



**Form CRS is a new document required by the SEC that summarizes some information contained in your Client Agreement and our form ADV Part 2A that we submit to you and the SEC and on an annual basis.**

## **Item 1 – Introduction**

Sheeley & Partners Wealth Management, LLC (“we”, “us” or “our”) is registered with the U.S. Securities Exchange Commission (“SEC”) as an investment adviser. Investment advisory services and compensation structures differ from that of a registered broker-dealer, and it is important that you understand the differences. Free and simple tools are available to research firms and financial professionals at [www.Investor.gov/CRS](http://www.Investor.gov/CRS). The site also provides educational materials about broker-dealers, investment advisers and investing.

## **Item 2 – Relationships and Services**

### **What investment services and advice can you provide me?**

We provide investment advisory services, including discretionary investment management and financial planning services to individuals, trusts and estates (our “retail investors”).

When a retail investor engages us to provide investment management services we shall monitor, on a continuous basis (no less than quarterly), the investments in the accounts over which we have authority as part of our investment management service. Furthermore, when engaged on a discretionary basis, we shall have the authority, without prior consultation with you (unless you impose restrictions on our discretionary authority), to buy, sell, trade and allocate the investments within your account(s) consistent with your investment objectives. Our authority over your account(s) shall continue until our engagement is terminated.

We may offer investment management and financial planning services as part of our standard investment advisory engagement. However, we may also be engaged to provide financial planning services on a separate fee basis. When we provide financial planning services, we rely upon the information provided by the client for our financial analysis and do not verify any such information while providing this service. We do not limit the scope of our investment advisor services to proprietary products or a limited group or type of investment.

**Additional Information:** For more detailed information about our *Advisory Business* and the *Types of Clients* we generally service, please See Items 4 and 7, respectively in our ADV Part 2A.

**Conversation Starters:** *Given my financial situation, should I choose an investment advisory service? Why or why not?*

*How will you choose investments to recommend to me?*

*What is your relevant experience, including your licenses, education and other qualifications? What do these qualifications mean?*

## **Item 3 – Fees, Costs, Conflicts, and Standard of Conduct**

### **What Fees will I pay?**

We provide our investment advisory services on a fee basis. When engaged to provide investment management services, we shall charge a fee calculated as a percentage of your assets under our management (our “AUM Fee”). Our annual AUM Fee is negotiable, but generally ranges from 1.10% to 0.60%. We do not require a minimum asset level or impose a minimum fee for investment management services. We typically deduct our AUM Fee from one or more of your accounts, monthly in arrears, based upon the market value of the assets on the last business day of the previous month. Because our AUM Fee is generally calculated as a percentage of your assets under management, we benefit from managing a greater portion of your assets. Larger client relationships benefit from our tiered decreasing fee structure. A larger relationship will pay a greater overall amount for investment management; however, a smaller percentage after certain thresholds. Therefore, we will benefit from increasing the size of our relationship with you.

We offer our Financial Planning services on a standalone fee basis. Our fee is generally between \$3,000 and \$5,000 on a fixed fee basis or between \$200 and \$550 per hour on an hourly basis, depending upon the level and scope of the services to be provided and the professional providing these services. The fee for any subsequent work shall be agreed to prior to commencing the engagement.

**Other Fees and Costs:** Your investment assets will be held with a qualified custodian. Custodians generally charge transaction fees for effecting certain securities transactions. In addition, relative to all mutual fund and fixed income security transaction fees, certain charges will be imposed at the fund level (e.g. management fees and other fund expenses). You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying. Our fee schedule is designed to align our interests with your own.

**Additional Information:** For more detailed information about our fees and costs related to our management of your account, please See Item 5 in our ADV Part 2A.

**Conversation Starters:** *Help me understand how these fees and costs might affect my investments. If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?*

### **What are your legal obligations to me when acting as my investment adviser? How else does your firm make money and what conflicts of interest do you have?**

When we act as your investment adviser, we have to act in your best interest and not put our interest ahead of yours. At the same time, the way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the investment advice we provide you. As an example, we may recommend a particular custodian to custody your assets, and we may receive support services and/or products from that same custodian, certain of which assist us to better monitor and service your account while a portion may be for the benefit of our firm.

**Conversation Starter:** *How might your conflicts of interest affect me, and how will you address them?*

**Additional Information:** For more detailed information about our conflicts of interest, please review our ADV Part 2A.

### **How do your financial professionals make money?**

Our financial professionals are compensated on a salary basis. Certain representatives may also receive advisory compensation that is related to the amount of client assets that they manage or service. Also, our firm's principal may also participate in or receive a profit distribution based upon their ownership interest in the firm. Please direct any questions to our Firm's principal.

## **Item 4 – Disciplinary History**

### **Do you or your financial professionals have legal or disciplinary history?**

Yes.

You may visit [www.Investor.gov/CRS](http://www.Investor.gov/CRS) to research our firm and our financial professionals.

Furthermore, we encourage you to ask your financial professional to inquire about us:

*As a financial professional, do you have any disciplinary history? If so, for what type of conduct?*

## **Item 5 – Additional Information**

Additional information about our firm is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov). You may contact our Chief Compliance Officer at any time to request a current copy of your ADV Part 2A (which is distributed to you annually) or this document "CRS/relationship summary". Our Chief Compliance Officer may be reached by phone: (401) 455-1230.

*Who is my primary contact person? Is he or she a representative of an investment adviser or broker-dealer? Who can I talk to if I have concerns about how this person is treating me?*

This Form CRS has been revised at Item 3 with respect to our advisory fee schedule. We have also updated the link to our Disclosure Brochure.