Robert Beswick is available on the SEC's website at www.adviserinfo.sec.gov. You can search this site by a unique identifying number, known as a CRD number. The CRD number for Robert Beswick is 1040843.

Note: Throughout this document, Preisz Financial and any of its associated Investment Advisor Representatives may be termed "the firm," "we," "us," or "our." The client or prospective client may be referred to as "client," "you," "your," etc.

Item 2: Educational Background and Business Experience

Robert C. Beswick, CFP®, CLU, ChFC®, Registered Principal, Investment Advisor Representative

Year of Birth: 1953

Education:

B.S. Business Administration, University of Oregon in 1975

Under Graduate Work, Oregon State University from 1971-1972

Professional Designations:

Bob earned the Certified Financial Planner (CFP®) designation in 1998. To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements:

- *Education* – Complete an advanced college-level course of study addressing the financial planning subject areas that CFP Board’s studies have determined as necessary for the competent and professional delivery of financial planning services, and attain a Bachelor’s Degree from a regionally accredited United States college or university (or its equivalent from a foreign university). CFP Board’s financial planning subject areas include insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning;
- *Examination* – Pass the comprehensive CFP® Certification Examination. The examination, administered in 10 hours over a two-day period, includes case studies and client scenarios designed to test one’s ability to correctly diagnose financial planning issues and apply one’s knowledge of financial planning to real world circumstances;
- *Experience* – Complete at least three years of full-time financial planning-related experience (or the equivalent, measured as 2,000 hours per year); and
- *Ethics* – Agree to be bound by CFP Board’s *Standards of Professional Conduct*, a set of documents outlining the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements in order to maintain the right to continue to use the CFP® marks:

- *Continuing Education* – Complete 30 hours of continuing education hours every two years, including two hours on the *Code of Ethics* and other parts of the *Standards of Professional Conduct*, to maintain competence and keep up with developments in the financial planning field; and
- *Ethics* – Renew an agreement to be bound by the *Standards of Professional Conduct*. The Standards prominently require that CFP® professionals provide financial planning services at a fiduciary standard of care. This means CFP® professionals must provide financial planning services in the best interests of their clients.

Bob earned the Chartered Financial Consultant (ChFC®) designation in 1995. ChFC® is a financial planning designation for the insurance industry. ChFCs must meet experience requirements and pass exams covering finance and investing. They must have at least three years of experience in the financial industry, and have studied and passed an examination on the fundamentals of financial planning, including income tax, insurance, investment and estate planning.

Bob also earned the Chartered Life Underwriter (CLU) designation in 1991. CLUs must complete an educational program, pass 10 examinations covering the application of life and health insurance in filling needs for survivor income, estate planning, business continuation and employee benefits. CLUs must also meet experience and ethical standards and achieve continuing education requirements of 15 hours annually.

Principal/Supervisory Exams:

General Securities Principal Exam	Series 24	06/07/2013
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General Industry/Product Exams:

Securities Industry Essentials Exam	SIE	10/01/2018
General Securities Representative Exam	Series 7	09/15/1984
Direct Participation Programs Rep Exam	Series 22	01/10/1984
Non-Member General Securities Exam	Series 2	08/26/1980

State Securities Law Exams:

Uniform Securities Agent State Law Exam	Series 63	05/30/1985
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Registered Agent for securities in the following states:

OR, WA	09/08/2009	DE	04/04/2017
AZ, CA, GA, MD, TX	06/30/2014	HI	07/18/2017
CO	03/06/2015	NV	10/31/2017

Business Background:

Independent, *Investment Advisor Representative*, at Preisz Financial, from 2010 to present

LPL Financial, *Registered Principal*, from 2013 to present

LPL Financial, previously Mutual Service Corp, *Registered Representative*, from 1989 to present

Independent, *Certified Financial Planner (CFP®)*, from 1996 – present

Pacific Life, *Branch Securities and Broker*, from 1991 – 1996

Mass Mutual, *Equity Coordinator Agent*, from 1983 – 1991

Nationwide, *Association Group Representative*, from 1980 - 1983

Item 3: Disciplinary Information

None.

Item 4: Other Business Activities

Bob is a registered Principal and securities representative with LPL Financial (hereinafter, "LPL"), an unaffiliated registered broker-dealer, member of the Financial Industry Regulatory Authority ("FINRA") and SIPC and SEC-registered investment adviser. In addition, Bob is an insurance agent/broker with various insurance companies. In these capacities, Bob may recommend securities, insurance, advisory, or other products, and receive normal transactions commissions if products are purchased through any firms with which he is affiliated.

Some of these non-Preis� activities present a potential conflict of interest, to the extent that Bob may receive additional compensation as a result of recommending additional brokerage, insurance and advisory products and services to clients.

Our firm has developed policies and procedures to monitor and mitigate these conflicts of interest. A summary of our policies can be found in Items 5 and 10 of our firm's Form ADV Part 2A.

Item 5: Additional Compensation

Bob does not receive any additional compensation from third parties for providing investment advice to its clients. However, product companies (including our affiliates) with which we have agreements work with the firm and our investment advisor representatives to provide education and other support to help distribute their products.

These companies may pay for training and education events, seminars or other similar events for employees, investment advisors, clients and prospective clients. They may also reimburse expenses for due diligence meetings or occasionally provide business or recreational entertainment. These fees, as well as incentive programs and cash and/or noncash compensation, are all strictly regulated by the SEC and Financial Industry Regulatory Authority (FINRA).

Item 6: Supervision

Michael Preisz is responsible for all employee and advisor supervision, compliance with policies and procedures, and general business strategy of the firm. He can be reached at (503) 224-1600. Michael Preisz, Carol Bethune, Robert Beswick, Geoffrey LaRoche, Thomas Davenport and William Preisz are responsible for formulation and monitoring of investment advice offered to client, documenting investment-meeting deliberations, overseeing all material investment policy changes, and conducting periodic testing to ensure that client objectives and mandates are being met. Michael reviews all employee personal securities transactions on a quarterly basis.