

US Tiger Securities, Inc. – Customer Relationship Summary

Introduction

US Tiger Securities, Inc. (US Tiger) is registered with FINRA and the SEC as a broker-dealer. Free and simple tools are available to research firms and financial professionals at: www.investor.gov/CRS, which also provides educational materials about broker-dealers, investment advisers, and investing. To learn more about US Tiger, you may go to FINRA's BrokerCheck at: <https://brokercheck.finra.org/>.

Relationships and Services

What investment services and advice can you provide me?

We specialize in the execution of secondary market transactions in U.S. and non-U.S. equity securities for customers through an online brokerage platform. The investments we make available to retail investors include: stocks, exchange-traded funds, options, and mutual funds.

You will make the ultimate decision regarding the purchase or sale of investments in your brokerage account. It is important to understand that the Firm and its registered representatives do not monitor your investment or account after a transaction is entered.

We also facilitate investments in private placements and underwritten offerings.

The securities products we offer may be subject to minimum deposits, which may vary between the products. Any minimum deposit requirements are outlined in each product's respective prospectus or agreement.

Questions to consider:

- *Given my financial situation, should I choose a brokerage service? Why or why not?*
- *How will you choose investments to recommend to me?*
- *What is your relevant experience, including your licenses, education and other qualifications? What do these qualifications mean?*

Fees, Costs, Conflicts and Standard of Conduct

What fees will I pay?

It is important for you, the retail investor, to understand the principal fees and costs you will incur for your brokerage services, including how frequently they are assessed and the conflict of interest they create.

- **Placement services:** We are compensated for our placement services by the issuers with which we work, typically in the form of a percentage of the investment amount raised. You will not pay any fees to us. You should carefully review the prospectuses for the placements you invest in, to ensure that you understand any fees you may pay.
- **Brokerage services:** If you elect to open a brokerage account, we charge transaction-based fees to buy and sell securities in that brokerage account. This means you are charged more when there are more trades in your account. We also may receive a rebate from the execution broker in cases where we provide liquidity. Therefore, we may have an incentive to encourage you to trade more often.

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You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying.

Questions to consider:

- *Help me understand how these fees and costs might affect my investments. If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?*

What are your legal obligations to me when providing recommendations as my broker-dealer? How else does your firm make money and what conflicts of interest do you have?

When we provide you with a recommendation as your broker-dealer, we have to act in your best interest and not put our interest ahead of yours. At the same time, the way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the recommendations and investment advice we provide you. Here are some examples to help you understand what this means.

- We receive placement fees from the sponsors of the investments we recommend, which are generally based upon the amount of interests subscribed for by investors. Thus, US Tiger's recommendations of such investments may be influenced by its desire to obtain these fees.
- We offer investments in a limited number of private placements and underwritten offerings. There may be other private placements and underwritten offerings that we do not participate in that better suit your needs.

Questions to consider:

- *How might your conflicts of interest affect me, and how will you address them?*

How do your financial professionals make money?

Our registered representatives (RRs) receive a fixed salary along with a year-end bonus which is based upon the Firm's performance during the year.

Disciplinary History

Do you or your financial professionals have legal or disciplinary history?

Yes. For a free and simple search tool to research our firm and our registered representatives' disciplinary actions and history, please go to: www.investor.gov/CRS or FINRA's Broker Check: <https://brokercheck.finra.org/>

Questions to consider:

- *As a financial professional, do you have any disciplinary history?*
- *If so, for what type of conduct?*

Additional Information

If you would like up-to-date information about our firm or to request a copy of the relationship summary, please call 646-978-5180.