



## **Part 2A of Form ADV: Firm Brochure**

### **Alpha Centauri Wealth Management, Inc.**

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**July 29, 2019**

This firm brochure (“Brochure”) provides information about the qualifications and business practices of Alpha Centauri Wealth Management, Inc. (“ACWM” or the “Firm”). If you have any questions about the contents of this Brochure, please contact us at (800) 880-4234.

The information in this Brochure has not been approved or verified by the United States Securities and Exchange Commission (“SEC”) or by any state securities authorities. ACWM is a Registered Investment Adviser. Registration with the SEC or any state securities authority does not imply a certain level of skill or training.

Additional information about ACWM is also available on the SEC’s website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov). ACWM can be found on this site by using the full Firm name or the unique identifying number, known as a CRD number. ACWM’s CRD number is 132837.

## Item 2: Material Changes

ACWM is required to disclose any material changes that have been made to the Brochure since the last annual update. The Brochure may be updated at any time, and any material changes will either be sent to clients as a summary of those changes, or clients will receive the entire updated Brochure depending on the extent of those changes.

### Obtaining a Copy of the Brochure

Additional copies of the Brochure can be obtained in the following ways:

1. Contacting your Investment Adviser Representative (IAR) with whom you are working;
2. Downloading the Brochure from the SEC website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov) (select “Firm” and enter our CRD number 132837”).

### Summary of Material Changes

There have been no material changes since the last annual update of the Brochure on **June 11, 2018**.

## Item 3: Table of Contents

Item 1: Cover Page.....	1
Item 2: Material Changes.....	2
Item 3: Table of Contents .....	3
Item 4: Advisory Business .....	4
Item 5: Fees and Compensation .....	4
Item 6: Performance-Based Fees .....	4
Item 7: Types of Clients.....	4
Item 8: Methods of Analysis, Investment Strategies and Risk of Loss.....	4
Item 9: Disciplinary Information .....	4
Item 10: Other Financial Industry Activities and Affiliations .....	4
Item 11: Code of Ethics, Participation or Interest in Client Transactions and Personal Trading .....	5
Item 12: Brokerage Practices .....	5
Item 13: Review of Accounts .....	5
Item 14: Client Referrals and Other Compensation.....	5
Item 15: Custody.....	5
Item 16: Investment Discretion .....	5
Item 17: Voting Client Proxies.....	5
Item 18: Financial Information.....	5

## Item 4: Advisory Business

### A. Description of the Advisory Firm

ACWM is a Registered Investment Adviser with the SEC. ACWM commenced operations in 2005 with the corporate headquarters being located in Anaheim, California. The principal owner of ACWM is the Federation of Financial Services ("FFS"), owning more than 75% of the Firm. ACWM does not provide advisory services to clients at this time.

### Assets Under Management

As of **04/30/2018**, ACWM has the following amount of Assets Under Management ("AUM") on a discretionary and non-discretionary basis.

- **\$0.00** on a discretionary basis
- **\$0.00** on a non-discretionary basis

## Item 5: Fees and Compensation

ACWM does not charge fees to clients at this time.

## Item 6: Performance-Based Fees

ACWM does not charge performance-based fees to clients at this time.

## Item 7: Types of Clients

ACWM does not have any clients at this time.

## Item 8: Methods of Analysis, Investment Strategies and Risk of Loss

ACWM does not use any method of analysis or make investment recommendations at this time.

## Item 9: Disciplinary Information

ACWM does not have any disciplinary information to disclose at this time.

## Item 10: Other Financial Industry Activities and Affiliations

### Firm Registrations

ACWM is a SEC Registered Investment Adviser and the principal owner is The Federation of Financial Services ("FFS"), owning more than 75% of ACWM. The Firm's affiliates include:

- Centaurus Financial, Inc. ("CFI"), a SEC Registered Investment Adviser and FINRA member Broker-Dealer. CFI provides brokerage and advisory services to clients through its network of Registered Representatives and Investment Adviser Representatives.
- Pacific Point Securities ("Pacific Point"), a FINRA member Broker-Dealer and managing Broker-Dealer. Pacific Point currently has no clients.

## **Item 11: Code of Ethics, Participation or Interest in Client Transactions and Personal Trading**

This item is not applicable to ACWM at this time.

## **Item 12: Brokerage Practices**

This item is not applicable to ACWM at this time.

## **Item 13: Review of Accounts**

This item is not applicable to ACWM at this time.

## **Item 14: Client Referrals and Other Compensation**

This item is not applicable to ACWM at this time.

## **Item 15: Custody**

This item is not applicable to ACWM at this time.

## **Item 16: Investment Discretion**

This item is not applicable to ACWM at this time.

## **Item 17: Voting Client Proxies**

This item is not applicable to ACWM at this time.

## **Item 18: Financial Information**

This item is not applicable to ACWM at this time.