

Form ADV Part 2B – Brochure Supplement

for

**Timothy R. Wetherington
Financial Planner**

Effective: March 22, 2024

This Form ADV 2B (“Brochure Supplement”) provides information about the background and qualifications of Timothy R. Wetherington (CRD# 2564087) in addition to the information contained in the Synergy Investment Management, LLC (“Synergy” or the “Advisor”, CRD# 305961) Disclosure Brochure. If you have not received a copy of the Disclosure Brochure or if you have any questions about the contents of the Synergy Disclosure Brochure or this Brochure Supplement, please contact us at (321) 203-4458.

Additional information about Mr. Wetherington is available on the SEC’s Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov by searching with his full name or his Individual CRD# 2564087.

Item 2 – Educational Background and Business Experience

Timothy R. Wetherington, born in 1960, is dedicated to advising Clients of Synergy as a Financial Planner. Mr. Wetherington earned an MBA from University of LaVerne in 1992. Mr. Wetherington also earned an MBA from Virginia Commonwealth University in 1982. Mr. Wetherington also earned a Bachelor's Degree from Virginia Commonwealth University in 1982. Additional information regarding Mr. Wetherington's employment history is included below.

Employment History:

Financial Planner, Synergy Investment Management, LLC	04/2022 to Present
Registered Representative, LPL Financial, LLC	01/2020 to Present
Registered Representative / Investment Adviser, Cetera Advisors LLC	01/2013 to 12/2019

Item 3 – Disciplinary Information

There are no legal, civil or disciplinary events to disclose regarding Mr. Wetherington. Mr. Wetherington has never been involved in any regulatory, civil or criminal action. There have been no client complaints, lawsuits, arbitration claims or administrative proceedings against Mr. Wetherington.

Securities laws require an advisor to disclose any instances where the advisor or its advisory persons have been found liable in a legal, regulatory, civil or arbitration matter that alleges violation of securities and other statutes; fraud; false statements or omissions; theft, embezzlement or wrongful taking of property; bribery, forgery, counterfeiting, or extortion; and/or dishonest, unfair or unethical practices. ***As previously noted, there are no legal, civil or disciplinary events to disclose regarding Mr. Wetherington.***

However, we do encourage you to independently view the background of Mr. Wetherington on the Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov by searching with his full name or his Individual CRD# 2564087.

Item 4 – Other Business Activities

Broker-Dealer Affiliation

Mr. Wetherington is also a registered representative of LPL Financial, LLC ("LPL Financial"). LPL Financial is a registered broker-dealer (CRD# 641) member FINRA, SIPC. In Mr. Wetherington's separate capacity as a registered representative, Mr. Wetherington will receive commissions for the implementation of recommendations for commissionable transactions. Clients are not obligated to implement any recommendation provided by Mr. Wetherington. Neither the Advisor nor Mr. Wetherington will earn ongoing investment advisory fees in connection with any products or services implemented in Mr. Wetherington's separate capacity as a registered representative. Mr. Wetherington spends approximately 90% of his time per month in his role as a registered representative of LPL Financial.

Synergy Wealth Alliance

Mr. Wetherington is a registered representative under Synergy Wealth Alliance, LLC, the dba entity for LPL Financial business. Mr. Wetherington spends approximately 160 hours per month in this capacity.

Item 5 – Additional Compensation

Mr. Wetherington has additional business activities where compensation is received that are detailed in Item 4 above.

Item 6 – Supervision

Mr. Wetherington serves as a Financial Planner of Synergy and is supervised by Christopher Bordner, the Chief Compliance Officer. Mr. Bordner can be reached at (321) 203-4458.

Synergy has implemented a Code of Ethics, an internal compliance document that guides each Supervised Person in meeting their fiduciary obligations to Clients of Synergy. Further, Synergy is subject to regulatory

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oversight by various agencies. These agencies require registration by Synergy and its Supervised Persons. As a registered entity, Synergy is subject to examinations by regulators, which may be announced or unannounced. Synergy is required to periodically update the information provided to these agencies and to provide various reports regarding the business activities and assets of the Advisor.