

Louis F. Batmale
And Investment Advisory Representatives
for

Alders Financial Solutions

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February 27, 2019

FORM ADV PART 2B
BROCHURE SUPPLEMENT

This brochure supplement provides information about Louis F. Batmale and the Alders Financial Solutions – Investment Advisory Representatives. You should have received a copy of that brochure. Please contact Louis F. Batmale if you did not receive the firm’s brochure or if you have any questions about the contents of this supplement please call 415-892-7766.

Additional information about Louis F. Batmale and the representatives of Alders Financial Solutions are available on the SEC’s website at www.adviserinfo.sec.gov.

Financial Planning offered through Alders Financial Solutions, a Registered Investment Advisor, not affiliated with LPL Financial.

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Form ADV Part 2B, Item 2

Educational Background and Business Experience

Name: Louis F. Batmale

Year of Birth: 1968

Education:

St. Mary's College; BS in Economics: 1990

Employment for the Past Five Years:

Louis F. Batmale, LPL Financial: Sole Proprietor

Alders Financial Solutions, July 2017 to present

Name: George Anderson Craig

Year of Birth: 1971

Education:

Sonoma State University: Bachelor of Arts in Management 08/21/1989-05/20/1995

Employment for the Past Five Years:

Alders Financial Solutions; Financial Advisor 10/2018 - Present

LPL Financial, LLC; Registered Representative 10/2018 - Present

Scottrade, Inc; Branch Manager 05/2003 - 03/2018

Name: Frank B. Bruno, CFP

Year of Birth: 1949

Education:

College of Financial Planning-Denver Colorado-CFP Designation: 1987

Employment for the Past Five Years:

Alders Financial Solutions/LPL Financial: 06/2013 – Present

Continued on next page

Name: Lauren Ann Patterson

Year of Birth: 1957

Education:

Nicholls State University; Bachelors Degree in Psychology 08/30/1979

Employment for the Past Five Years:

LPL Financial, LLC Administrative Associate 01/2018 – Present

Financial Connections Group, Inc; Associate Financial Planner 10/2016 – 12/2017

Unemployed; Unemployed 05/2016 – 09/2016

Polaris Greystone Financial Group, LLC; Operations Utility 10/2015 – 04/2016

Titus Wealth Management; Registered Administration Assistant 01/2010 – 09/2015

LPL Financial, LLC; Administrative Assistant 01/2015 – 09/2015

Cetera Advisor Networks, LLC; Registered Representative 01/2013 – 01/2015

Disciplinary Information

- A. AFS-Investment Advisory Representatives are not and have not been the subject in a criminal or civil action in a domestic, foreign or military court of competent jurisdiction in which the supervised person.
1. was convicted of, or pled guilty or nolo contendere ("no contest") to (a) any felony; (b) a misdemeanor that involved investments or an investment-related business, fraud, false statements or omissions, wrongful taking of property, bribery, perjury, forgery, counterfeiting, or extortion; or (c) a conspiracy to commit any of these offenses;
 2. is the named subject of a pending criminal proceeding that involves an investment-related business, fraud, false statements or omissions, wrongful taking of property, bribery, perjury, forgery, counterfeiting, extortion, or a conspiracy to commit any of these offenses;
 3. was found to have been involved in a violation of an investment-related statute or regulation; or
 4. was the subject of any order, judgment, or decree permanently or temporarily enjoining, or otherwise limiting, the supervised person from engaging in any investment-related activity, or from violating any investment-related statute, rule, or order.
- B. AFS-Investment Advisory Representatives are not and have not been the subject of an administrative proceeding before the SEC, any other federal regulatory agency, any state regulatory agency, or any foreign financial regulatory authority in which the supervised person:
1. was found to have caused an investment-related business to lose its authorization to do business; or
 2. was found to have been involved in a violation of an investment-related statute or regulation and was the subject of an order by the agency or authority;
 - (a) denying, suspending, or revoking the authorization of the supervised person to act in an investment-related business;
 - (b) barring or suspending the supervised person's association with an investment-related business;
 - (c) otherwise significantly limiting the supervised person's investment-related activities; or
 - (d) imposing a civil money penalty of more than \$2,500 on the supervised person.
- C. AFS-Investment Advisory Representatives are not and have not been the subject of a self-regulatory organization (SRO) proceeding in which the supervised person:
1. was found to have caused an investment-related business to lose its authorization to do business; or
 2. was found to have been involved in a violation of the SRO's rules and was: (i) barred or suspended from membership or from association with other members, or was expelled from membership;
 3. (ii) otherwise significantly limited from investment-related activities; or (iii) fined more than \$2,500.
- D. AFS-Investment Advisory Representatives are not and have not been the subject of any other proceeding in which a professional attainment, designation, or license of the supervised person was revoked or suspended because of a violation of rules relating to professional conduct.

Form ADV Part 2B, Item 4

Other Business Activities

Louis F. Batmale is a Registered Representative with LPL Financial and sells life and health insurance. In his capacity as a Registered Representative and insurance sales person he may receive securities and/or insurance commissions in addition to investment advisory fees. Clients are under no obligation to purchase securities or insurance through Louis F. Batmale, LPL Financial or the insurance companies they represent.

Form ADV Part 2B, Item 5

Additional Compensation

In the course of business Louis F. Batmale and the AFS-Investment Advisory Representatives do not receive economic benefit from non clients for providing advisory services. "Economic benefits" include sales awards and other prizes or any bonus that is based, at least in part, on the number or amount of sales, client referrals, or new accounts.

In their capacity as Registered Representative's and insurance sales person they may receive securities and/or insurance commissions in addition to investment advisory fees. Clients are under no obligation to purchase securities or insurance through AFS-Louis F. Batmale, LPL Financial or the insurance companies they represent.

Form ADV Part 2B, Item 6

Supervision

Louis F. Batmale is the sole owner of Alders Financial Solutions and is responsible for supervising the firm's investment advisory activities.

Form ADV Part 2B, Item 7

Requirements for State-Registered Advisers

A. In addition to the events listed in Item 3 of Part 2B, Louis F. Batmale and AFS-Investment Advisory Representatives are not involved and have not been involved in any of the events listed below:

1. An award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following:

- (a) an investment or an investment-related business or activity;
- (b) fraud, false statement(s), or omissions;
- (c) theft, embezzlement, or other wrongful taking of property;
- (d) bribery, forgery, counterfeiting, or extortion; or
- (e) dishonest, unfair, or unethical practices.

2. An award or otherwise being found liable in a civil, self-regulatory organization, administrative proceeding involving any of the following:

- (a) an investment or an investment-related business or activity;
- (b) fraud, false statement(s), or omissions;
- (c) theft, embezzlement, or other wrongful taking of property;
- (d) bribery, forgery, counterfeiting, or extortion; or
- (e) dishonest, unfair, or unethical practices.

B. Louis F. Batmale and AFS-Investment Advisory Representatives are not and have not been the subject of a bankruptcy petition.